

# Transfer and Multi-Task Learning in Natural Language Processing



Associazione Italiana di  
Linguistica Computazionale

AILC summer school 2023, Pisa

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Chair for AI and Computational Linguistics, MaiNLP lab, Center for Information and Language Processing (CIS), LMU München & NLPnorth lab, ITU Copenhagen



IT UNIVERSITY OF COPENHAGEN



# “We have millions of labeled data instances”

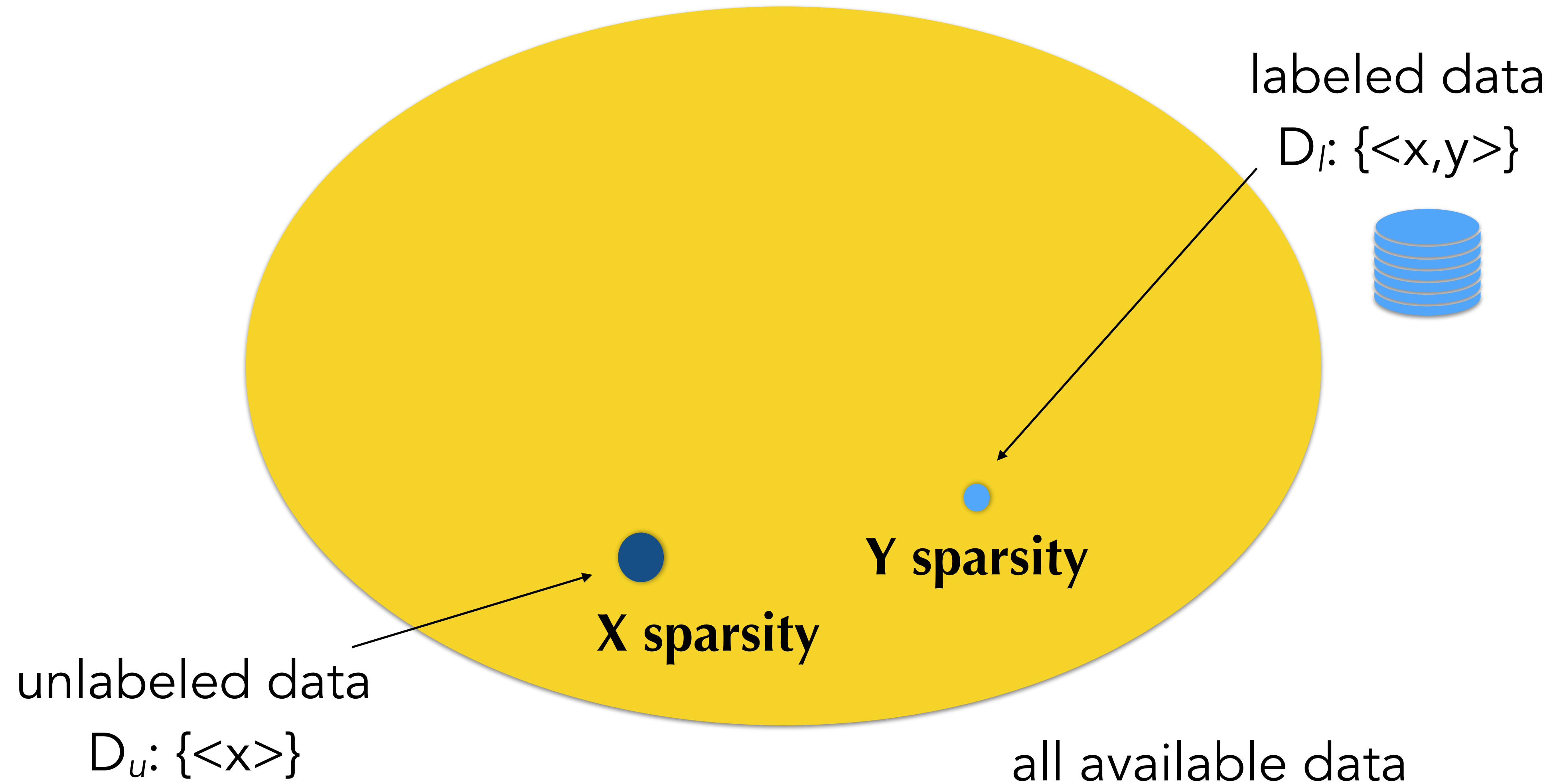
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Very unlikely the case, especially for NLP.

$D_i: \{ \langle x, y \rangle \}$

# The Motivation: Data scarcity

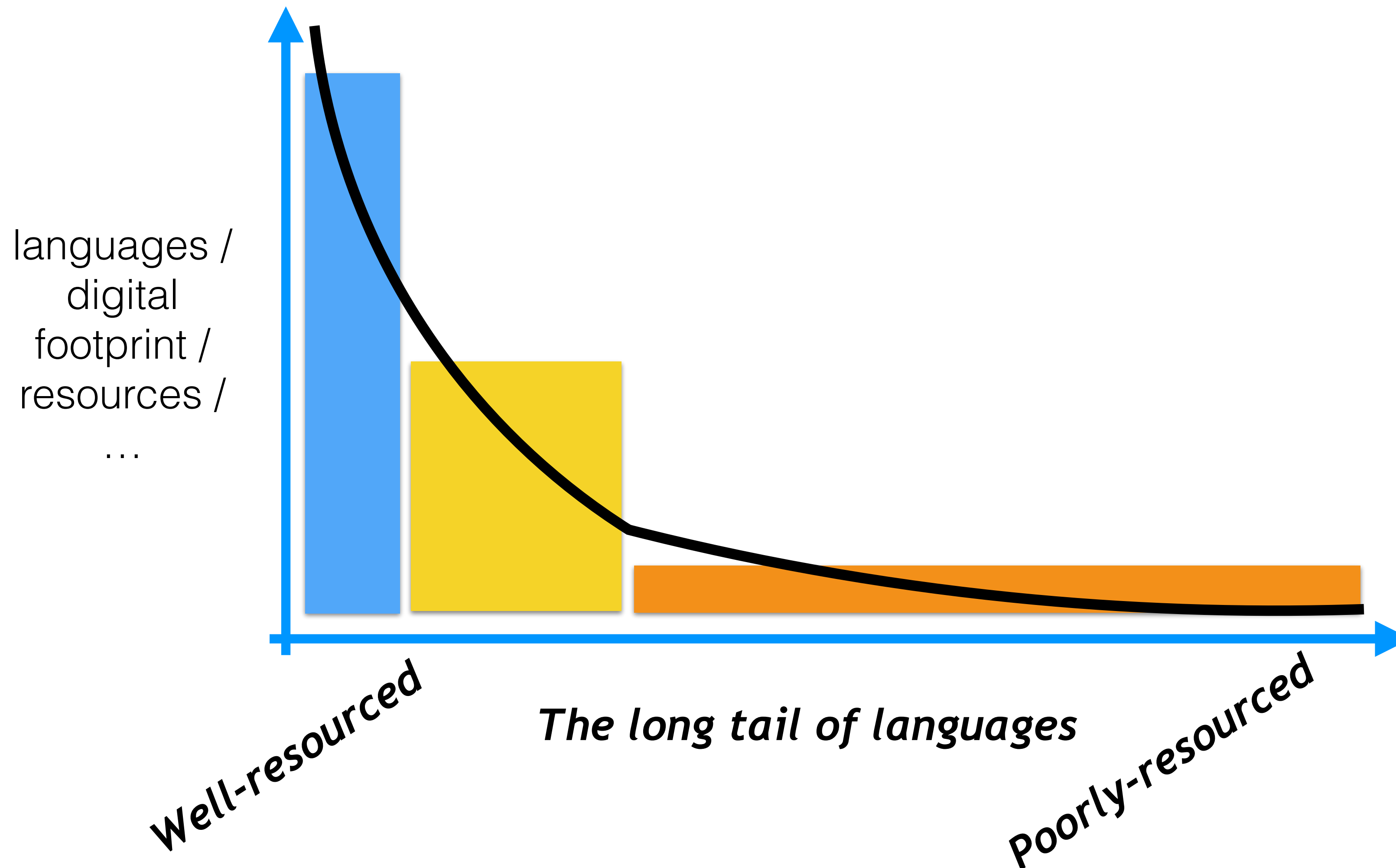
Learning from limited labeled data



# Many languages are poorly resourced

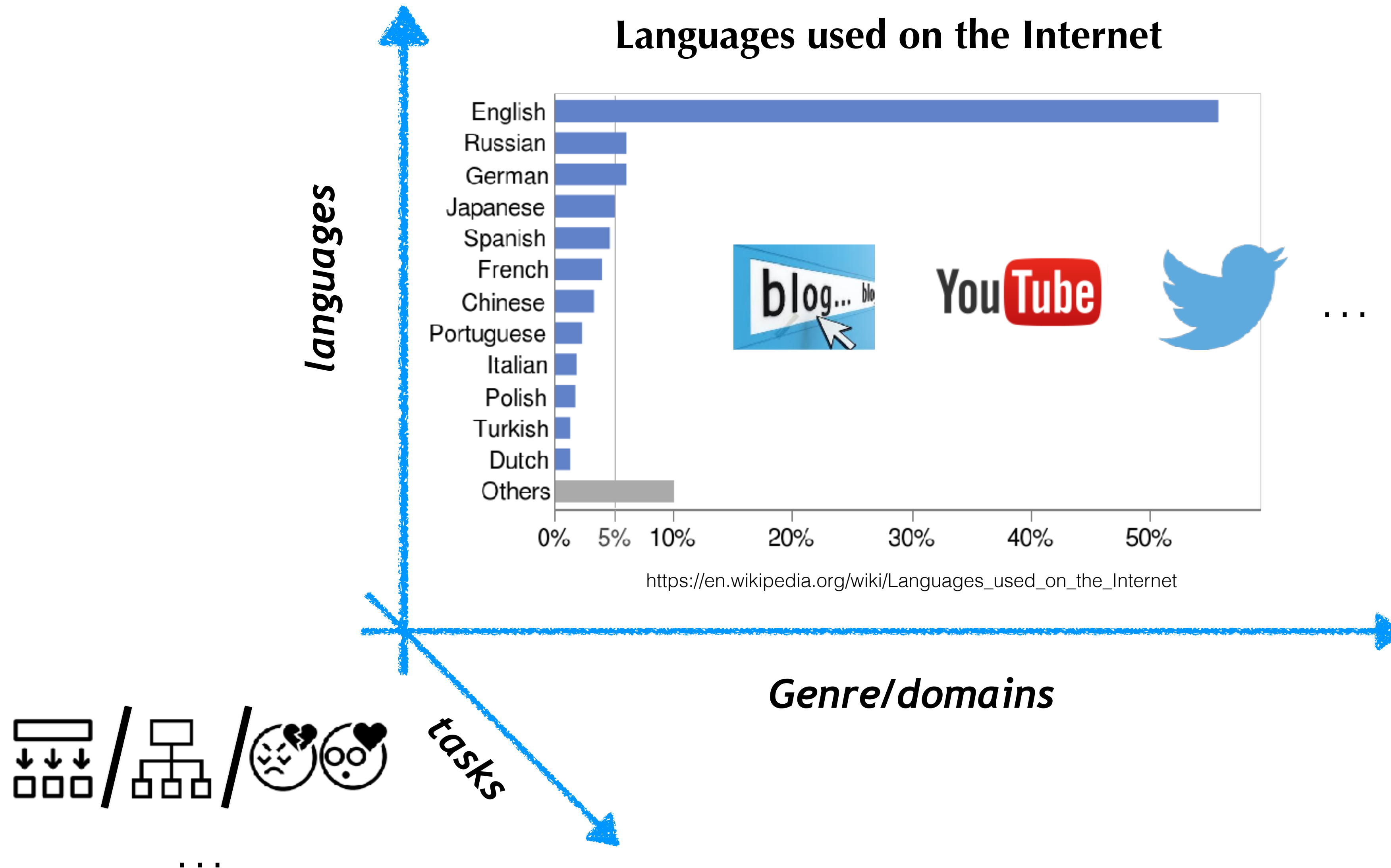
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Despite of the richness of language, we constantly face the scarceness of data: Need to tackle the “long tail”





# Ultimate Goal: NLP for everyone





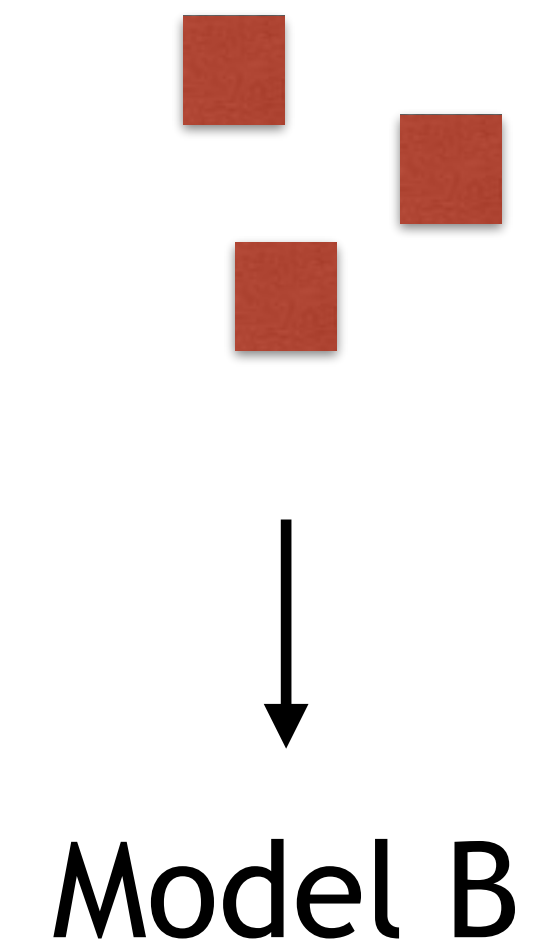
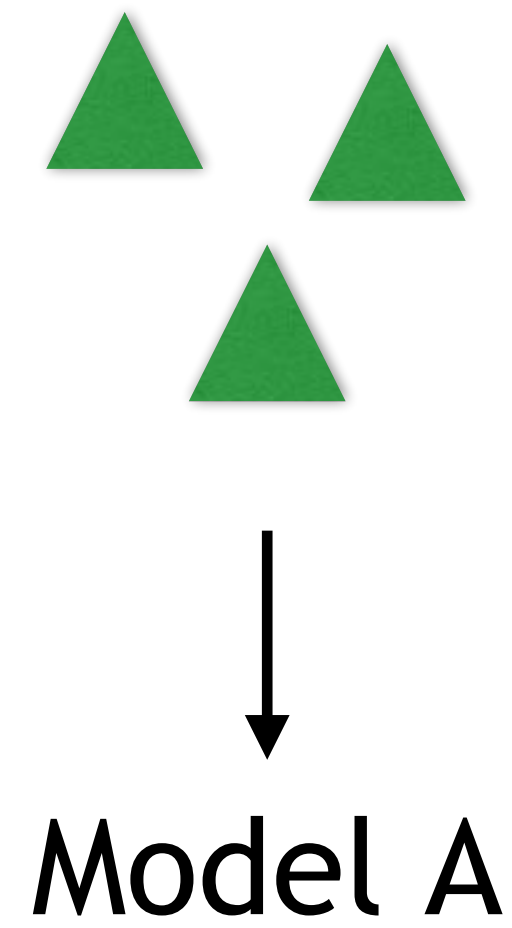
**What to do about it?**



# Typical setup: Learn a task at a time

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Starting from scratch: No transfer of knowledge





# Transfer Learning (TL)

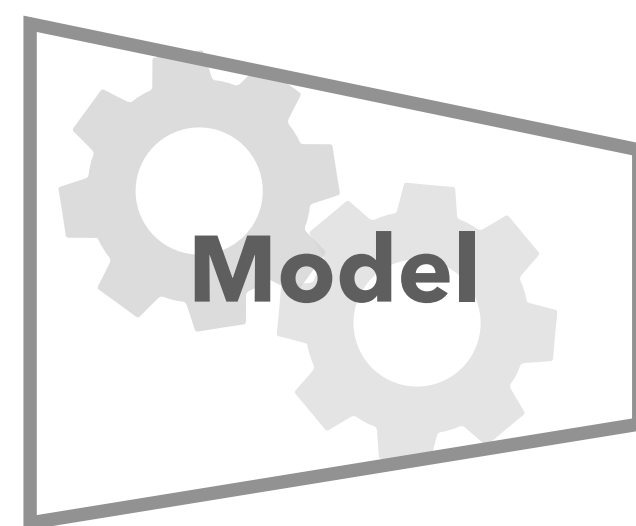
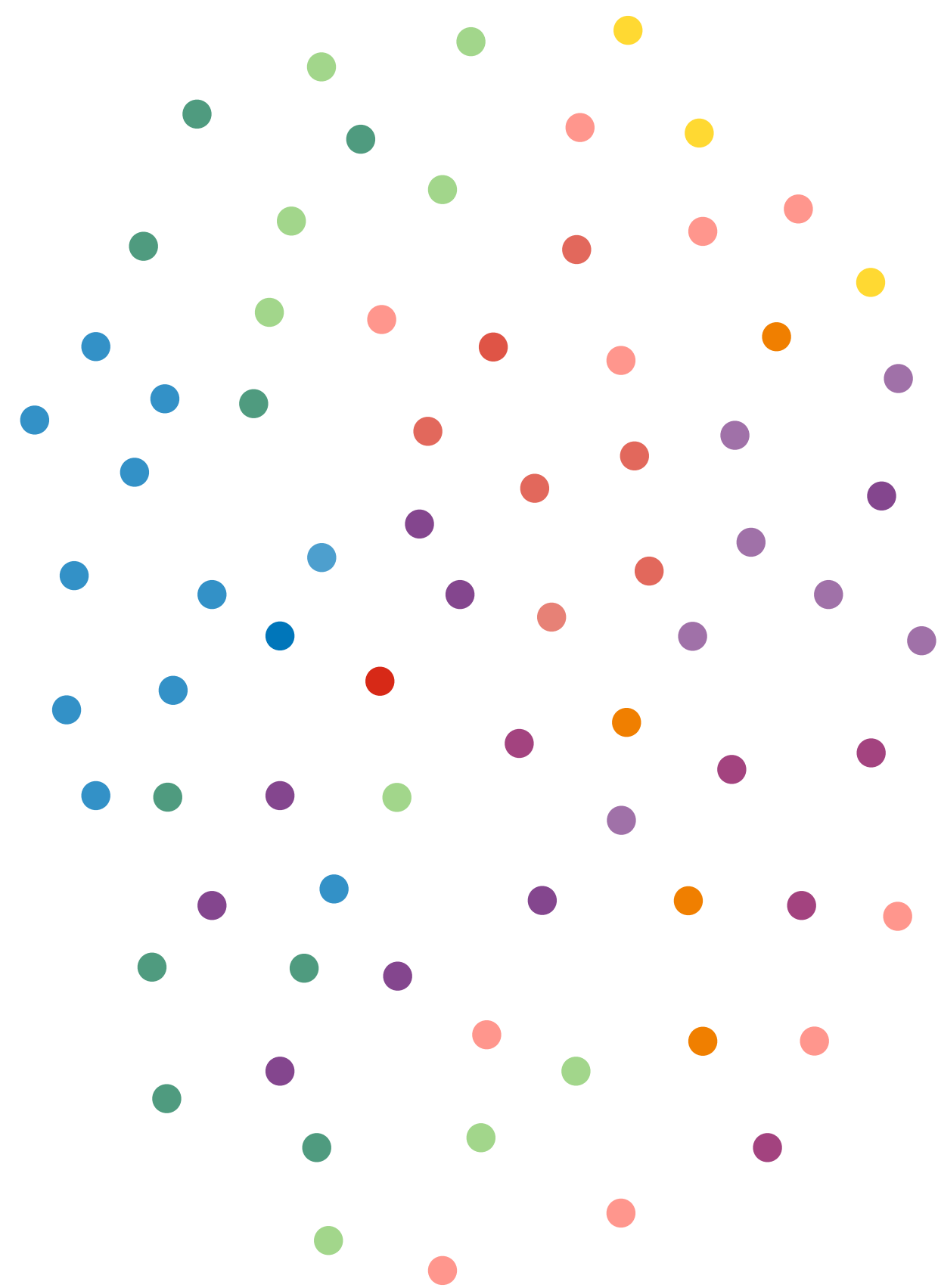
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Leverage knowledge gained to help solve a related problem

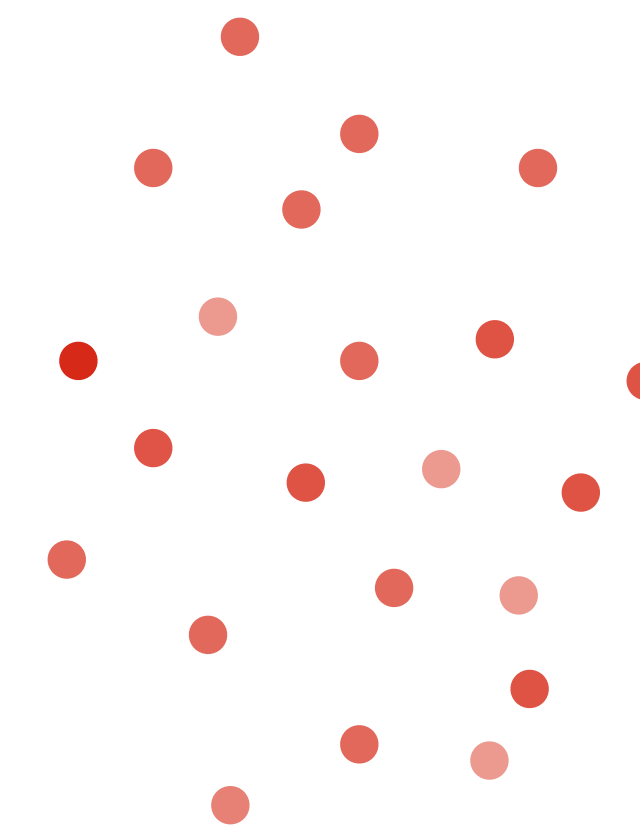




**Source**



**Target**



**Transfer Learning**



# Why Transfer Learning?

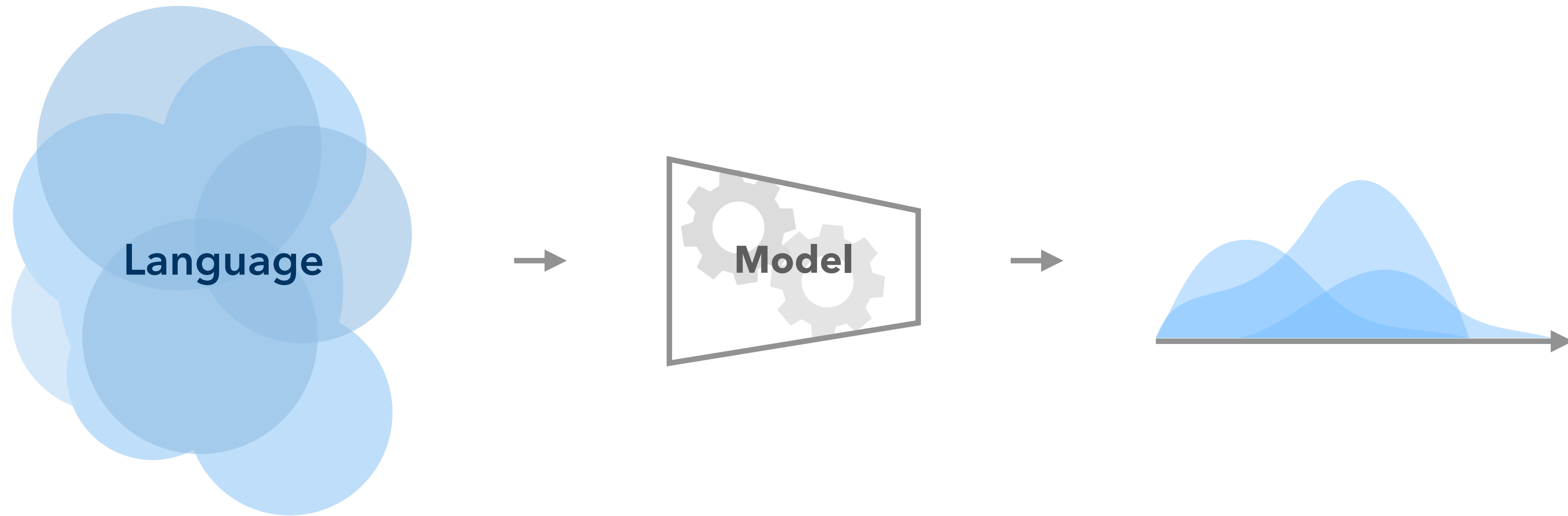
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It is all about language variation & out-of distribution learning

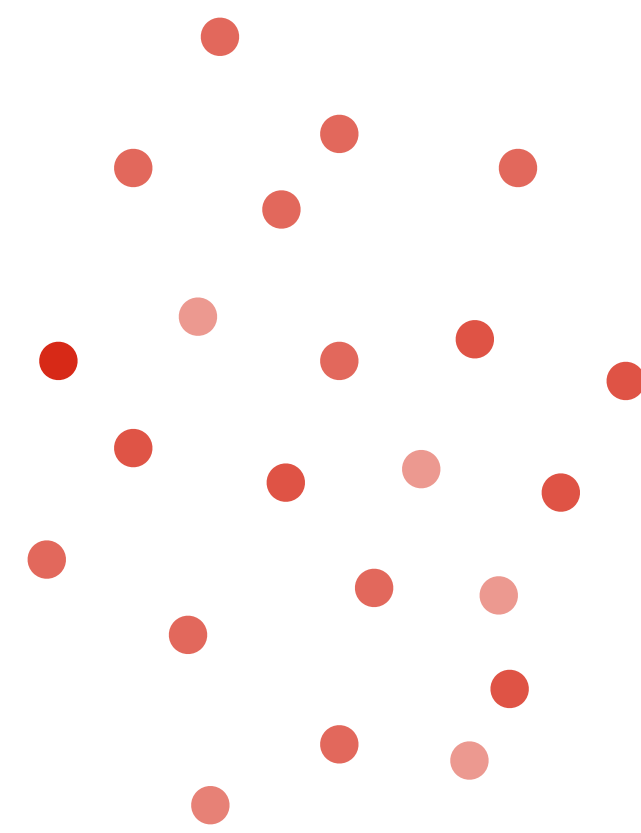
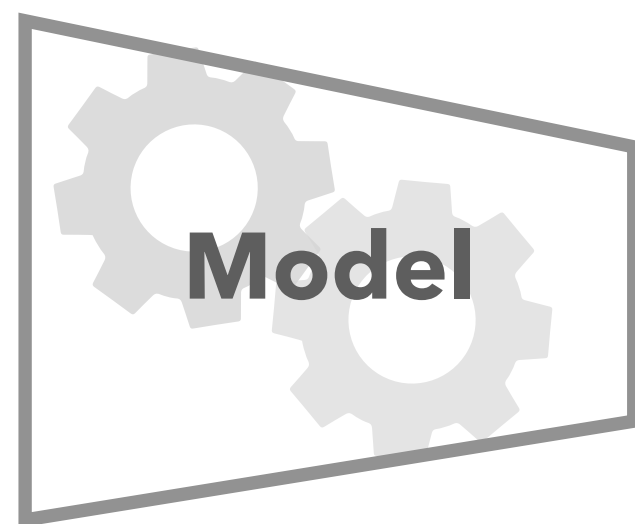
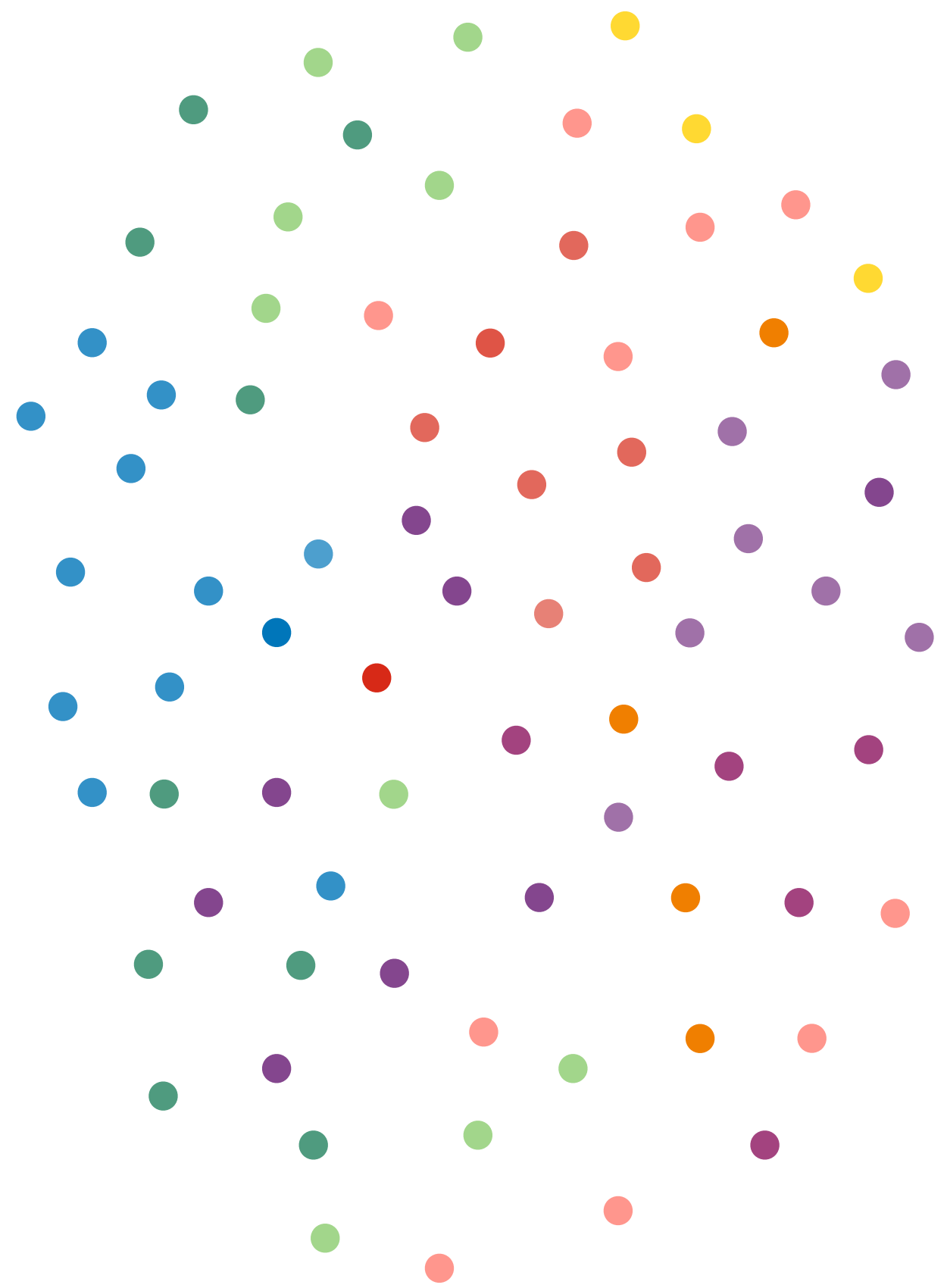


# How do we make sure everyone is understood?

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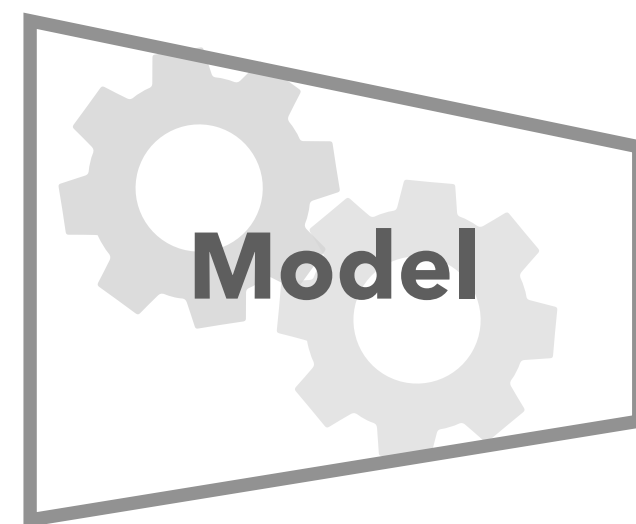
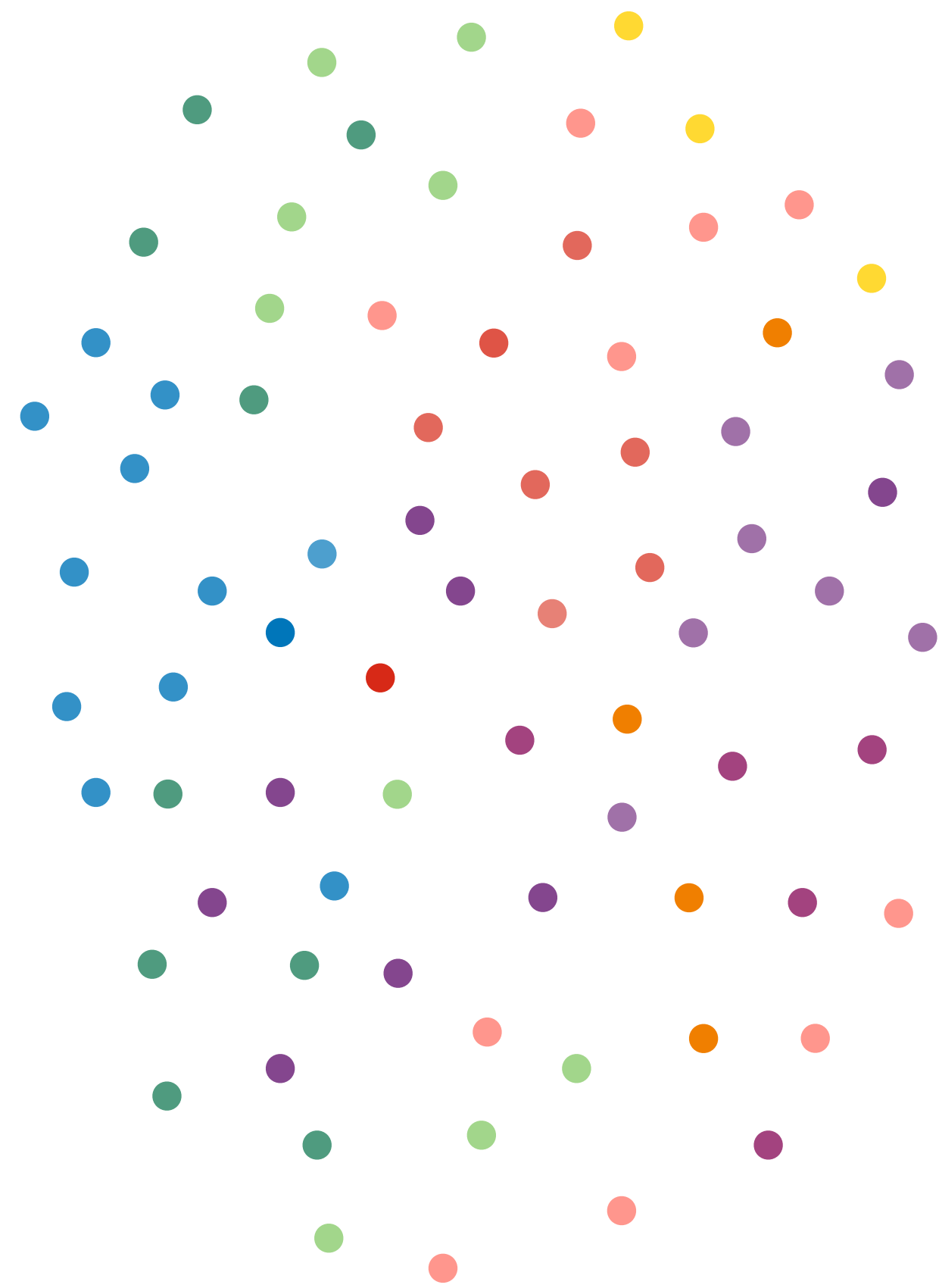
**English**



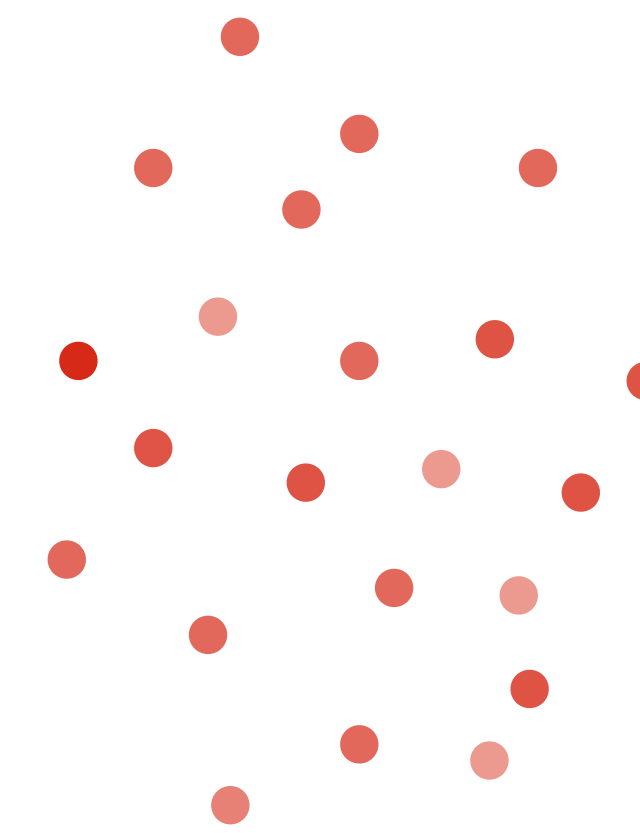
**English**



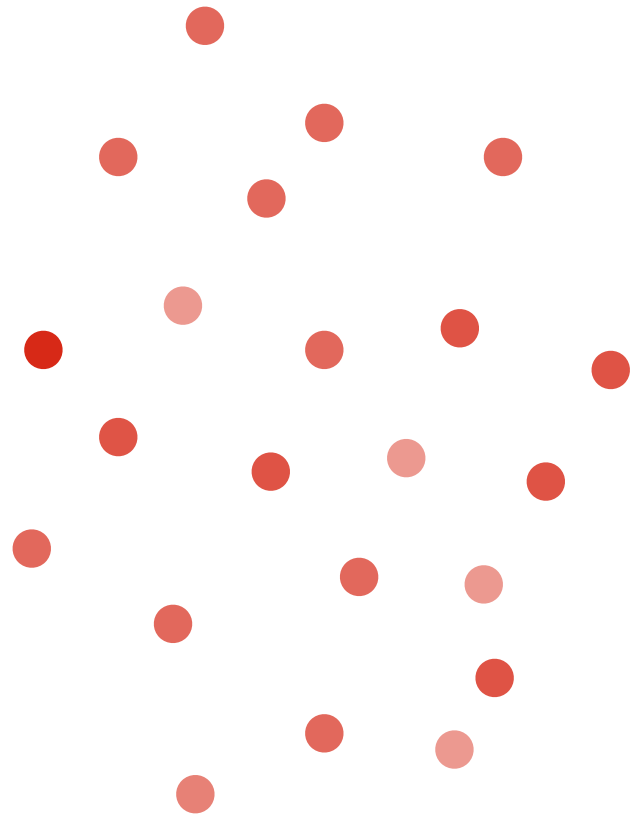
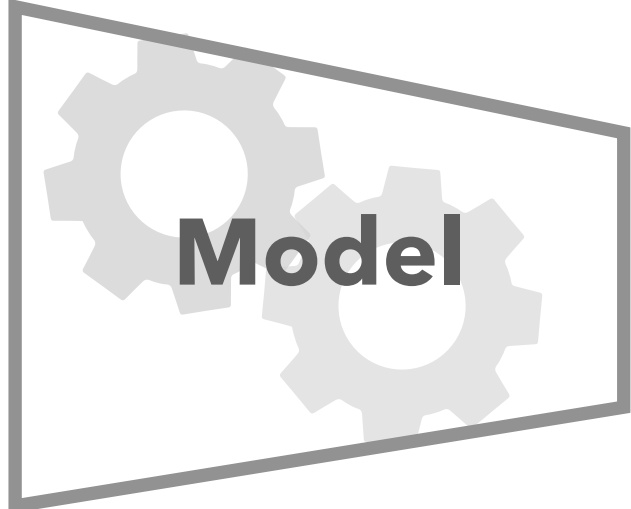
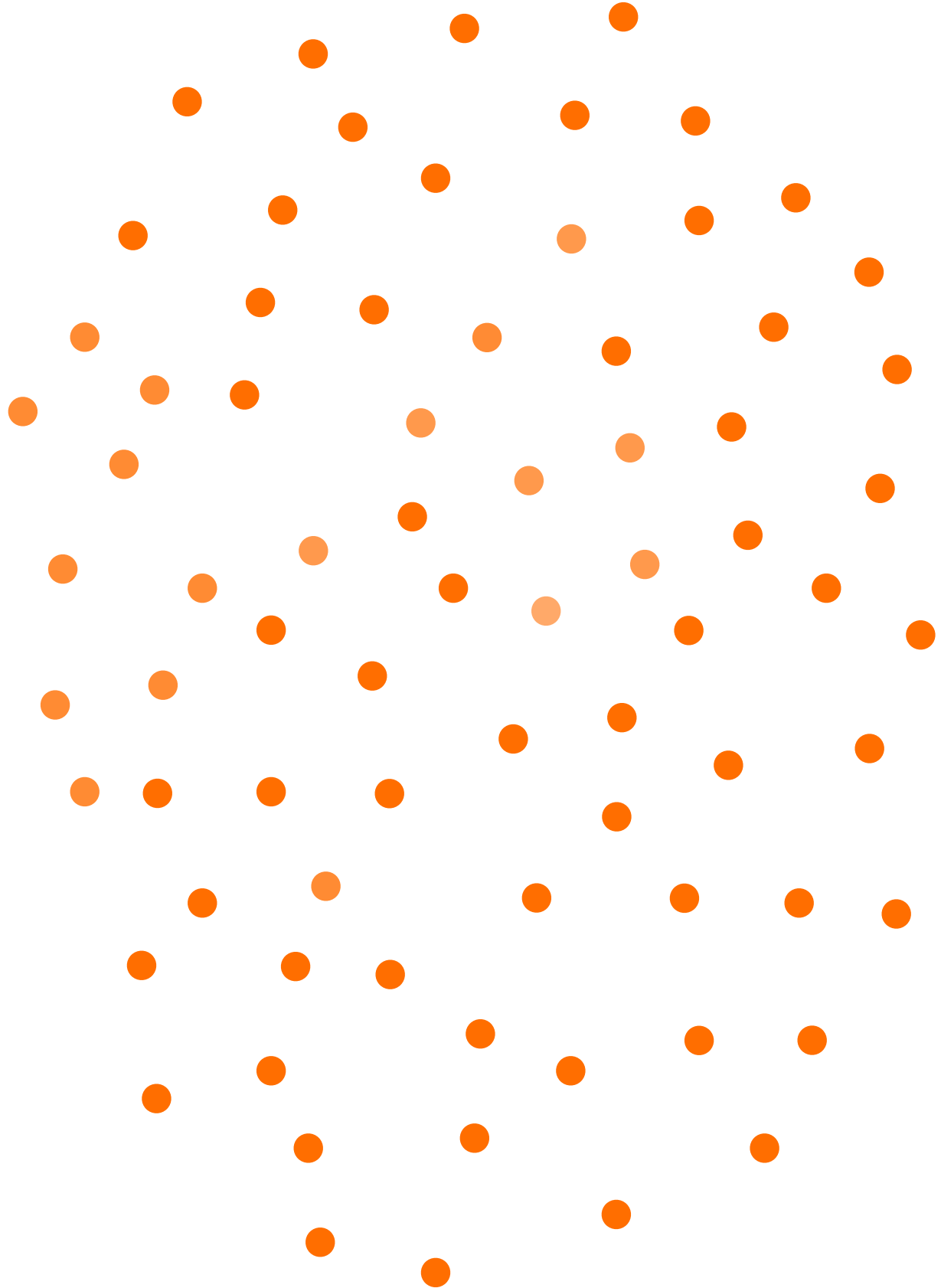
**Multilingual**  
any source



**Faroese**



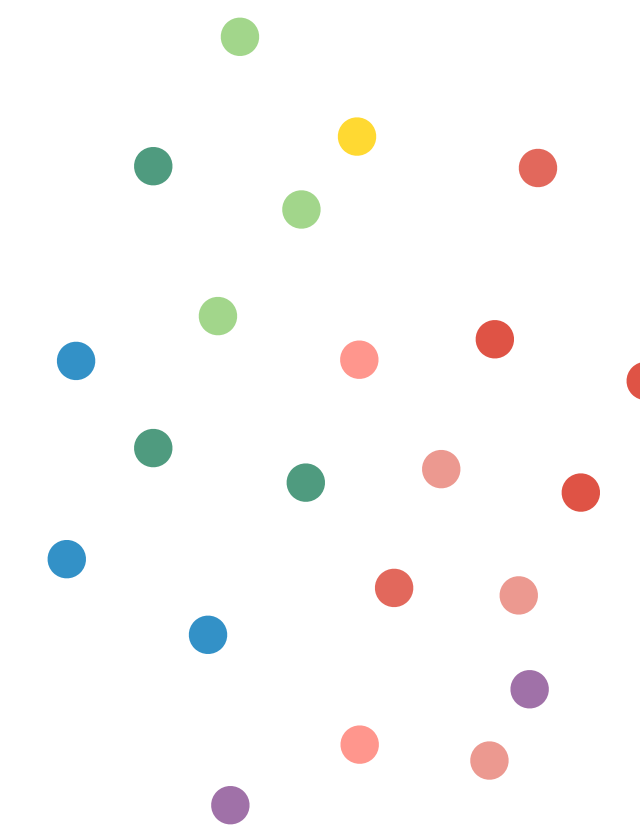
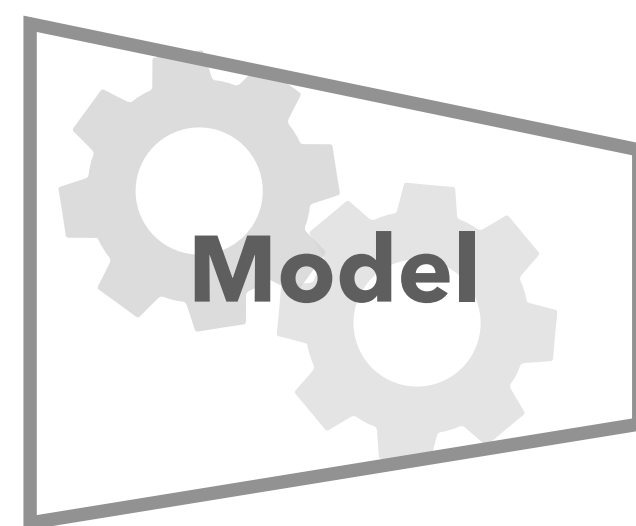
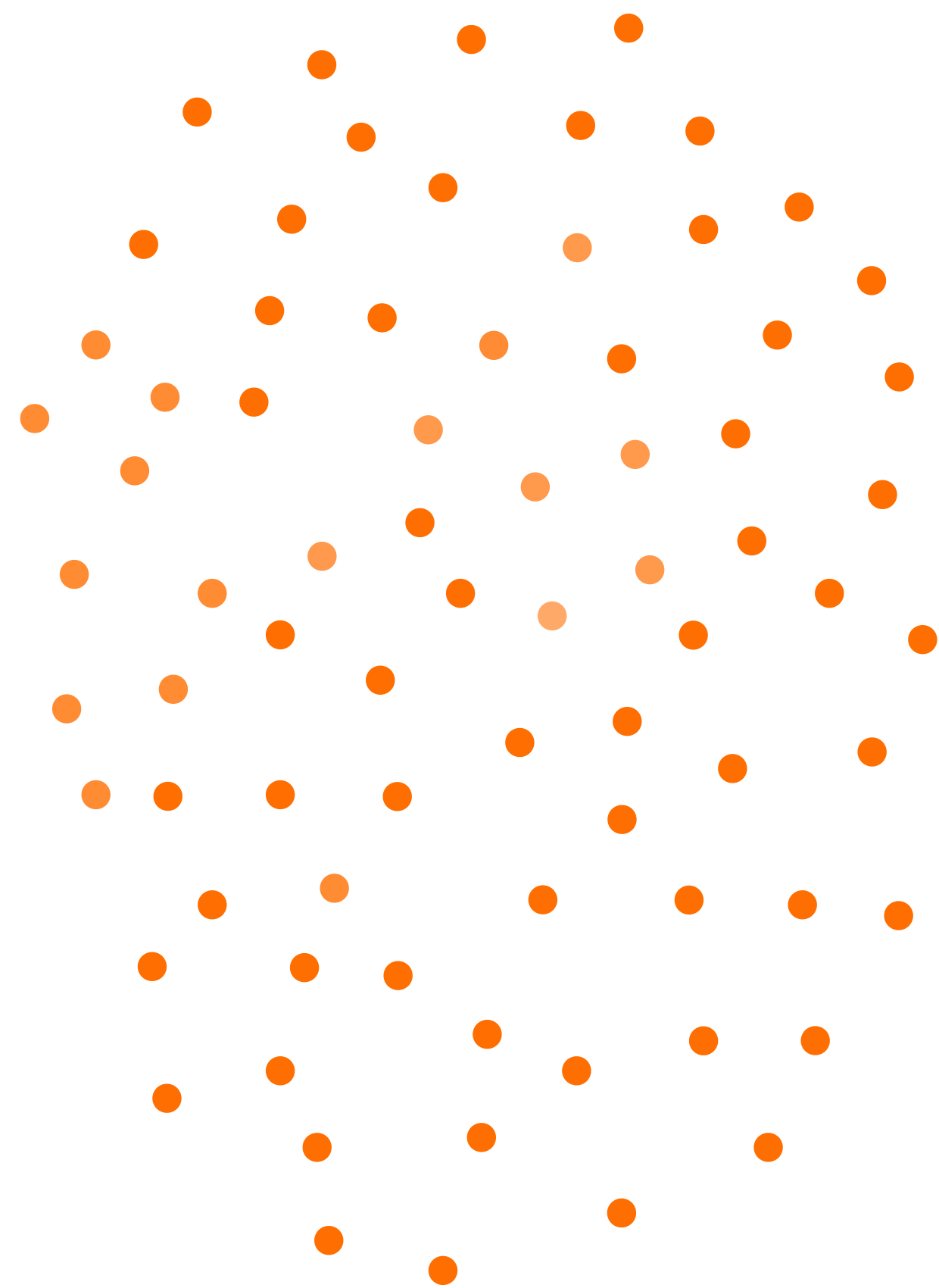
**Danish**  
wiki



**Faroese**  
wiki



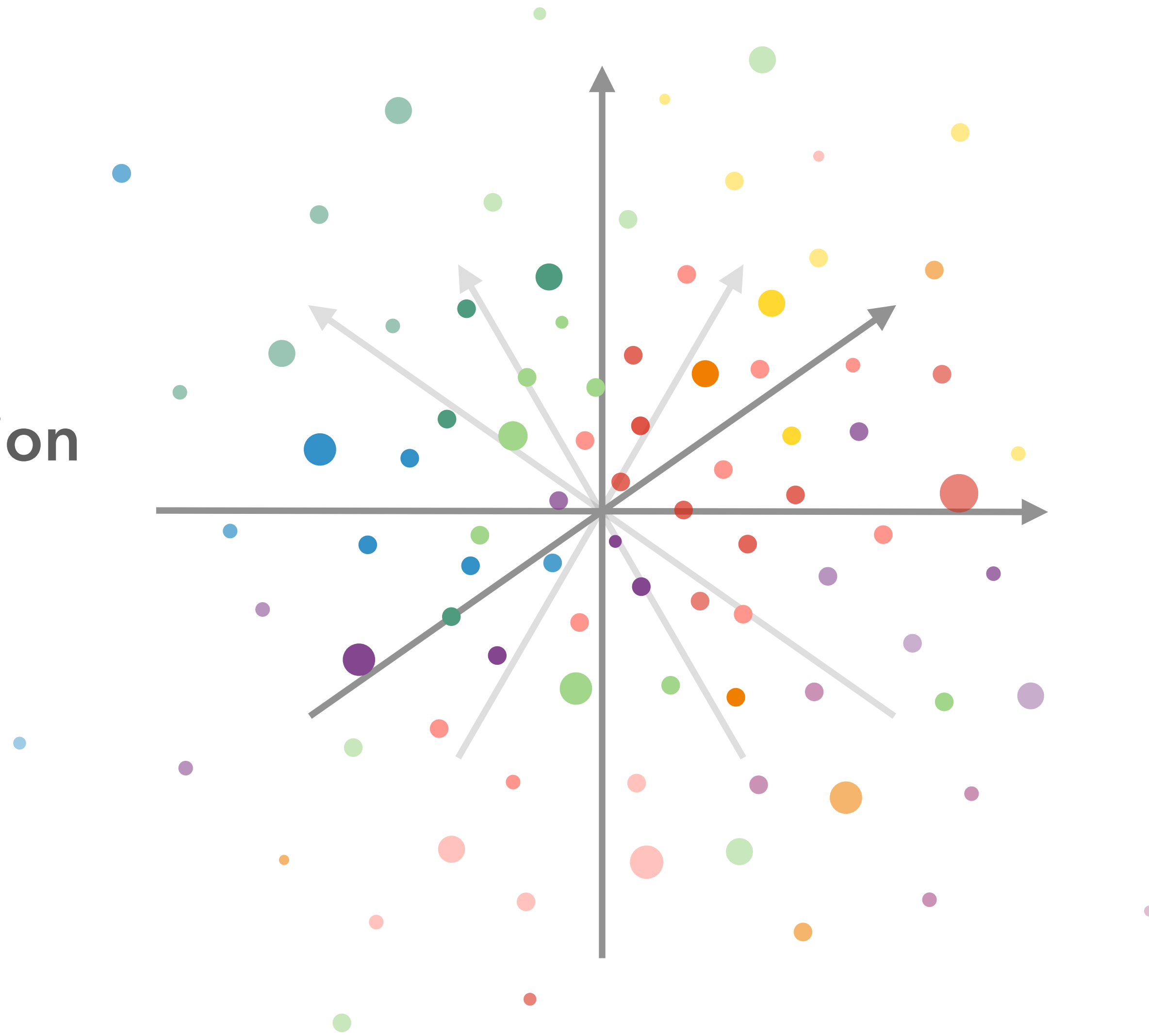
**Danish**  
wiki



social media  
spoken  
poetry  
books  
**Faroese**  
wiki  
news  
medical  
academic

↑ **Language Variation**

Performance ↓



typology  
domain  
genre  
topic  
register  
social context



# What this tutorial is (not) about

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- An overview of early and recent approaches to TL in NLP. This tutorial is *not* exhaustive.
- Pre-training (vanilla, multilingual, continuous)
- Data selection (select data that matches the target)
- Subspaces and Performance Prediction (investigate representations for transfer)
- Multi-task Learning (use information from other tasks)
- Data augmentation (modify labeled data to create class-preserving labeled data)
- Semi-supervised learning (label from labeled and unlabelled data)
- Zero-shot/few-shot learning (use no/few labeled instances or instructing tuning)
- Active learning (select data to give to an annotator), Knowledge distillation (use a teacher to label the data), ...

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- **Pre-training (vanilla, multilingual, ...)**
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T5

ACL 2022  
ZERO - SPYR NLP / DATA MEETING / DIALOGUE


**Less Data, More \_\_\_?**  
Data Augmentation and Semi-Supervised Learning for Natural Language Processing

Diyi Yang, Georgia Tech  
Ankur P. Parikh, Google Research  
Colin Raffel, University of North Carolina, Chapel Hill

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Diyi Yang  
Georgia Tech






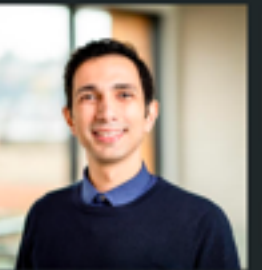

Ankur Parikh  
Google



Colin Raffel  
UNC-Chapel Hill

**Zero- and Few-Shot NLP with Pretrained Language Models**

Iz Beltagy, Arman Cohan, Robert L. Logan IV, Sewon Min, Sameer Singh



This tutorial is *not* exhaustive.

**representations for transfer)**

~~... (using unlabeled data)~~

~~... (using unlabeled data)~~

~~... (using unlabeled data)~~



# Outline

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- Introduction: Why Transfer? Dimensions of Language Variation
- Part 1: What is Transfer Learning?
  - Three views on Transfer Learning, Related Learning Strategies
- Part 2: A type of TL: What is Multi-Task Learning?
  - What and Why, Perspectives on MTL
  - Short hands-on tutorial with MaChAmp
- Part 3: Selected Case Studies
  - Applications to Multilinguality, Transferability Estimation, Human Label Variation
- Outro

# Part 1: What is Transfer Learning?

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Views on Transfer Learning, Related Learning Strategies

# Transfer Learning (TL)

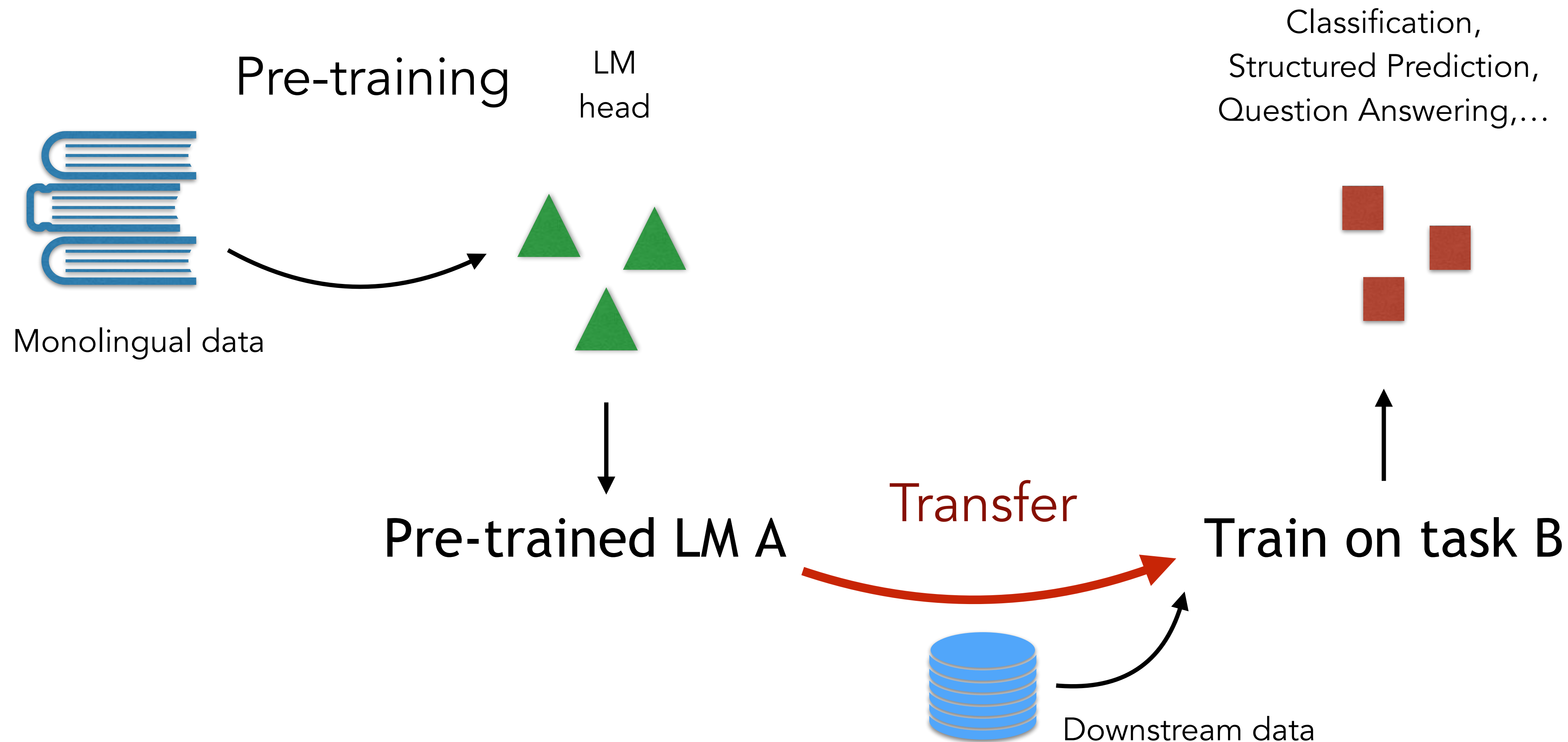
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Leverage knowledge gained to help solve a related problem



# Today's typical Transfer Learning (TL) setup = Sequential Transfer Learning

Learn on one dataset / task, then transfer to another dataset / task

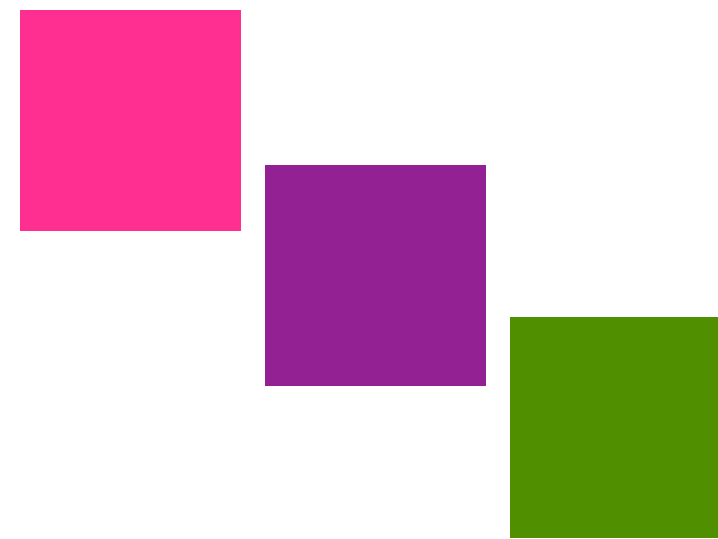




**Is this all there is to TL? No.**

**Sequential TL is just one  
(narrow) view on transfer  
learning. TL is broader**

# Three views on Transfer Learning

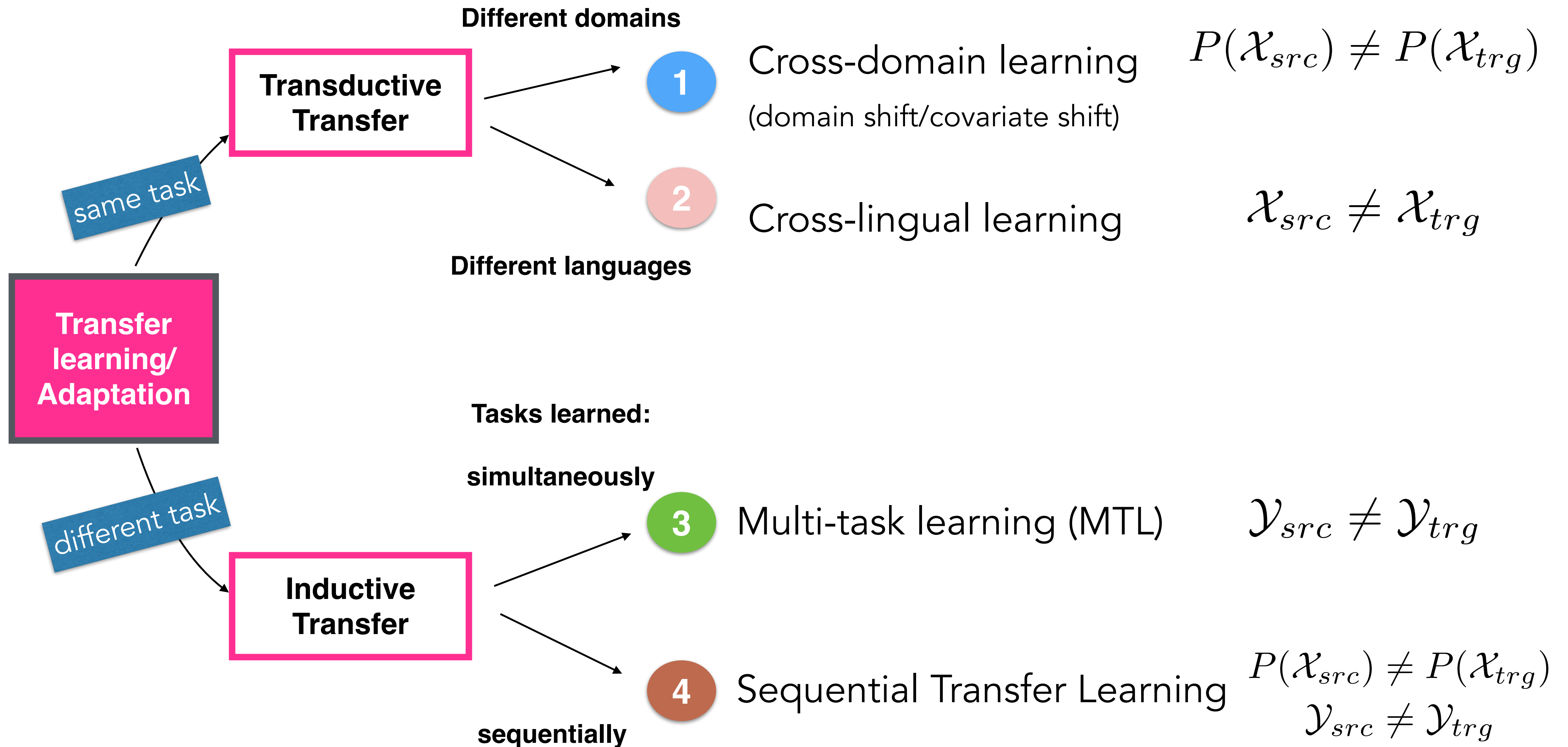


**Data domain**  $\mathcal{D} = \{\mathcal{X}, P(\mathcal{X})\}$   
with  $\mathcal{X}$  the feature space

~ Notation ~

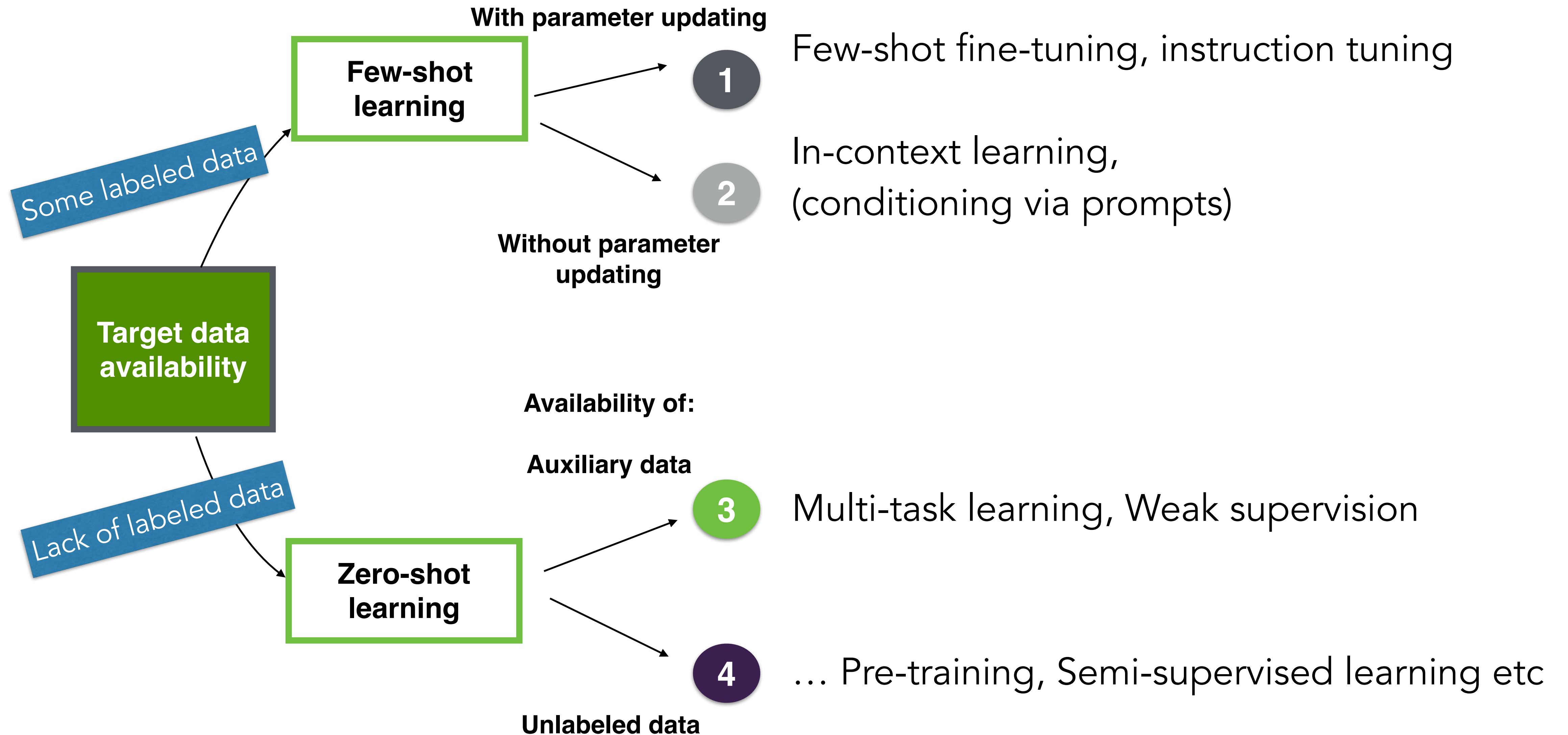
**Task**  $\mathcal{T} = \{\mathcal{Y}, P(\mathcal{Y}|\mathcal{X})\}$   
where  $\mathcal{Y}$  is the label space

# Types of Transfer Learning - View 1/3: Kind of tasks, data, timing

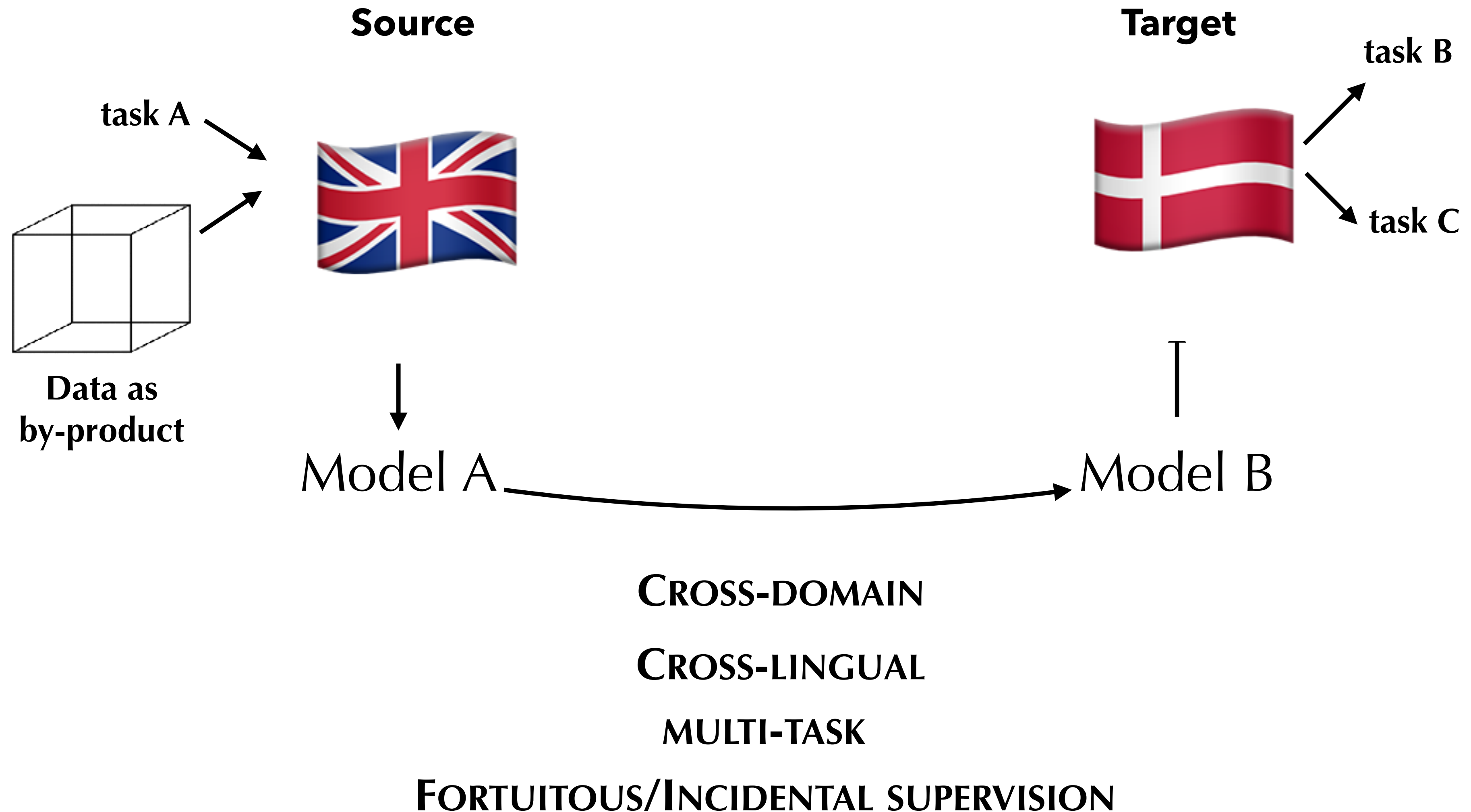




# Types of Transfer Learning - View 2/3: Availability of resources



# Types of Transfer Learning - View 3/3: How to cross the gulf

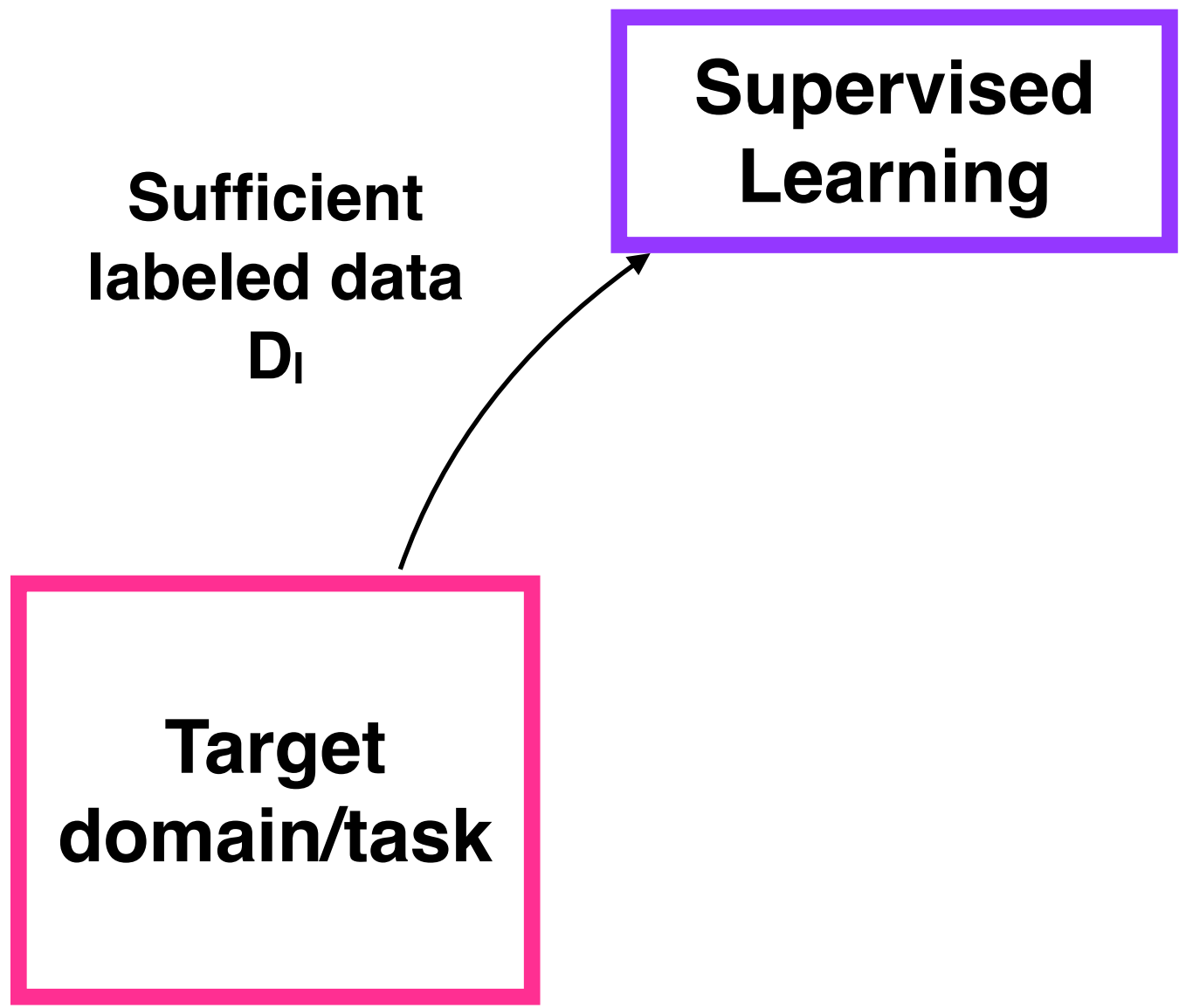




**TL is finding smart ways to re-use {knowledge, data, models...} for the purpose of generalisation**

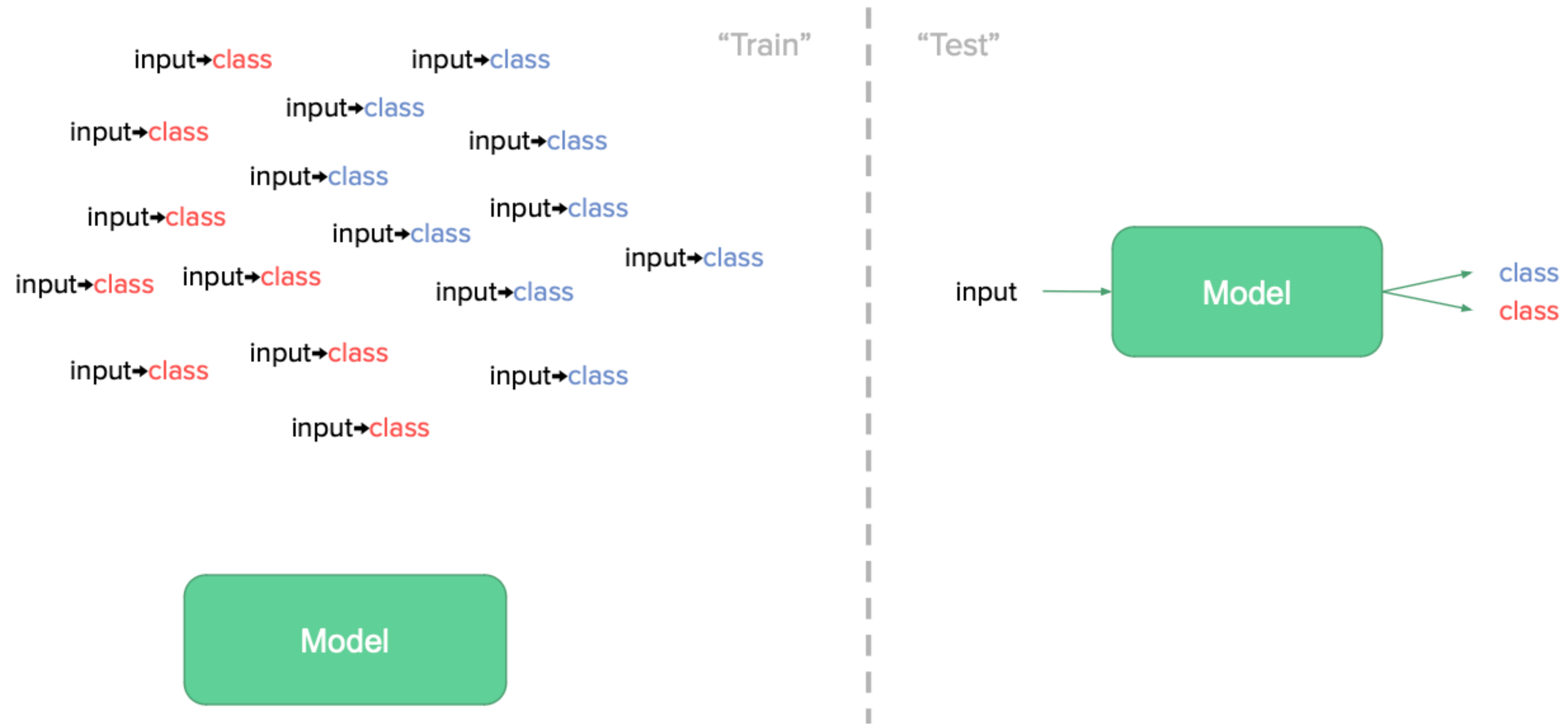
# Related learning paradigms

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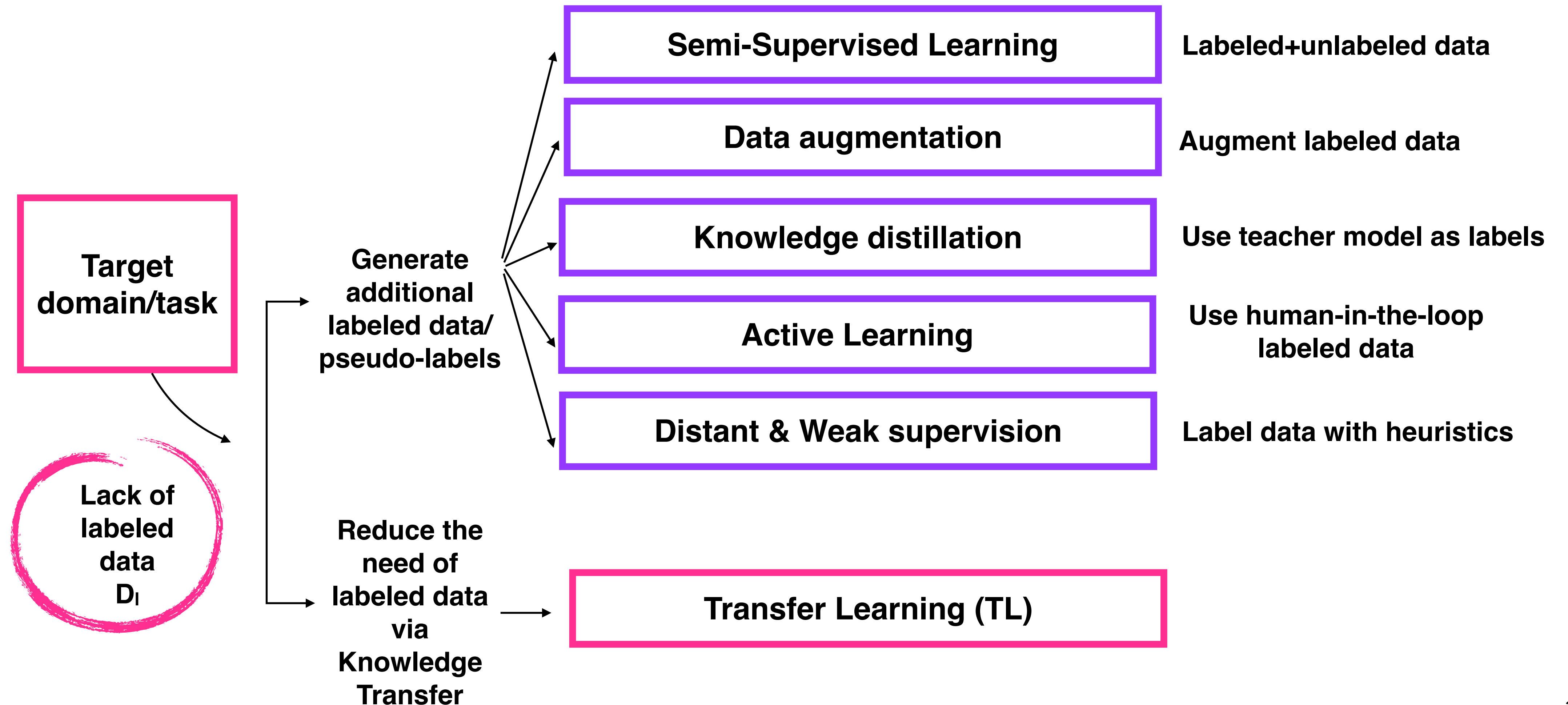
# Supervised Learning

Slide by Beltagy et al., ACL 2022 tutorial



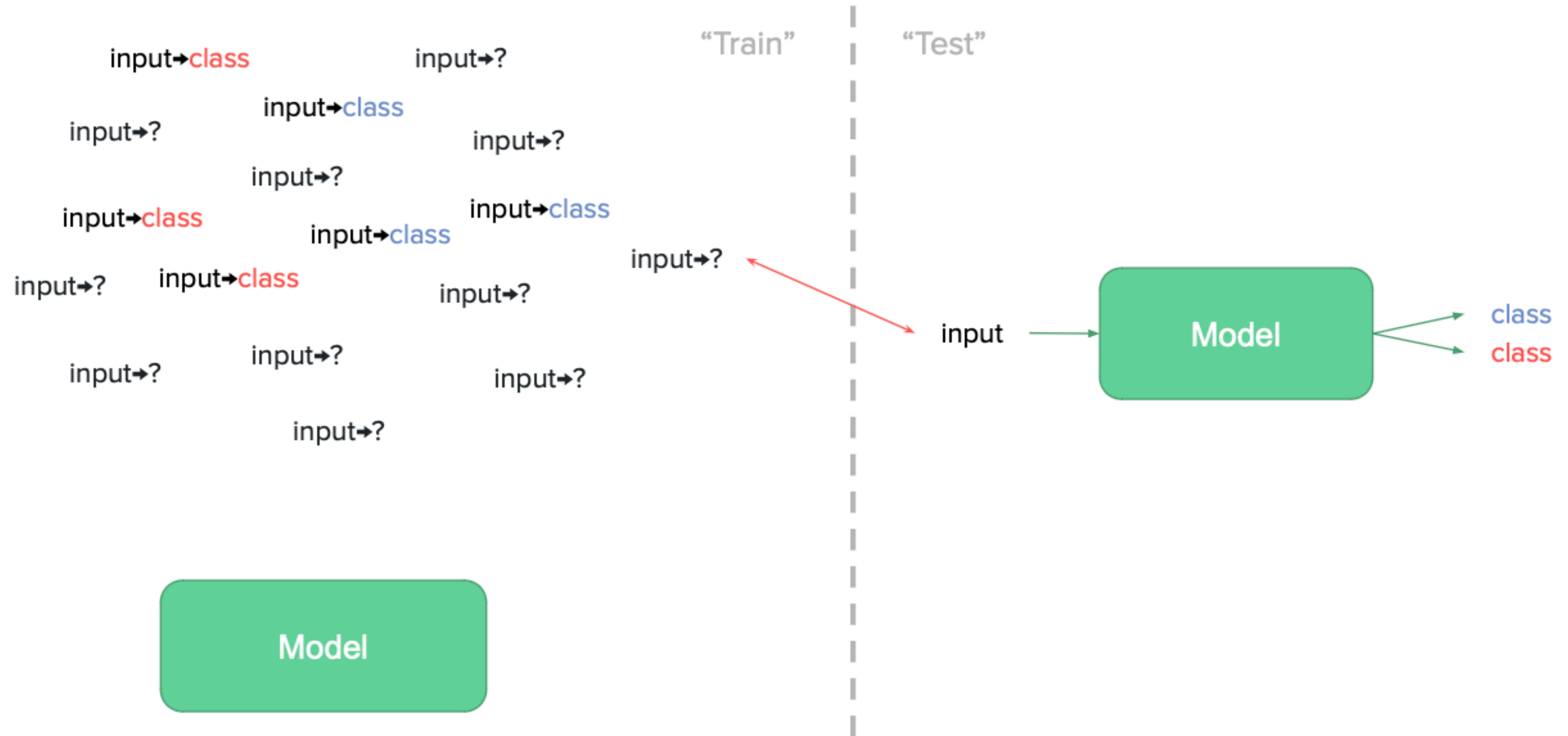


# Related learning paradigms



# Semi-Supervised Learning

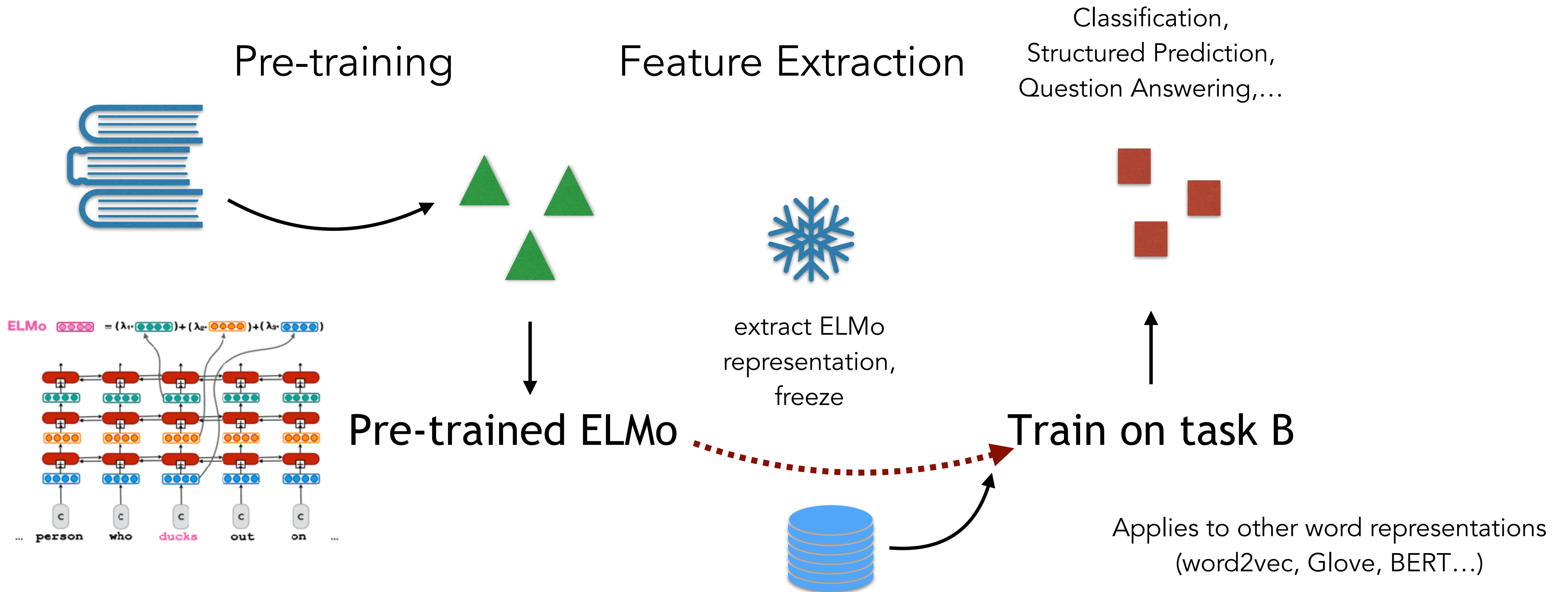
Slide by Beltagy et al., ACL 2022 tutorial



# **Sequential Transfer Learning - Approaches (incl. a short history)**

# Transfer Learning (TL) via pre-training I: Feature extraction (e.g. ELMo)

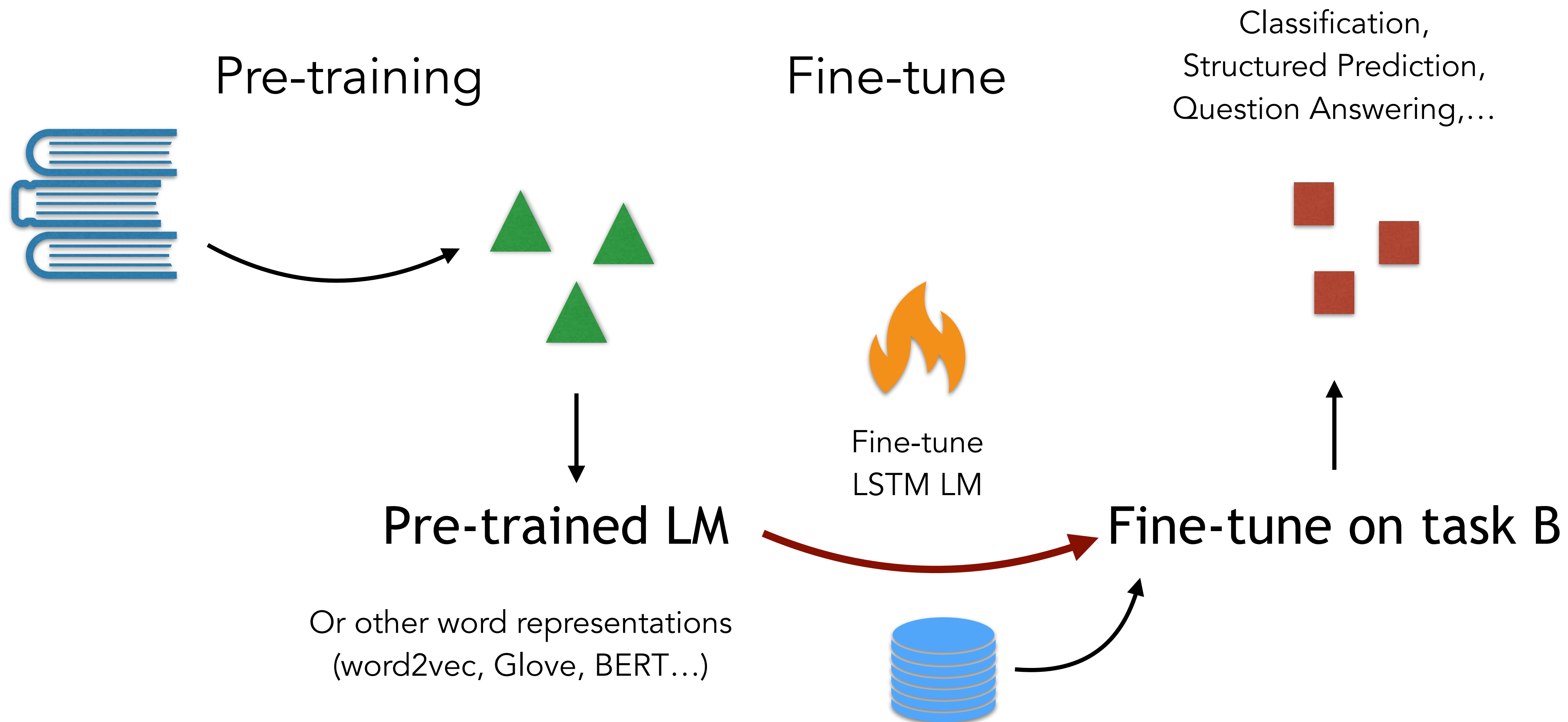
Peters et al. (2018)





# Transfer Learning (TL) via pre-training II: Fine Tuning (e.g. ULMFiT, BERT)

Howard & Ruder (2018); Peters et al., (2018)



# Sequential Transfer Learning (TL) - Problems and Solutions

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Howard & Ruder (2018), Radford et al. (2018)

- A common problem of fine-tuning is that retraining the model can mean to lose information about the general pre-training data ("**catastrophic forgetting**")
- To address this, in **gradual unfreezing** the model will be trained in steps, starting by the last layer. So all layers are first frozen except the last one. In every step an additional layer is "unfrozen"
- Learning a large model can be **unstable**
  - First increase learning rate, then decrease it (**slanted triangular learning rate**)
- From biLSTMs to **transformers**
  - While first models use LSTMs (Howard & Ruder, 2018), GPT (Radford et al., 2018) used a transformer architecture in early GPT

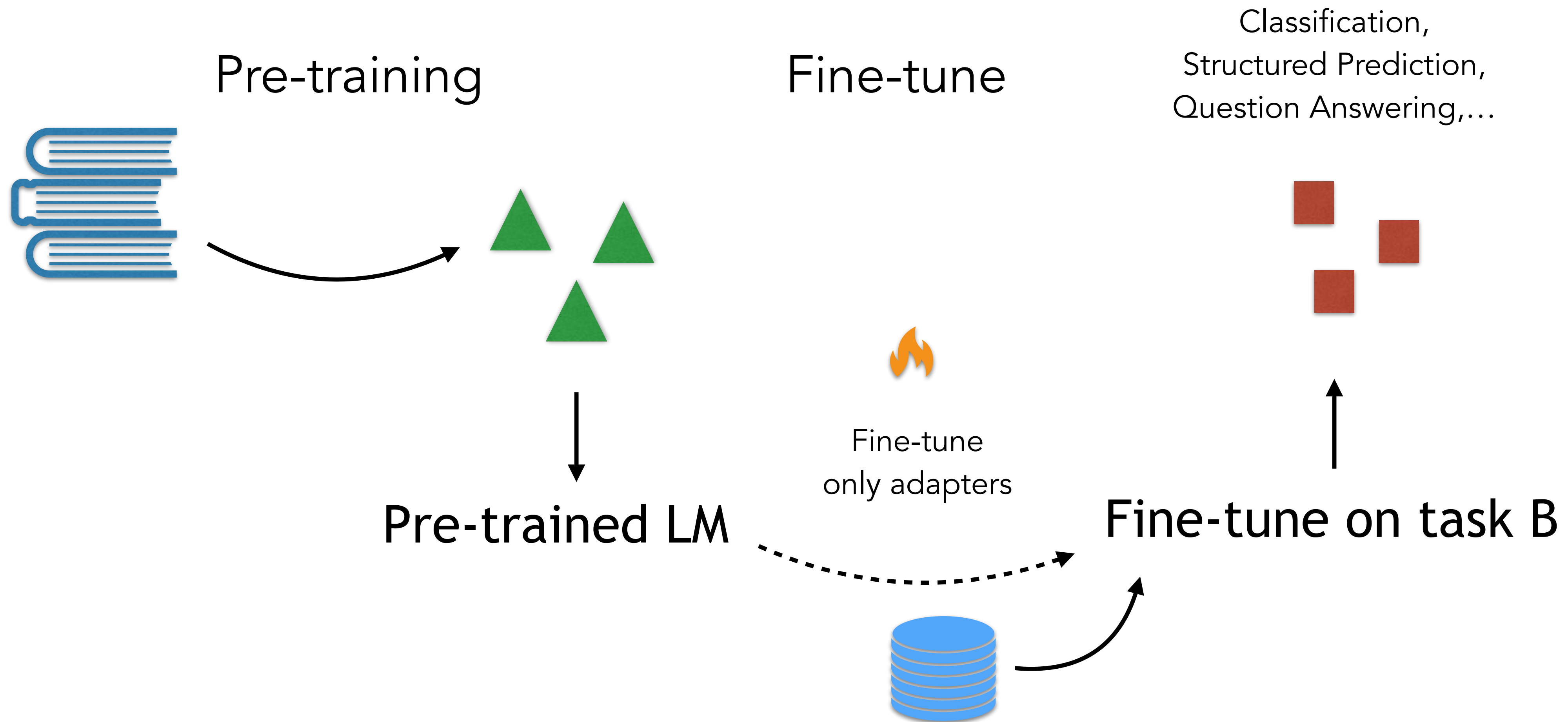
# Full-fine tuning: Further Issues

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- Standard fine-tuning updates all LM parameters
  - Prone to overfitting and catastrophic forgetting
  - Practically may be too expensive
- A solution:
  - Modularity - adapters

# Full-fine tuning limitations. Solution: Adapters

(Houlsby et al., 2019; Pfeiffer et al., 2020)



# Adapters: Modular Adaptation

(Houlsby et al., 2019; Pfeiffer et al., 2020)

- **Adapters:** small modules inserted into transformer layers for efficient fine-tuning

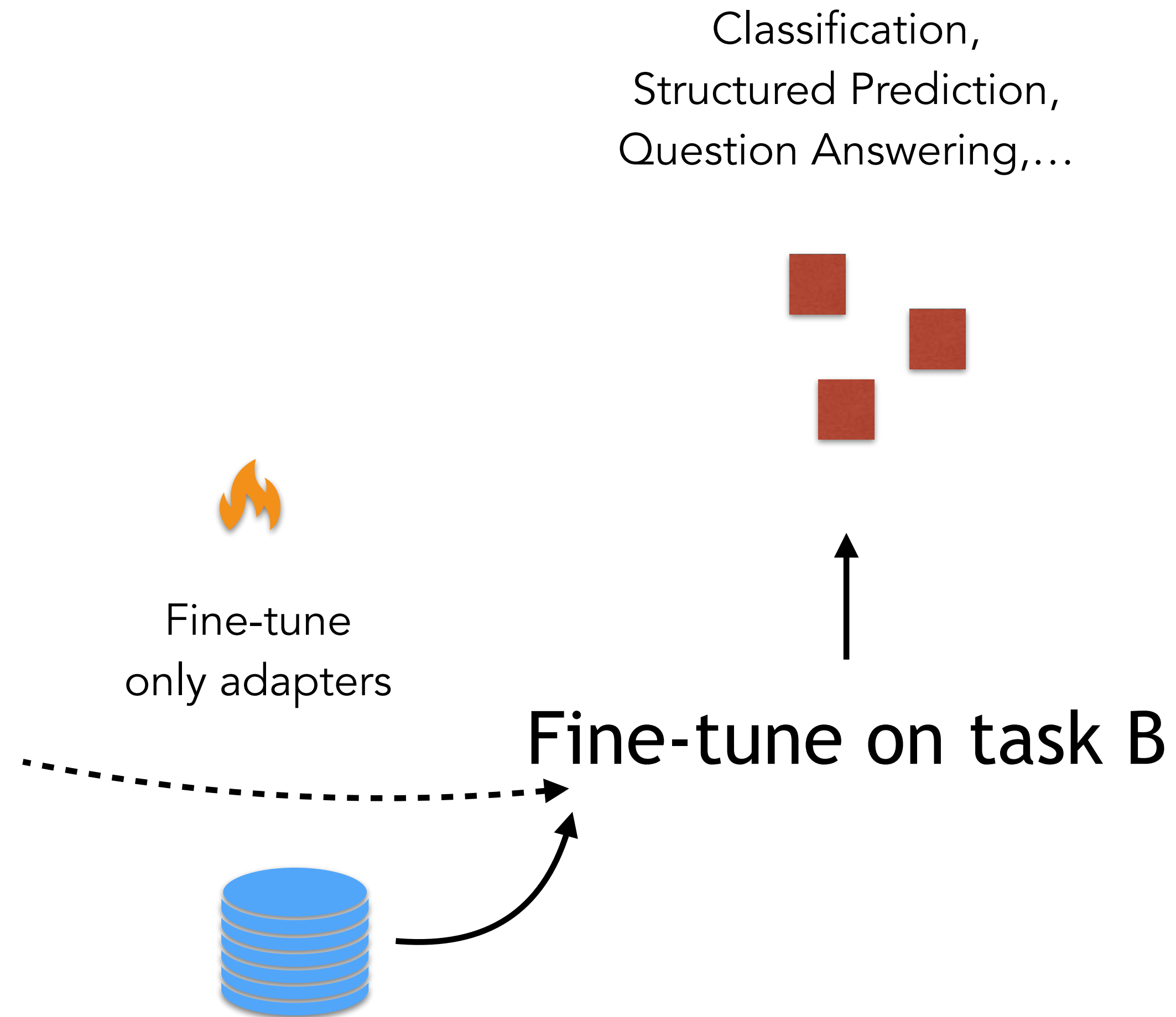
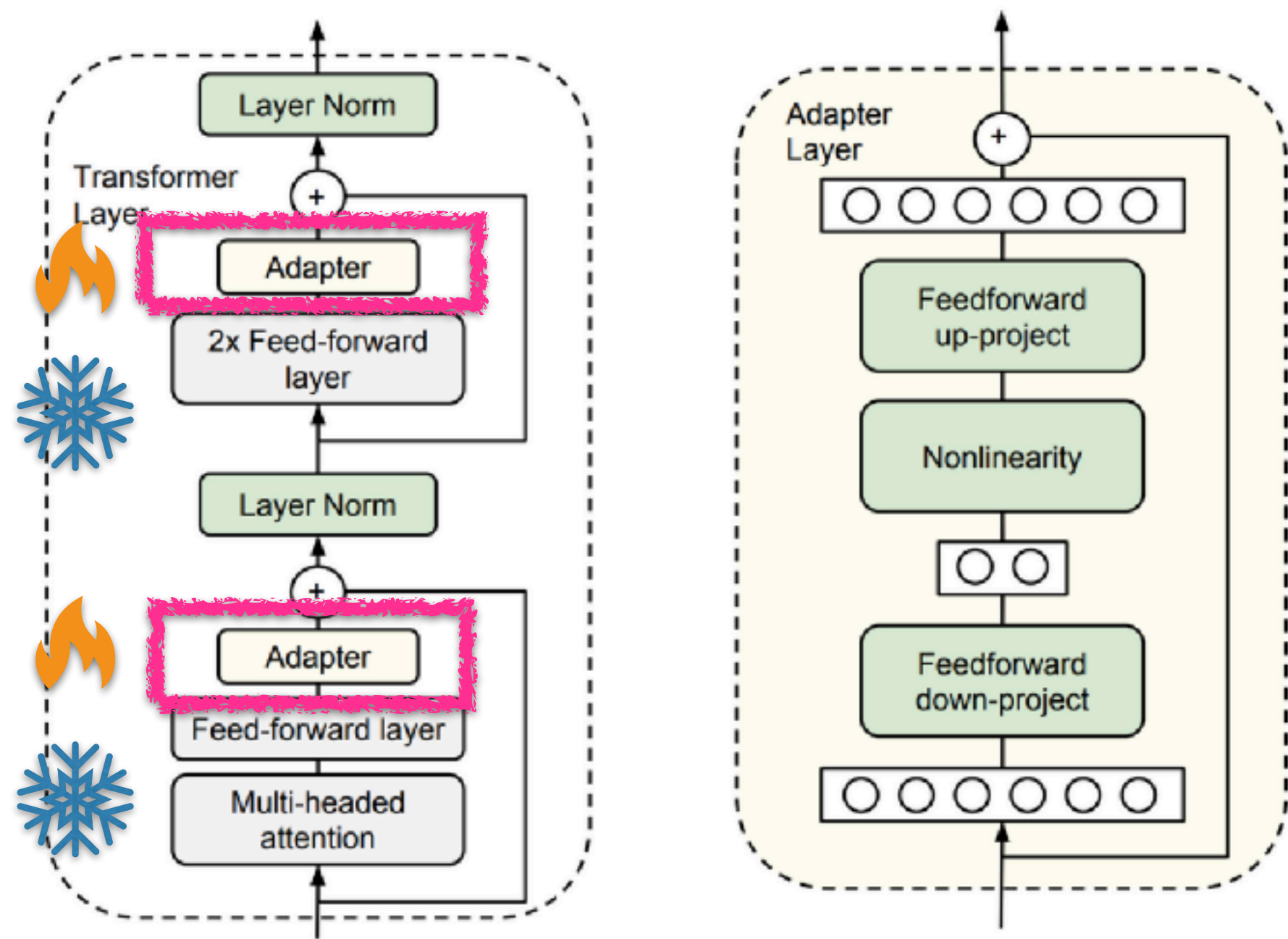


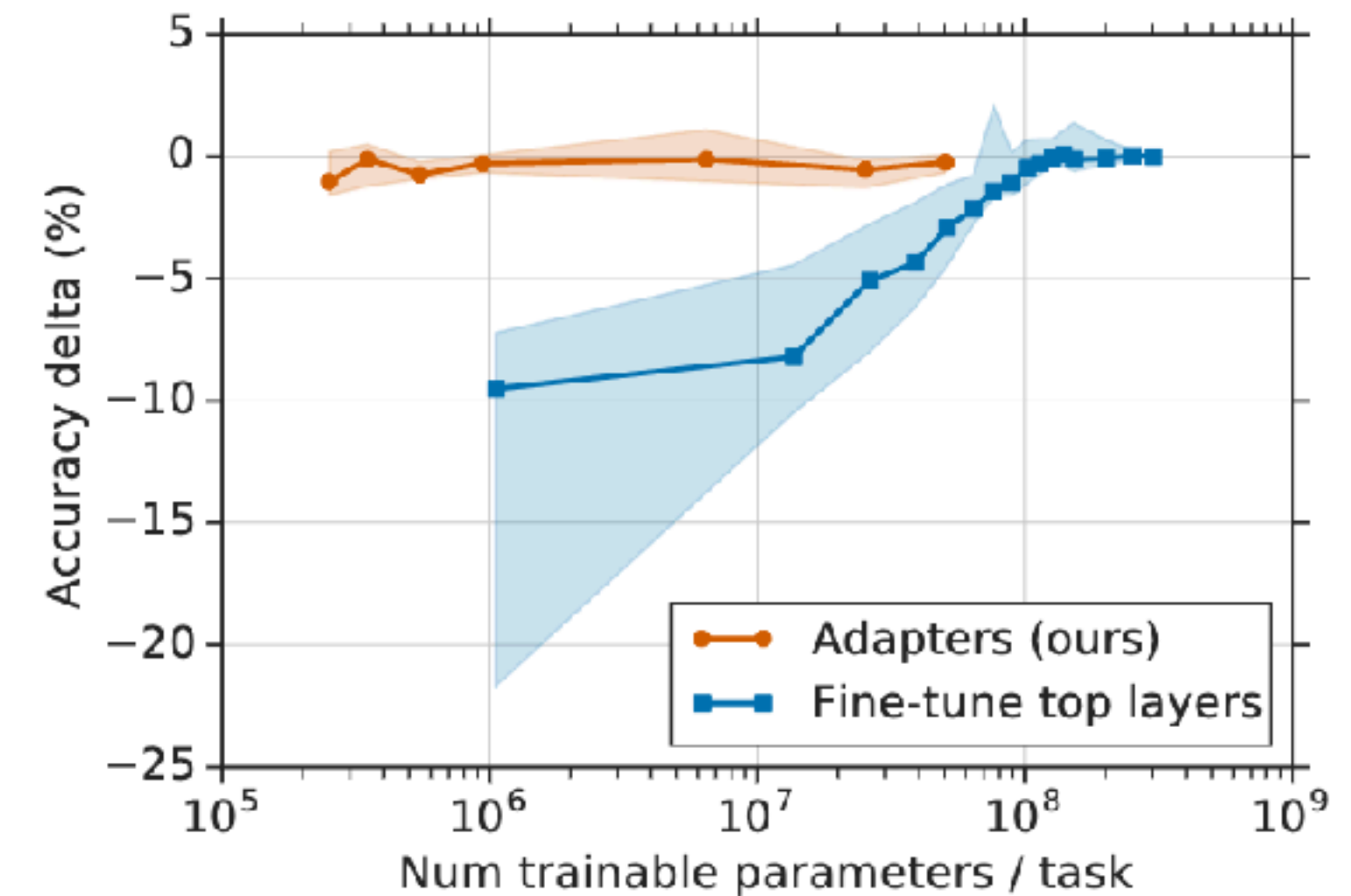
Figure from Houlsby et al., 2019



# Adapters: Modular Adaptation

(Houlsby et al., 2019; Pfeiffer et al., 2020; Üstün et al., 2022)

- Adapters learn transformations to adapt a base model to a target task
- Encapsulate knowledge in a modular way
- Do adapters work?

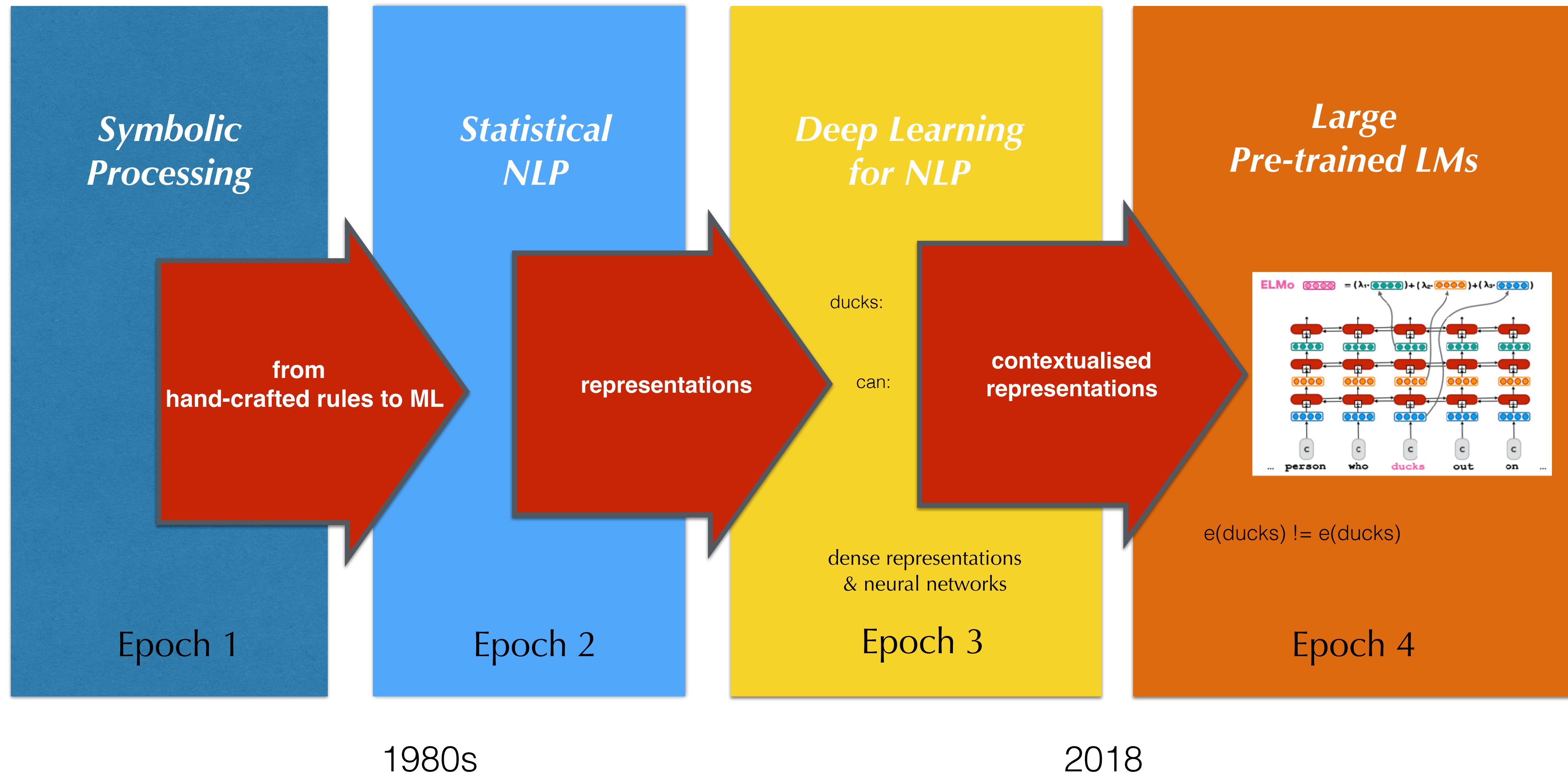


Parameter-Efficient Transfer Learning for NLP

	Total num params	Trained params / task	CoLA	SST	MRPC	STS-B	QQP	MNLI <sub>m</sub>	MNLI <sub>mm</sub>	QNLI	RTE	Total
BERT <sub>LARGE</sub>	9.0×	100%	60.5	94.9	89.3	87.6	72.1	86.7	85.9	91.1	70.1	80.4
Adapters (8-256)	1.3×	3.6%	59.5	94.0	89.5	86.9	71.8	84.9	85.1	90.7	71.5	80.0
Adapters (64)	1.2×	2.1%	56.9	94.2	89.6	87.3	71.8	85.3	84.6	91.4	68.8	79.6

- Adapters are trained separately. Limitation: **No sharing** between different tasks

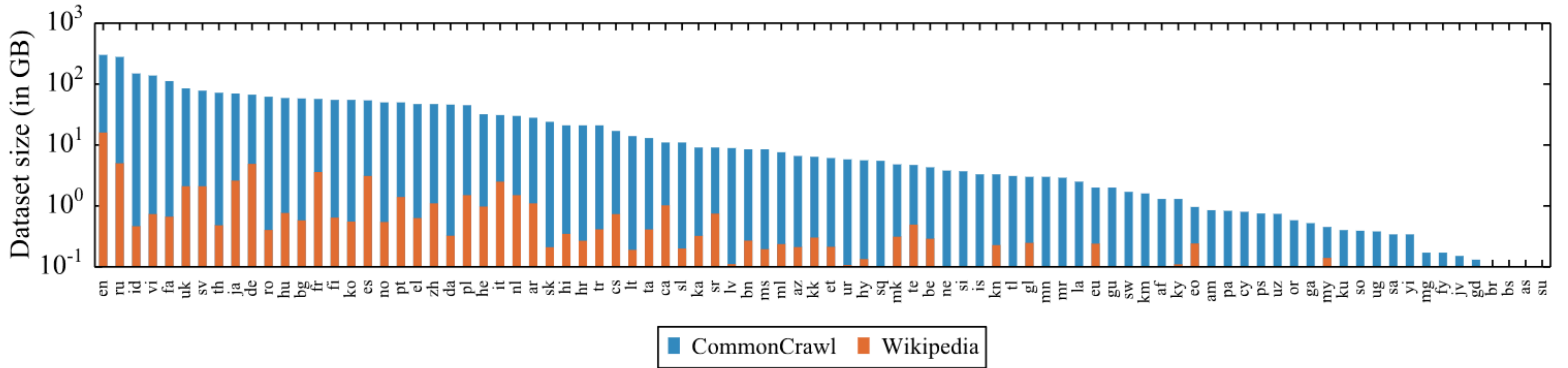
# A snapshot of NLP history - Act in 4 Epochs



**Are Language Models truly  
universal?**

# Languages

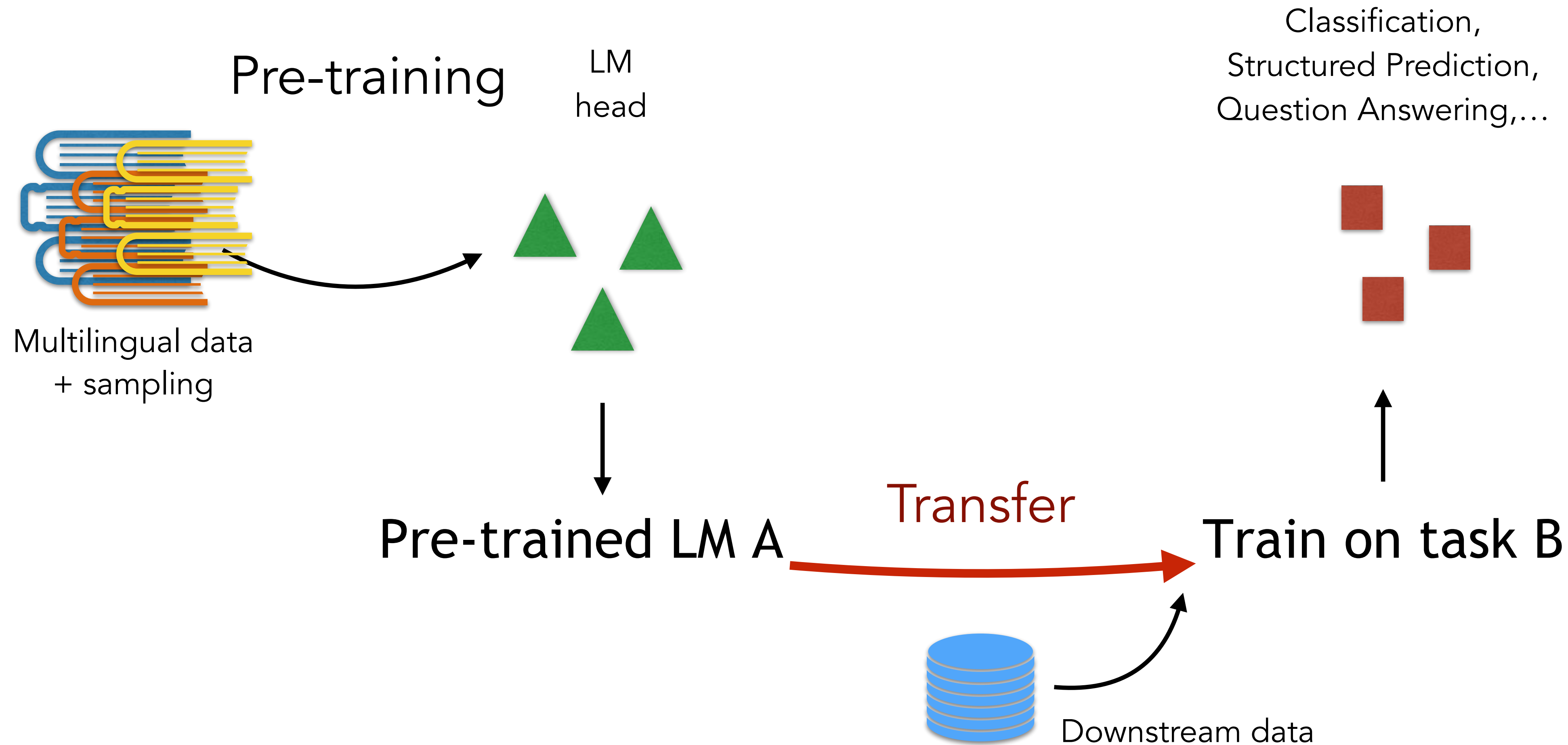
# Motivation





# Multilingual Language Models (e.g., mBERT, XLM-R)

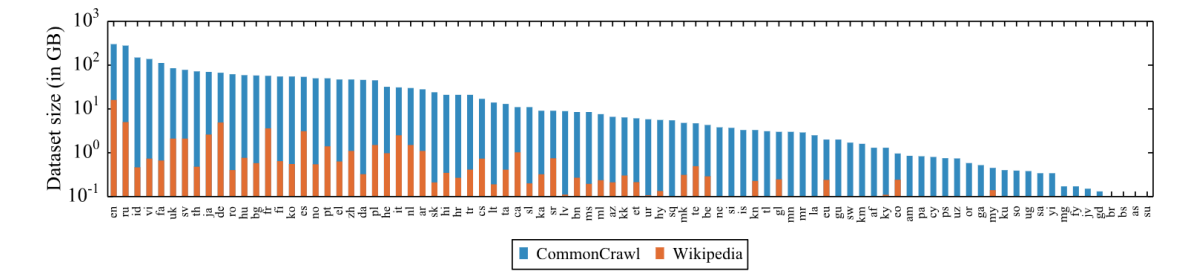
The easiest way to do transfer learning across languages is via the representations



# On the limitations of zero-shot TL with Multilingual Transformers

Lauscher et al., 2020; Conneau et al., 2020

- Zero-shot performs poorly to distant languages \*and\* languages with smaller pre-training corpus sizes



Task	Model	EN	ZH △	TR △	RU △	AR △	HI △	EU △	FI △	HE △	IT △	JA △	KO △	SV △	VI △	TH △	ES △	EL △	DE △	FR △	BG △	SW △	UR △	
DEP	B	91.2	-43.9	-46.0	-28.1	-56.4	-36.1	-50.2	-30.7	-36.1	-17.1	<b>-60.1</b>	-56.1	-14.3	-	-	-	-	-	-	-	-	-	-
	X	92.0	<b>-85.4</b>	-44.2	-29.7	-54.6	-39	-49.5	-26.7	-39	-23.5	-80.5	-56.0	-16.3	-	-	-	-	-	-	-	-	-	-
POS	B	95.8	-38.0	-35.9	-16.0	-40.1	-33.4	-34.6	-21.9	-33.4	-19.8	<b>-46.1</b>	-42.0	-9.6	-	-	-	-	-	-	-	-	-	-
	X	96.3	-69.2	-27.7	-14.3	-37.1	-27.3	-31.9	-17.9	-27.3	-19.0	<b>-77.0</b>	-37.3	-10.7	-	-	-	-	-	-	-	-	-	-
NER	B	92.4	-23.3	-11.6	-10.7	<b>-31.7</b>	-11.1	-12.8	-3.8	-11.1	-2.6	-25.7	-13.8	-6.7	-	-	-	-	-	-	-	-	-	-
	X	91.6	<b>-34.8</b>	-6.2	-13.7	-24.6	-16.5	-8.0	-0.9	-16.5	-2.4	-30.1	-15.6	-2.2	-	-	-	-	-	-	-	-	-	-
XNLI	B	82.8	-13.6	-20.6	-13.5	-17.3	-21.3	-	-	-	-	-	-	-	-11.9	-28.1	-8.1	-14.1	-10.5	-7.8	-13.3	<b>-33.0</b>	-23.4	
	X	84.3	-11.0	-11.3	-9.0	-13.0	-14.2	-	-	-	-	-	-	-	-9.7	-12.3	-5.8	-8.9	-7.8	-6.1	-6.6	<b>-20.2</b>	-17.3	
XQuAD	B	71.1	-22.9	-34.2	-19.2	-24.7	-28.6	-	-	-	-	-	-	-	-22.1	<b>-43.2</b>	-16.6	-28.2	-14.8	-	-	-	-	
	X	72.5	<b>-26.2</b>	-18.7	-15.4	-24.1	-22.8	-	-	-	-	-	-	-	-19.7	-14.8	-14.5	-15.7	-16.2	-	-	-	-	

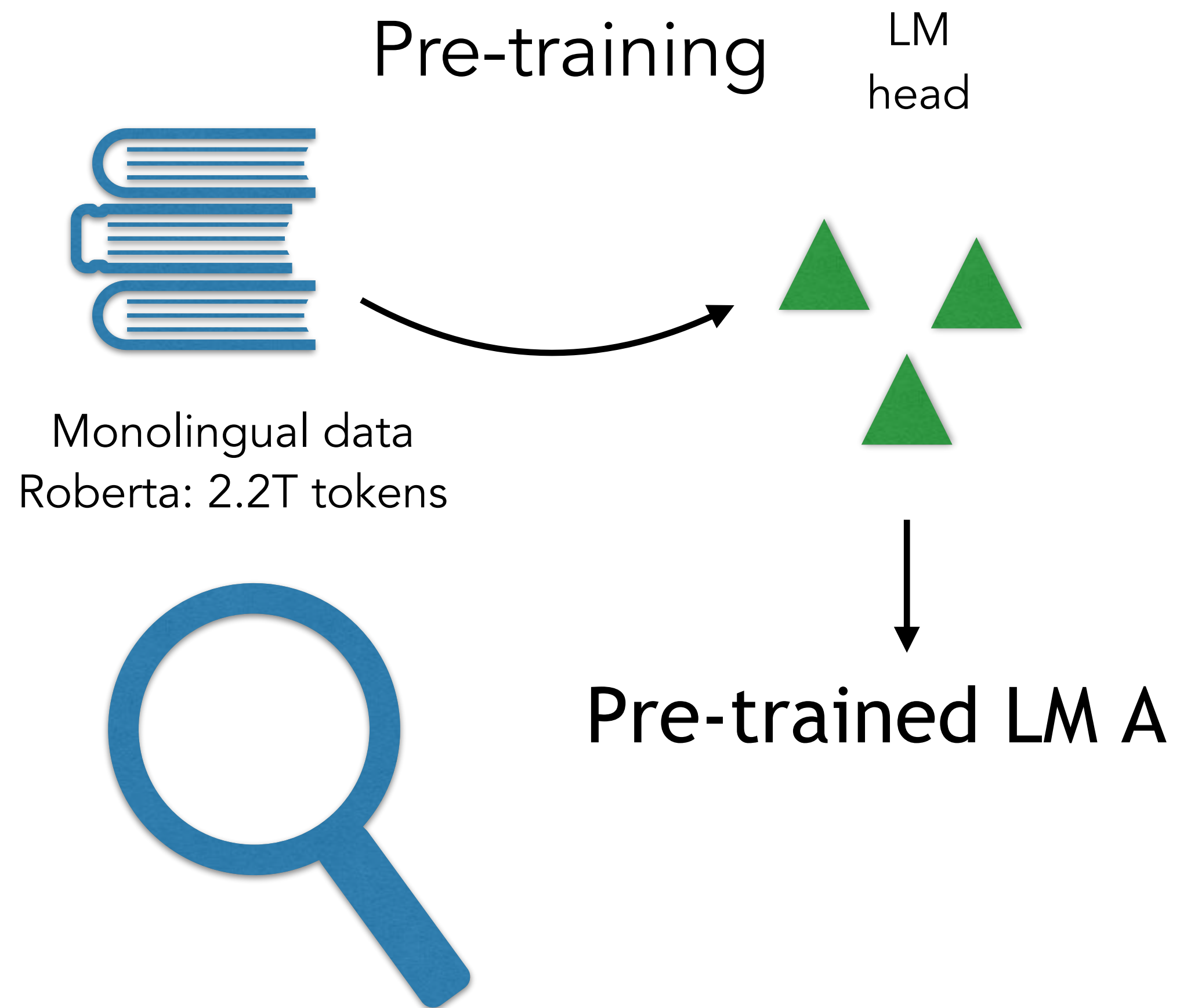
Table 1: Zero-shot cross-lingual transfer performance on five tasks (DEP, POS, NER, XNLI, and XQuAD) with mBERT (B) and XLM-R (X). We show the monolingual EN performance and report drops in performance relative to EN for all target languages. Numbers in bold indicate the largest zero-shot performance drops for each task.

# Domains

# Large Language Models and Pre-training Domains

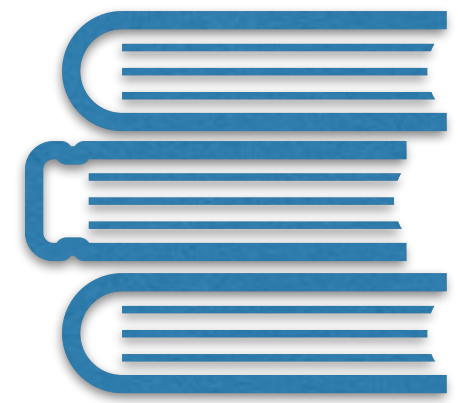
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What does training on trillions of tokens afford us in terms of generalisation even within English? (Gururangan et al., 2020)

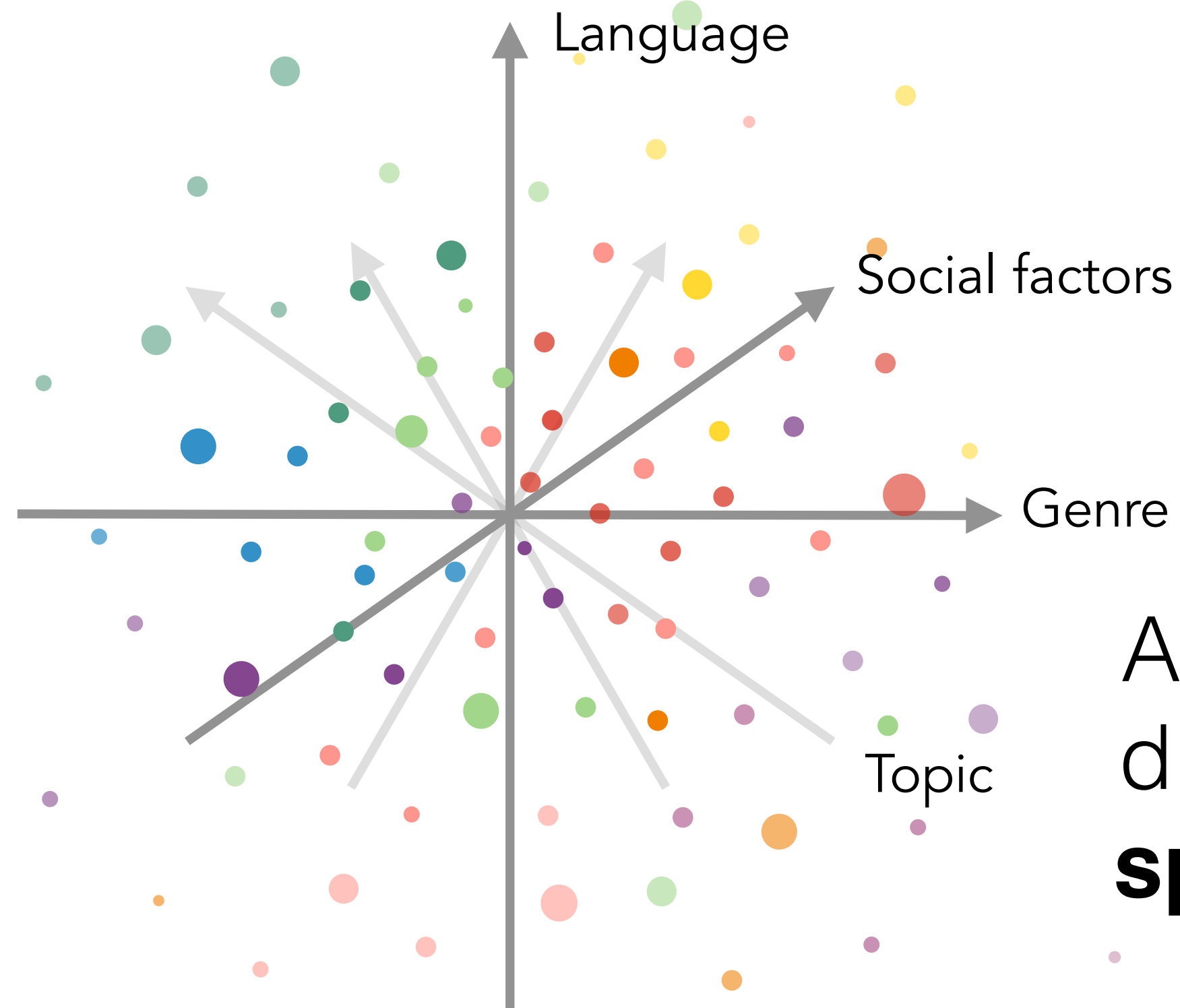


# Large Language Models and Pre-training Domains

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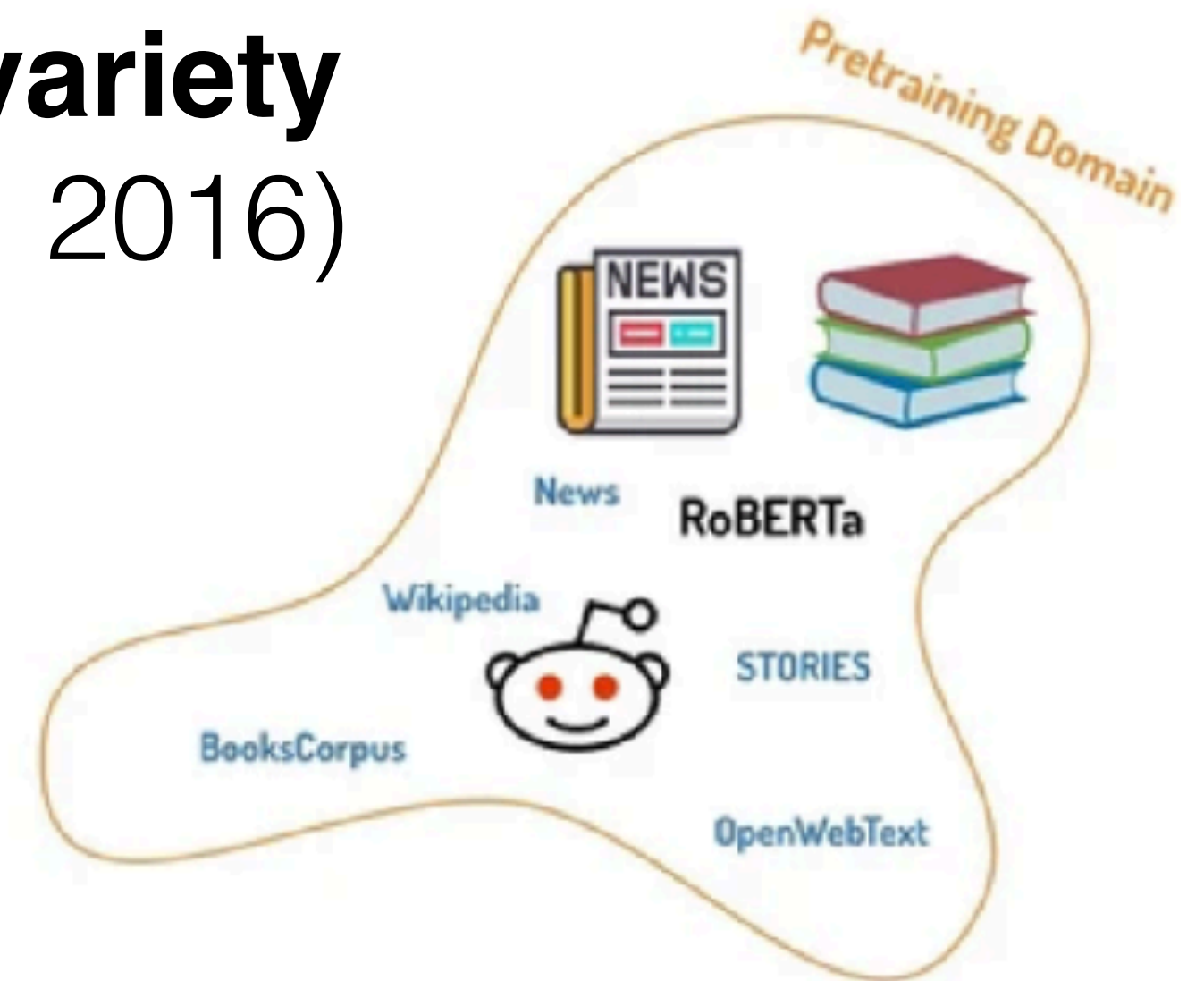
Monolingual data  
Roberta: 2.2T tokens



A manifold in a high-dimensional “**variety space**” (Plank, 2016)



What is in a domain?





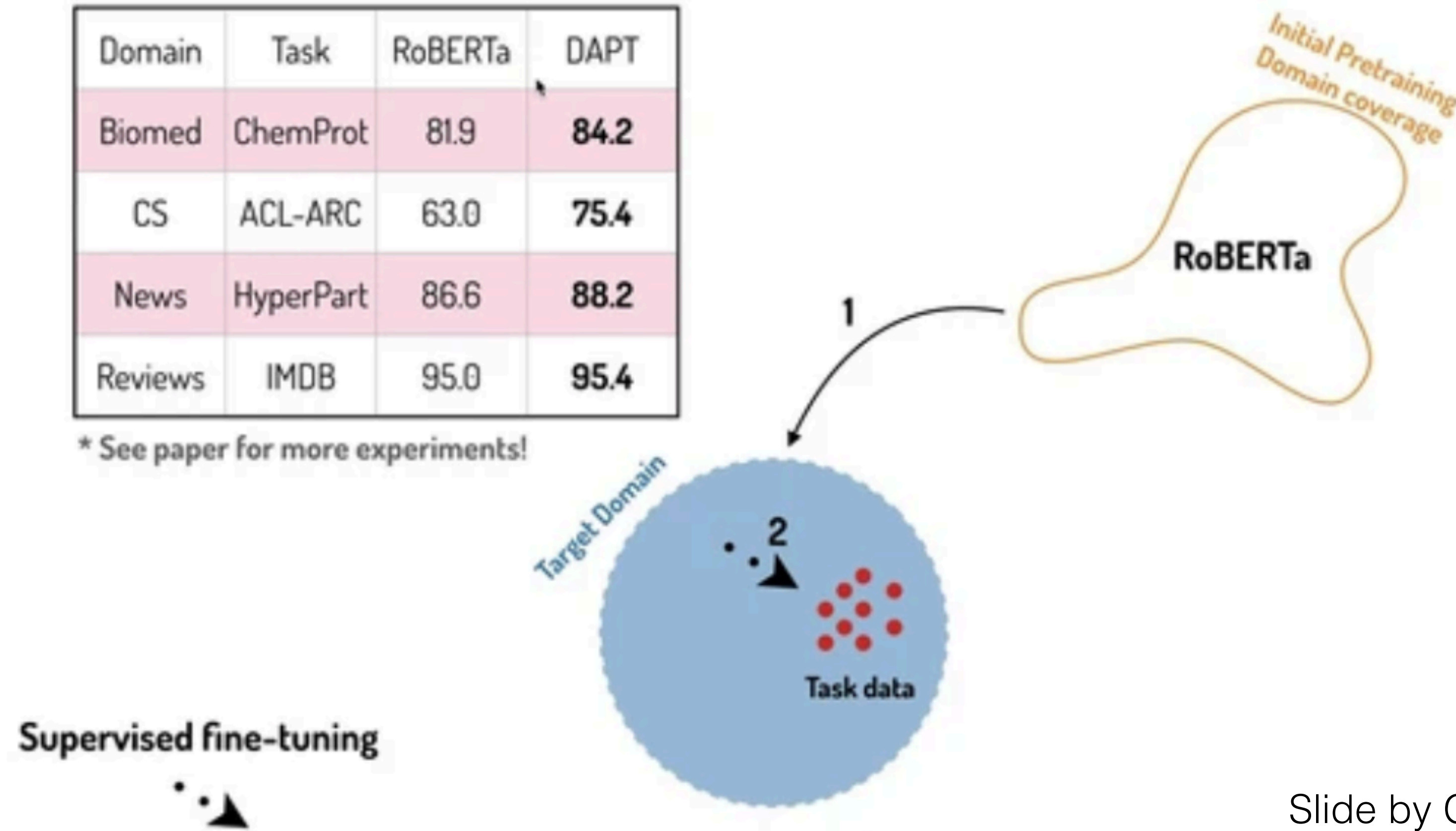
# Don't Stop Pre-Training: Adapt Language Models to Domains and Tasks

(Gururangan et al., 2020)

- **Continuous pre-training** on target domain data helps (Domain-adaptive pre-training; DAPT)

Domain	Task	RoBERTa	DAPT
Biomed	ChemProt	81.9	<b>84.2</b>
CS	ACL-ARC	63.0	<b>75.4</b>
News	HyperPart	86.6	<b>88.2</b>
Reviews	IMDB	95.0	<b>95.4</b>

\* See paper for more experiments!



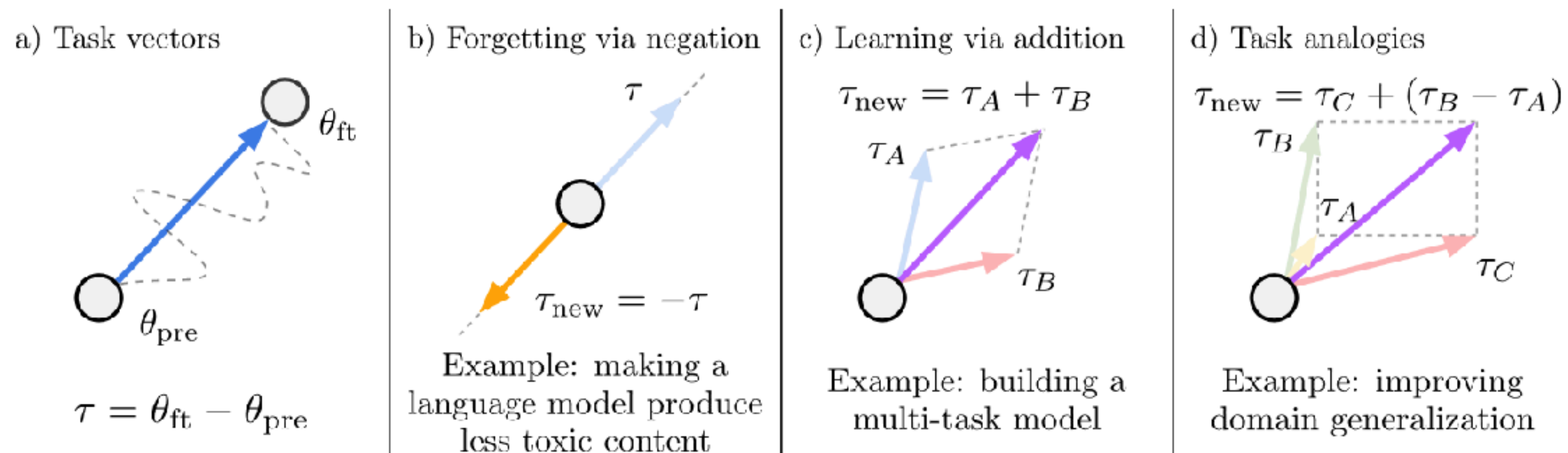
Slide by Gururangan et al.



# Related recent work: Task Vectors - aka Post-hoc model intervention

(Ilharco, Riberio, Wortsman, Gururangan et al., 2023)

- Motivation: pre-trained models are a commonly used backbone
- In practice, we often want to *edit* the models after pre-training to improve on downstream tasks
- Task vector: difference vector of weights of a model fine-tuned on a task, minus pre-trained weights
- Allows task arithmetics (negation for forgetting)



# Related recent work: Task Vectors - aka Post-hoc model intervention

---

(Ilharco, Riberio, Wortsman, Gururangan et al., 2023)

- Example: Making Language Models less toxic

Method	% toxic generations (↓)	Avg. toxicity score (↓)	WikiText-103 perplexity (↓)
Pre-trained	4.8	0.06	16.4
Fine-tuned	57	0.56	16.6
Gradient ascent	0.0	0.45	$>10^{10}$
Fine-tuned on non-toxic	1.8	0.03	17.2
Random vector	4.8	0.06	16.4
Negative task vector	0.8	0.01	16.9

# Outline

---

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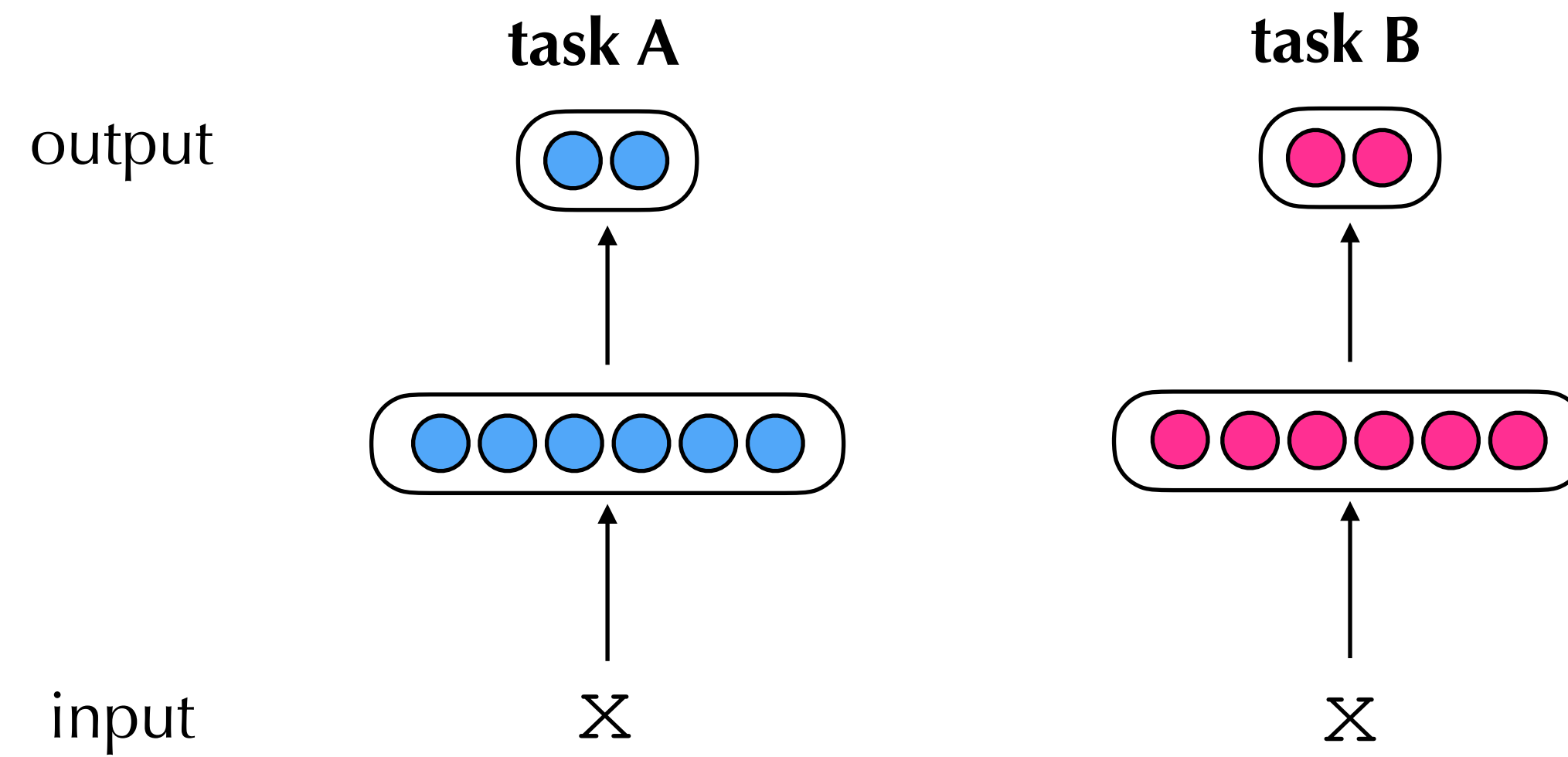
# Part 2: What is Multi-Task Learning (MTL)?

---

Views on MTL and Why

# Typical single-task learning

---



**Can we do better?**



# Example: Learning how to drive a motorbike

---

main task

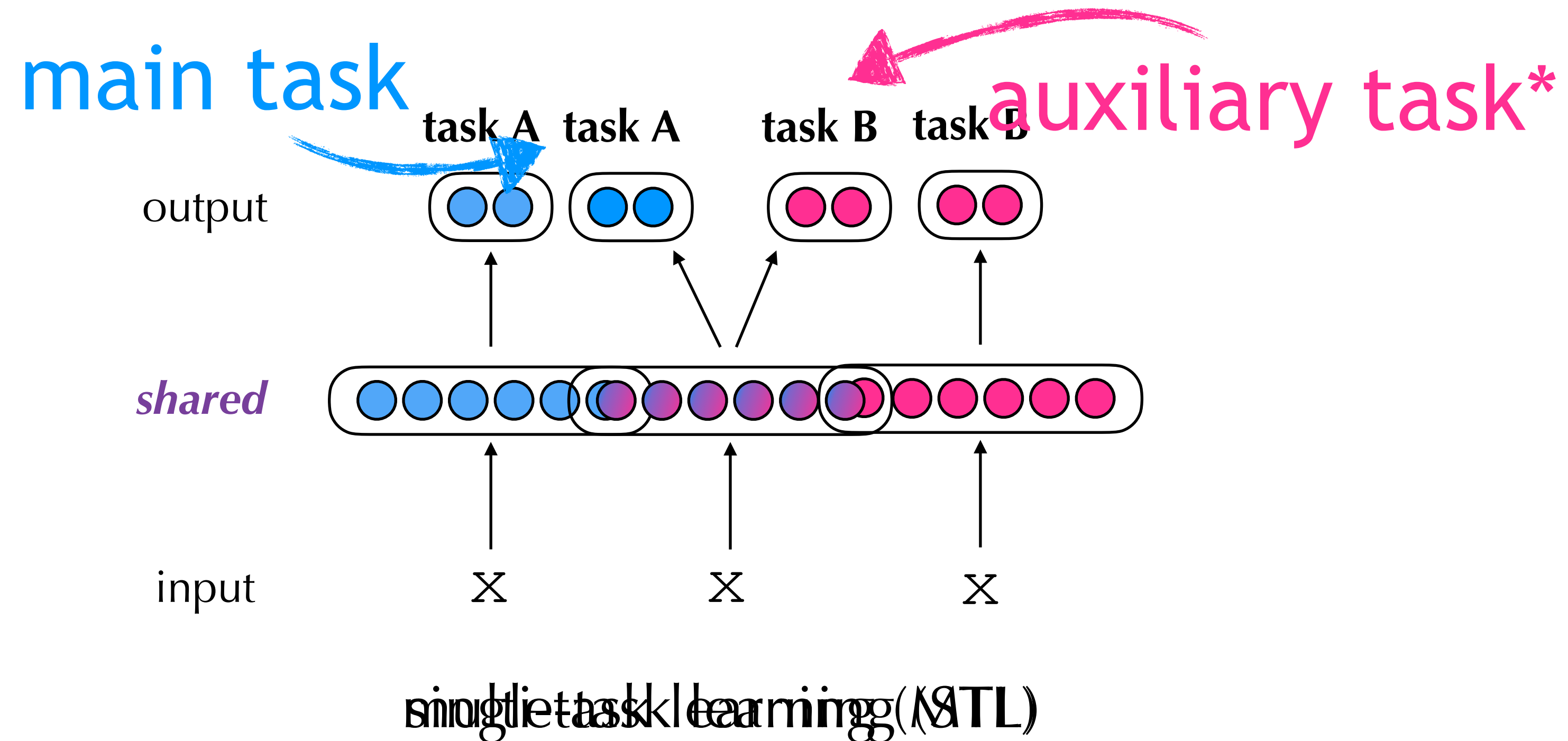


auxiliary task



# Multi-task Learning (MTL): Key Idea

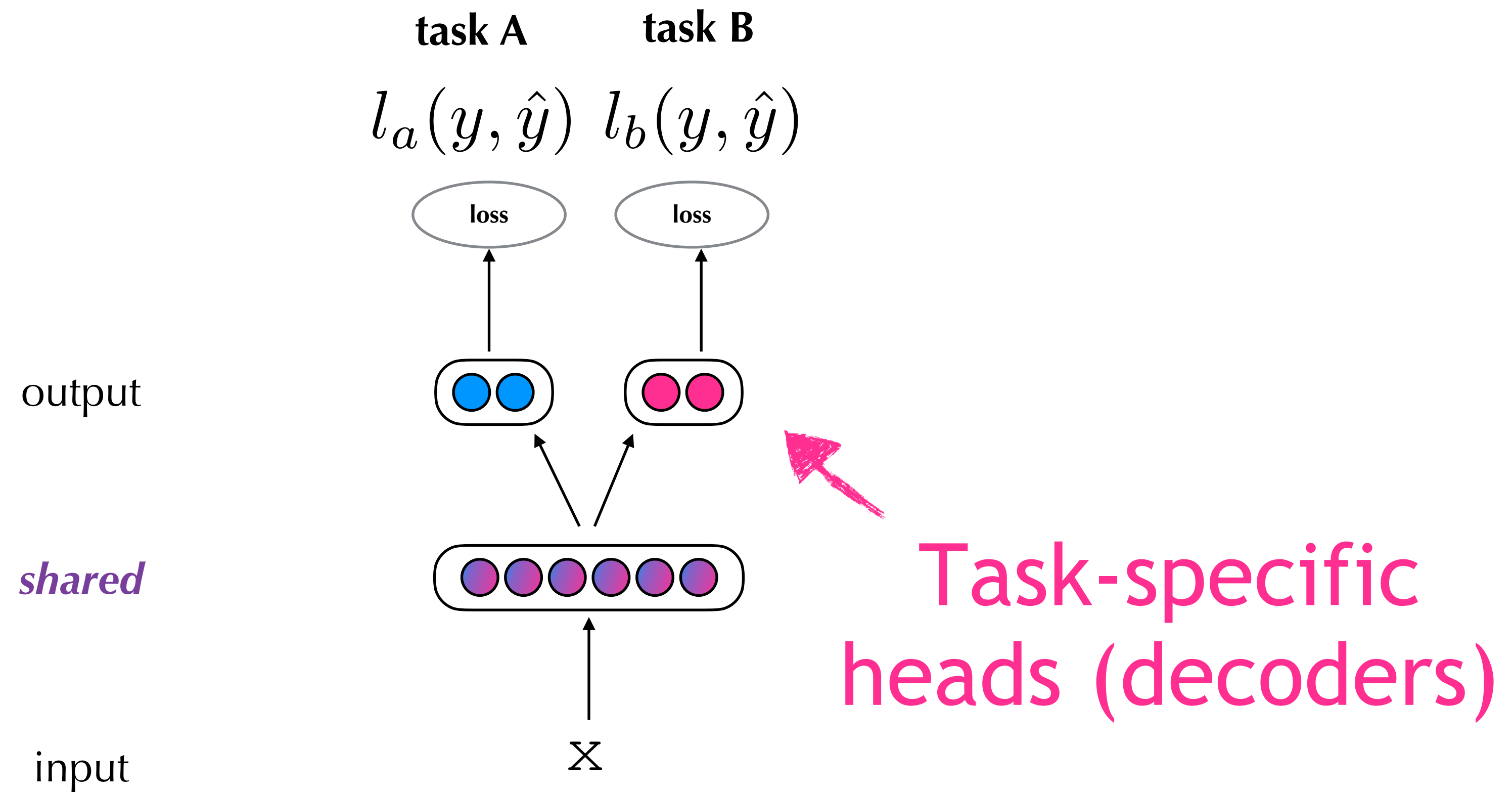
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\* sometimes auxiliary task might be equally important

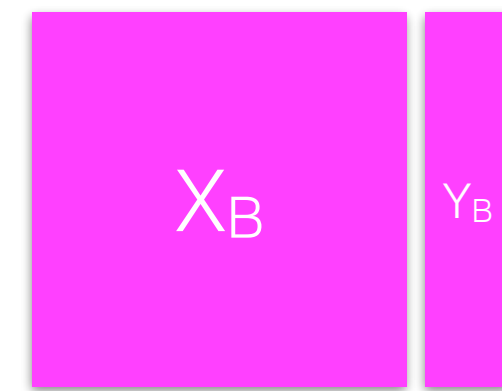
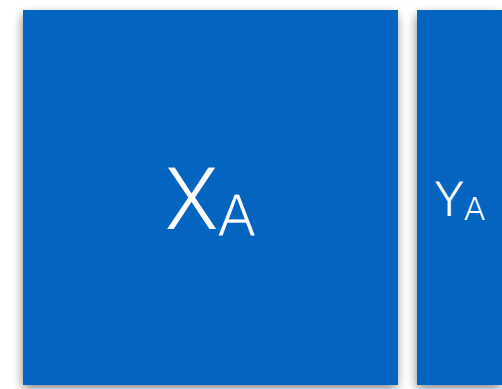
# MTL in Neural Networks (NNs): shared encoder, task-specific heads

---



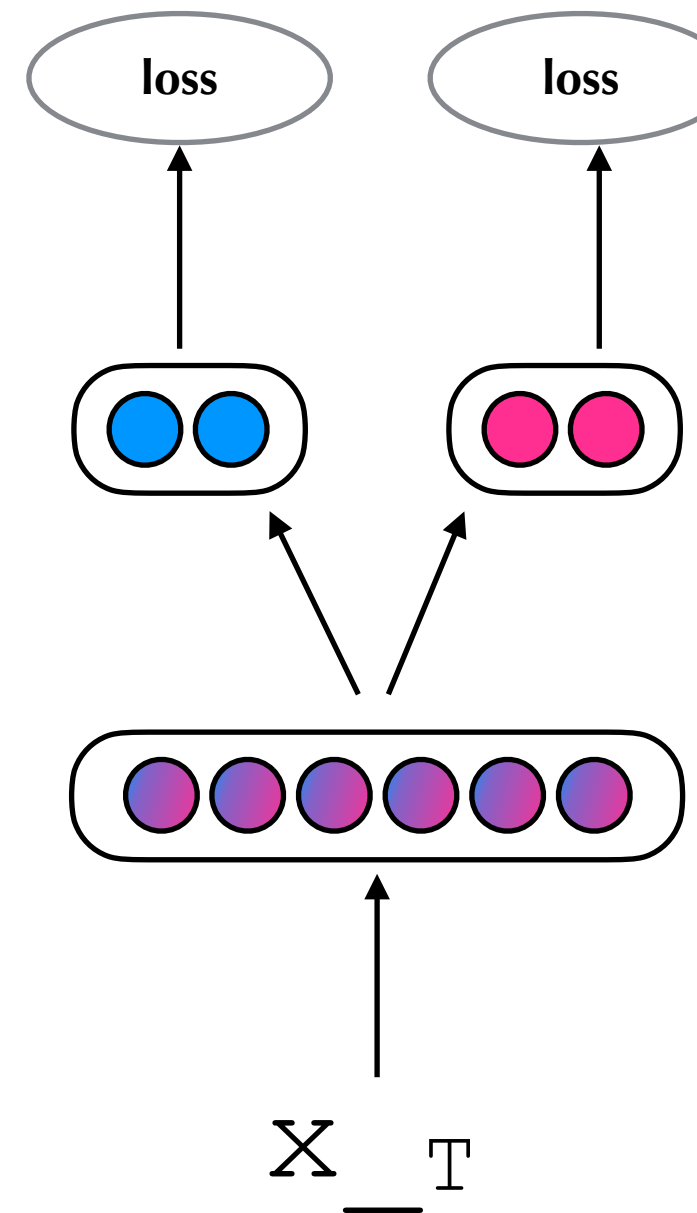
# MTL Recipe illustrated

$$\{\mathcal{D}_\tau\}_{\tau=1}^T$$



**Data**

$$\begin{array}{cc} \text{task A} & \text{task B} \\ l_a(y, \hat{y}) & l_b(y, \hat{y}) \end{array}$$



**Architecture**

**Sample task:**

1. Select the next task.
2. Select a random training example for this task.
3. Update the NN for this task by taking a gradient step with respect to this example.
4. Go to 1.

(Collobert & Weston, 2008, ICML)

**Training**

## Why MTL?

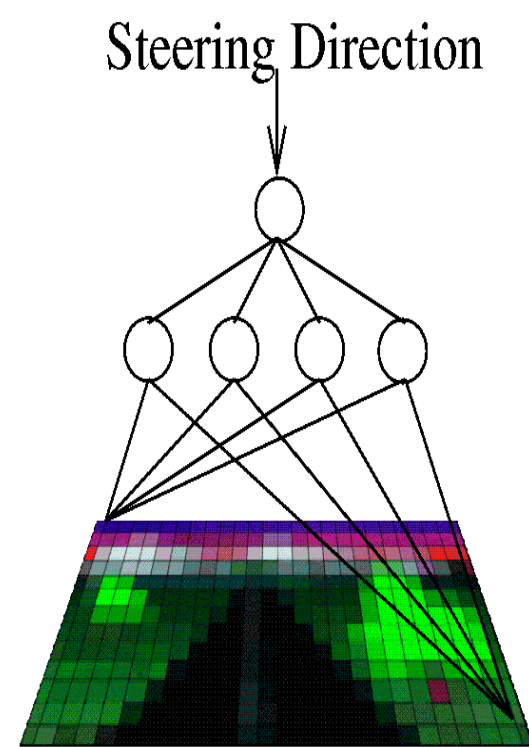
---

- **Scientific view:** jointly solving related problems to work towards more general language understanding
- **Practical view:** *simpler* model able to handle multiple tasks, which *generalises* better and is more *efficient* in learning

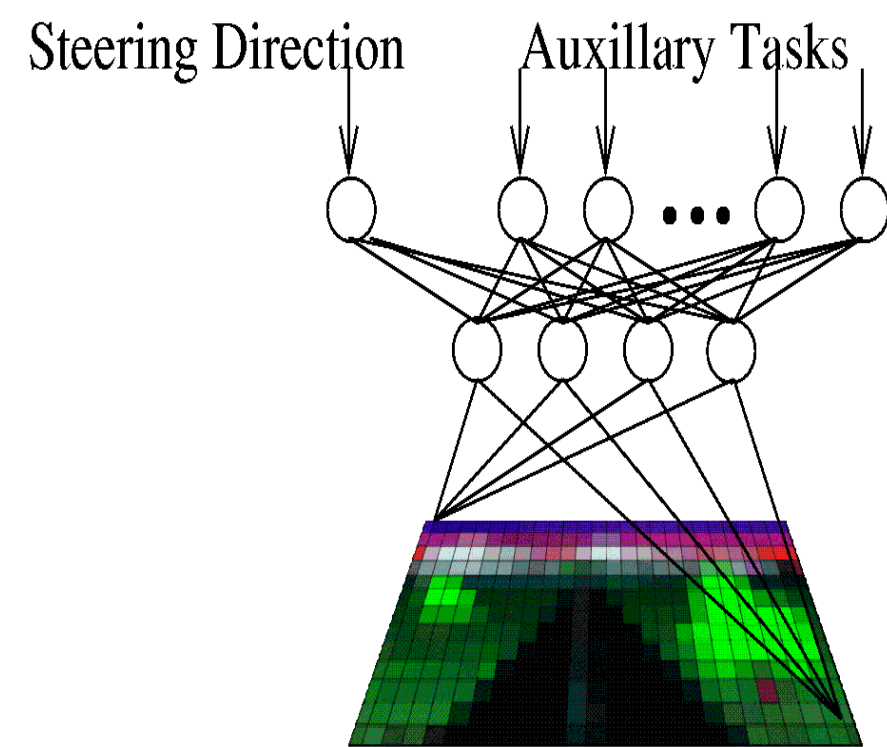


# Why does MTL help generalise? (1/2)

- **Attention focusing** (Caruana, 1997): reduced net capacity improves generalisation
- Example: ALVINN self-driving car



Single Task Learning



MultiTask Learning



Figure 4: NAVLAB, the CMU autonomous navigation test vehicle.

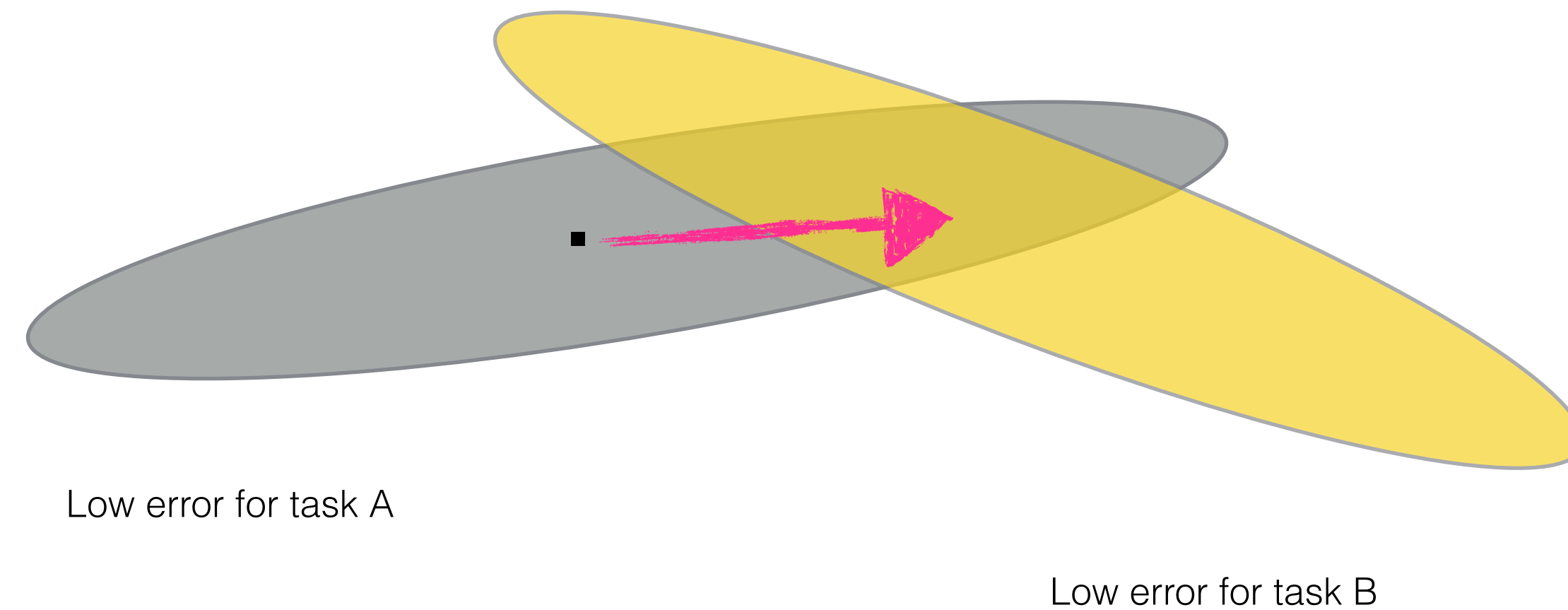
CMU Alvin MTL (Caruana 1998)



## Why does MTL help generalise? (2/2)

---

- **Representation bias** (Caruana, 1997) - MTL prefers solutions which other tasks prefer, acts as a **regulariser**



# Why does MTL help efficiency? (1/3)

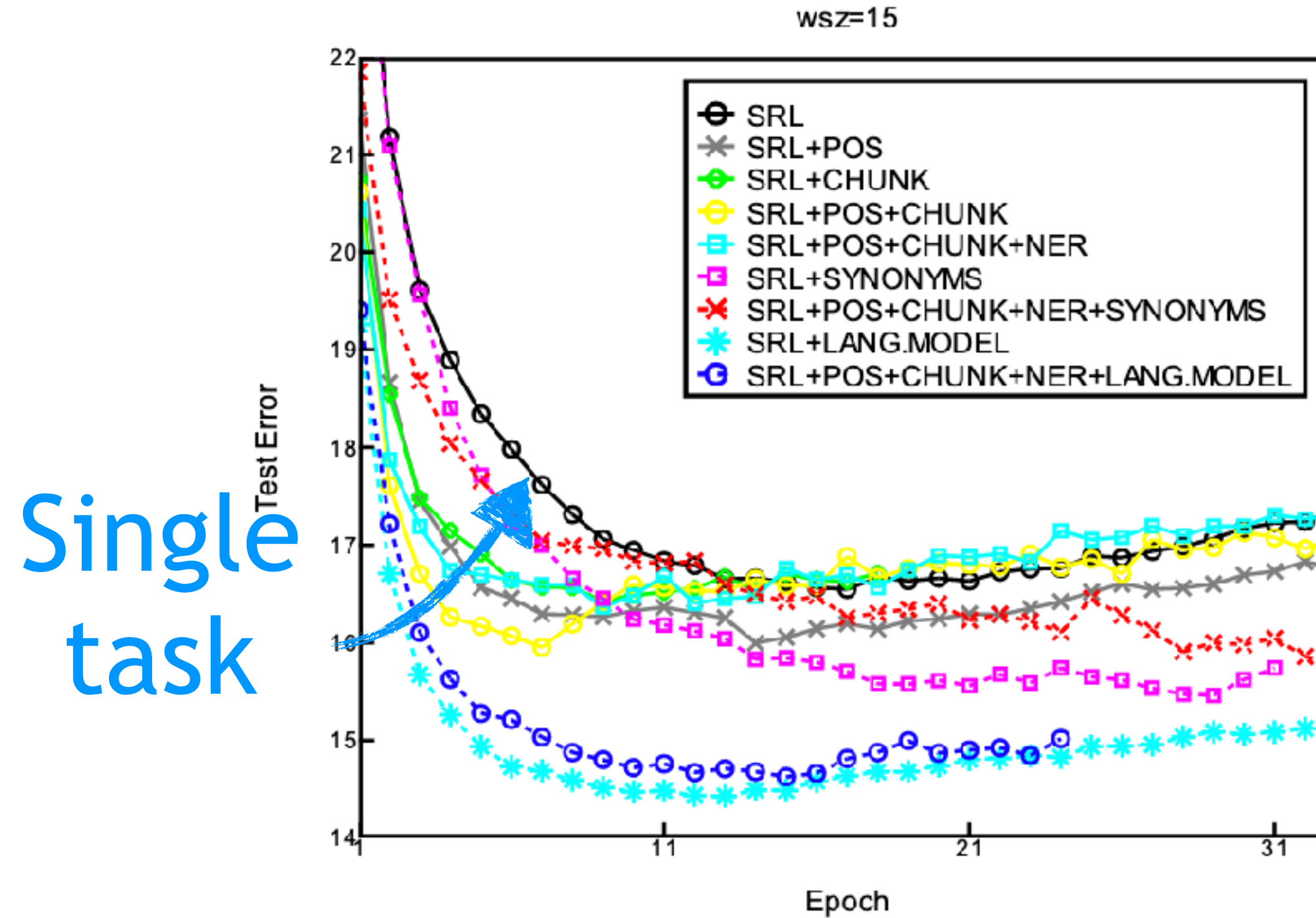
---

- **Eavesdropping** (Caruana, 1997) - eavesdrop on shared representation to learn feature G through task B, which is hard to learn via task A



# Why does MTL help efficiency? (2/3)

- **Faster convergence** through learning tasks in parallel

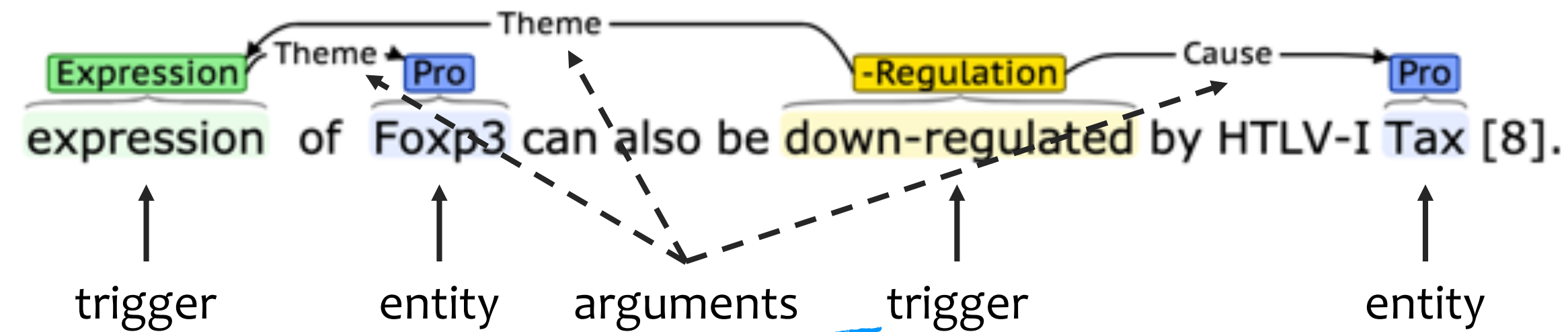


(Collobert & Weston, 2008, ICML)



# Why does MTL help efficiency? (3/3)

- Replaces traditional pipelines with a single model for **faster inference** - Example from biomedical event extraction - Traditional pipeline:



1. Trigger identification

2. Event structure detection

Linearisation (cast as seq. labelling problem) + MTL = **BeeSL**

Biomedical Event Extraction as Sequence Labeling

(Ramponi, van der Goot, Lombardo, Plank, EMNLP, 2020)



# BeeSL: gains in accuracy + speed

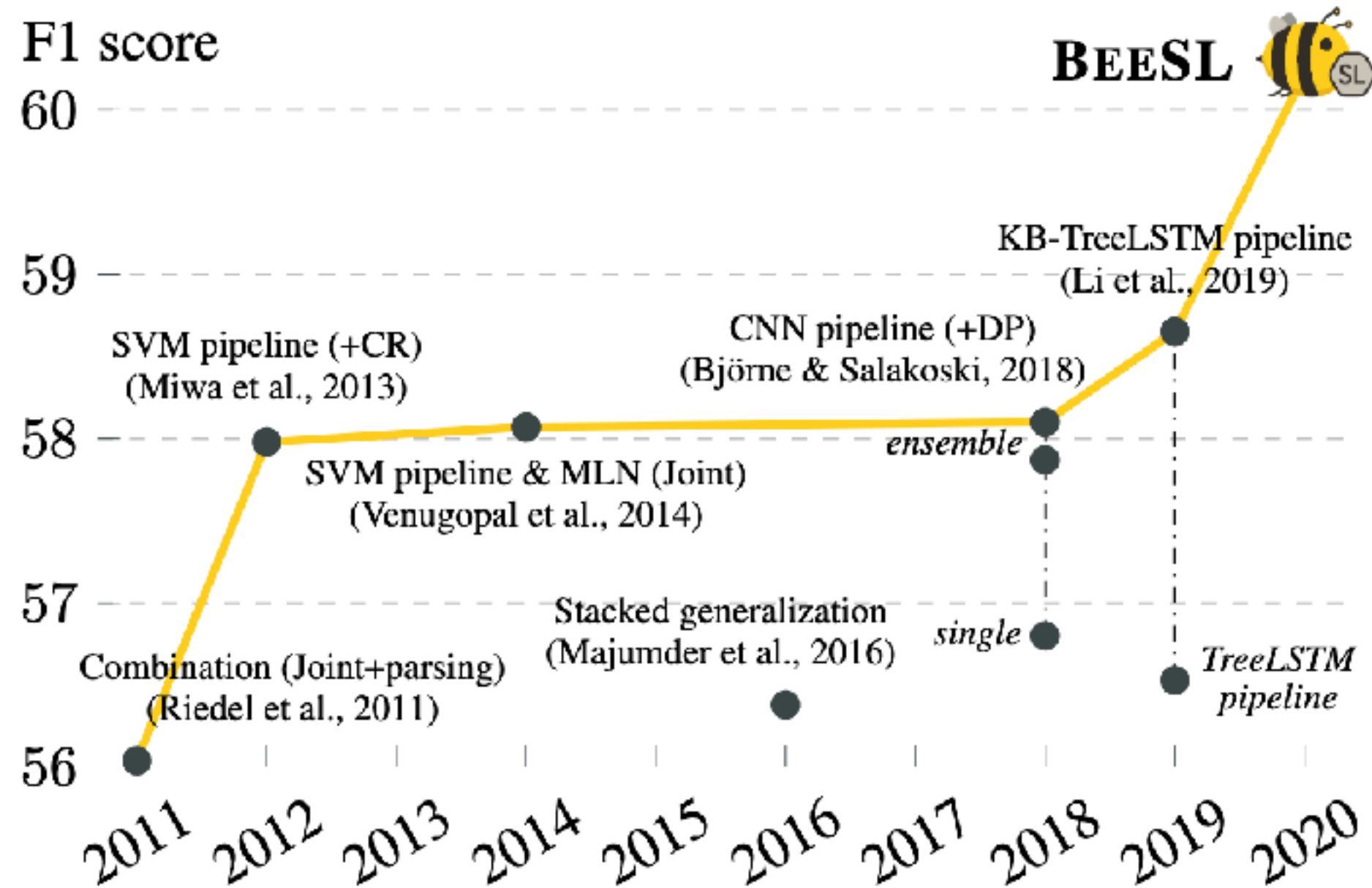


Figure 1: Performance of biomedical event extraction on the BioNLP Genia 2011 test set over time.

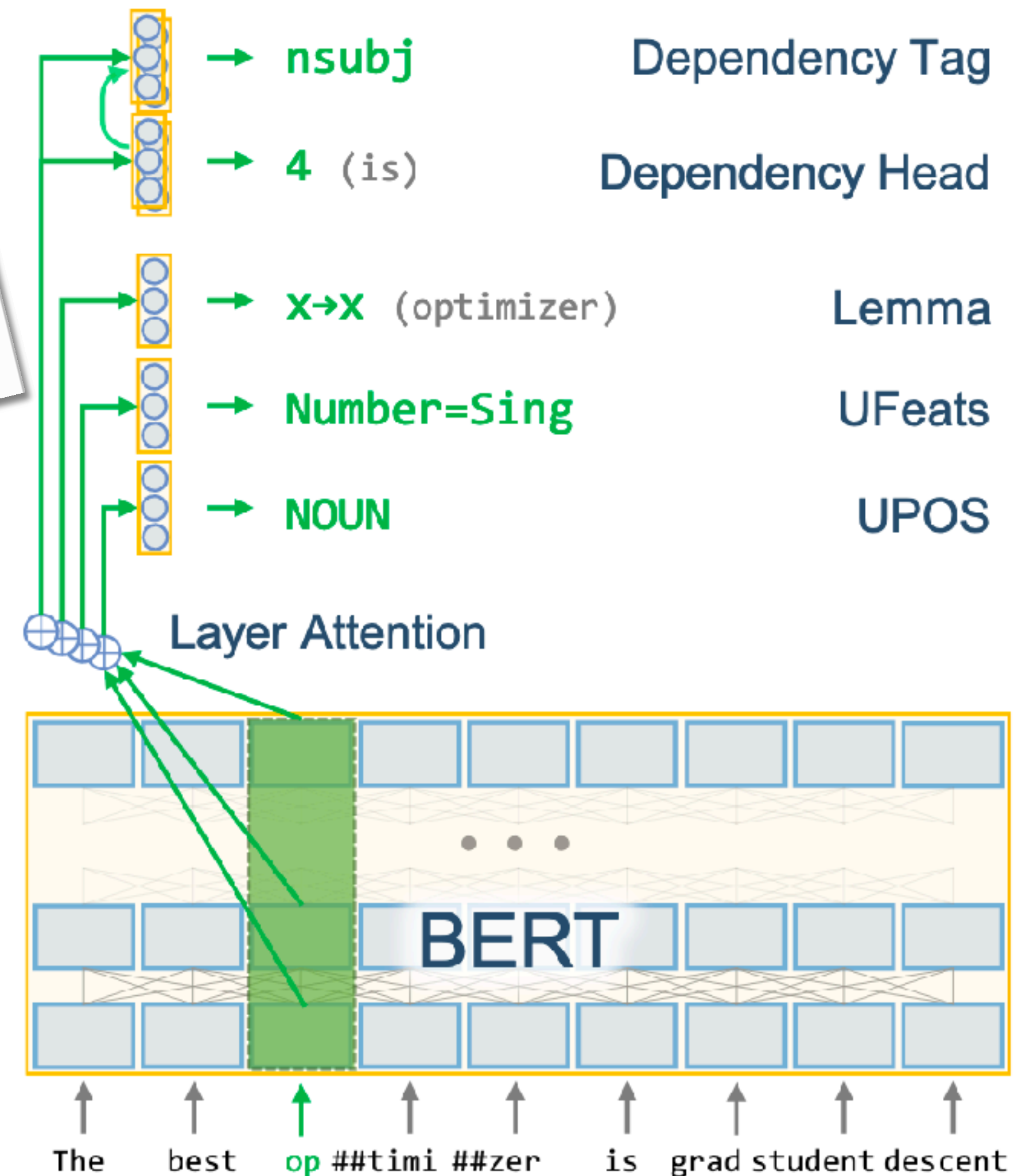
Inference time:  
sentences/min

	sents/min
TEES ( <i>single</i> )	255 $\pm$ 1
TEES ( <i>ensemble</i> )	101 $\pm$ 1
<b>BEESL</b>	499 $\pm$ 3

(Ramponi, van der Goot, Lombardo, Plank, EMNLP, 2020)

# Example MTL Dependency Parser: 75 languages, 4 tasks, one model: UDify

**75 Languages, 1 Model: Parsing Universal Dependencies Universally**  
Dan Kondratyuk<sup>1,2</sup> and Milan Straka<sup>1</sup>  
<sup>1</sup>Charles University, Institute of Formal and Applied Linguistics  
<sup>2</sup>Saarland University, Department of Computational Linguistics  
dankondratyuk@gmail.com, straka@ufal.mff.cuni.cz

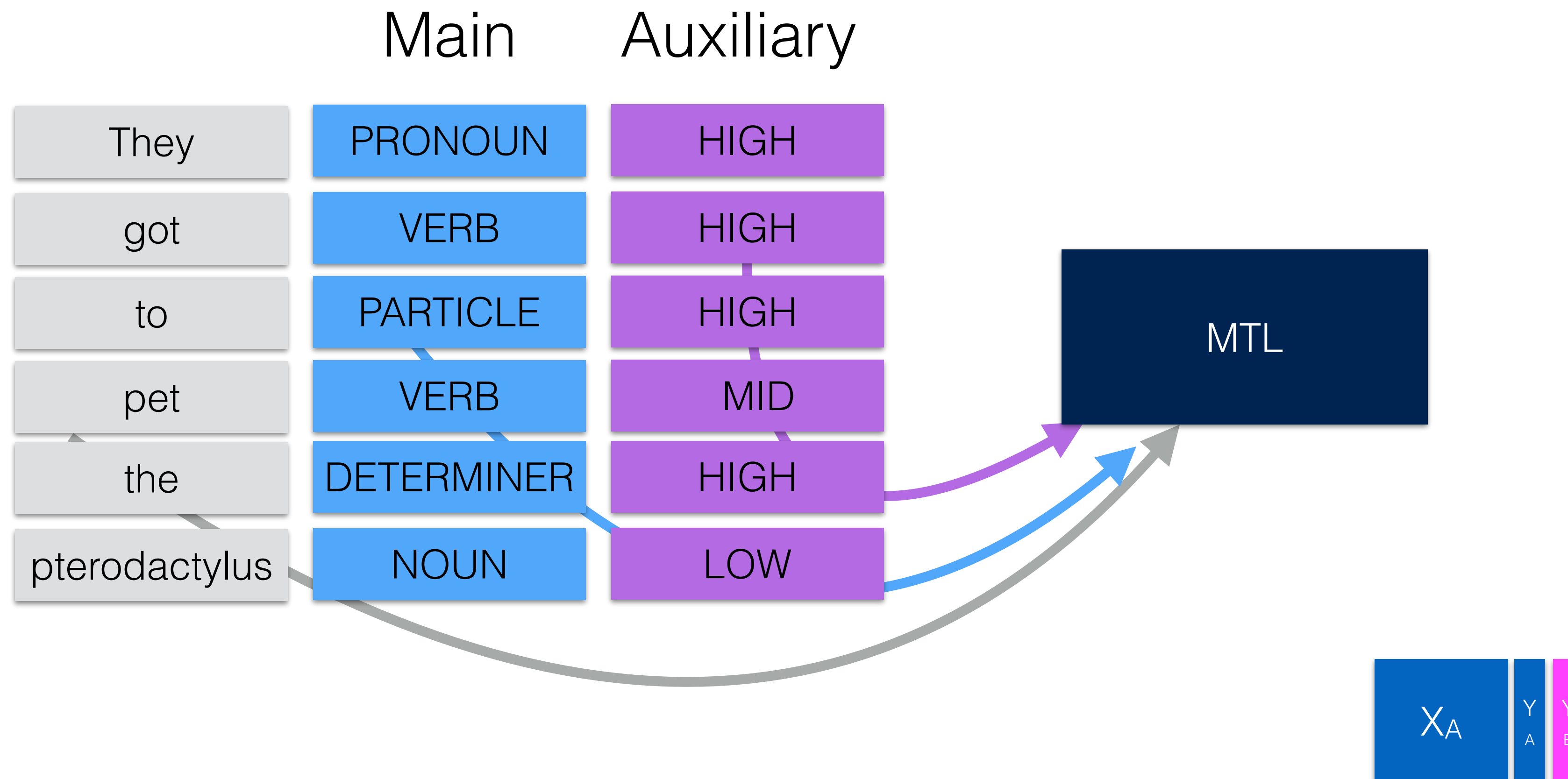




# Perspectives on MTL

# MTL: learning from distinct views

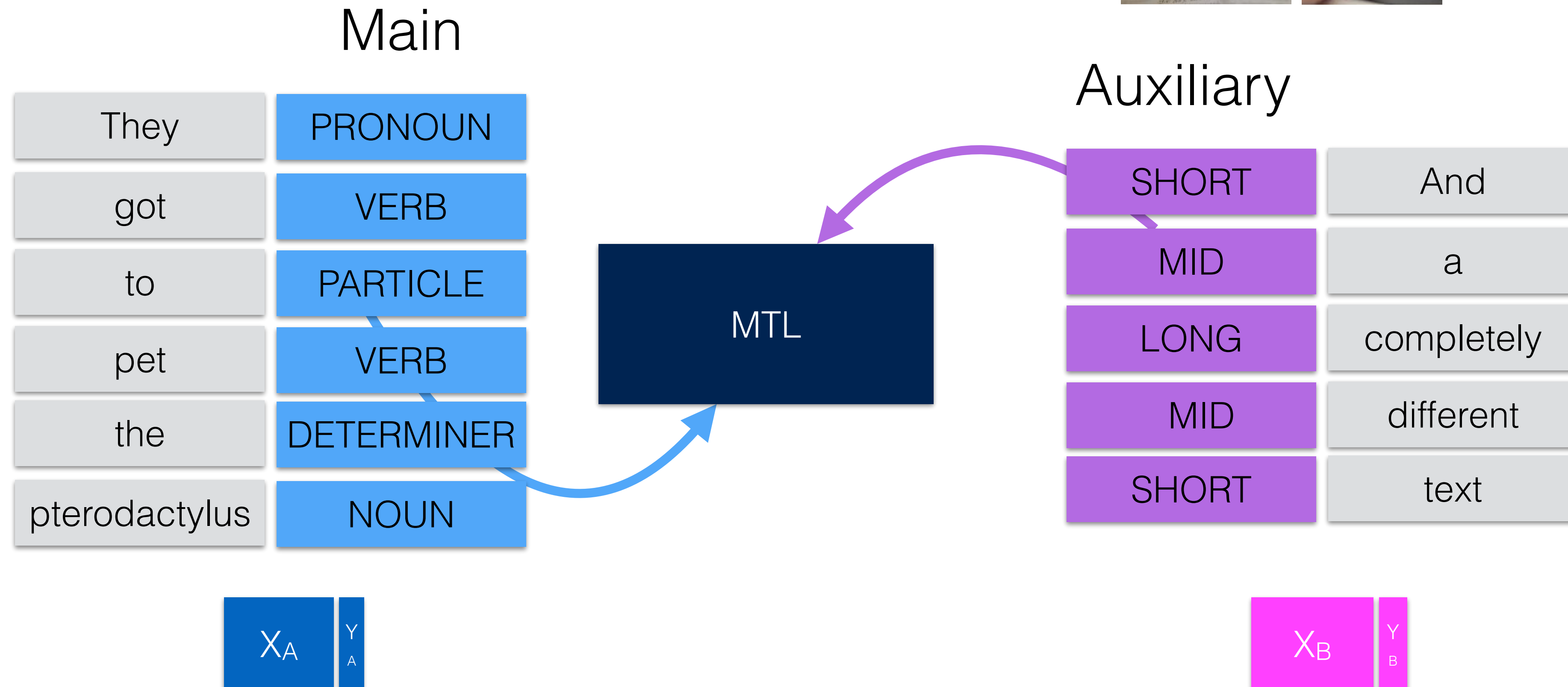
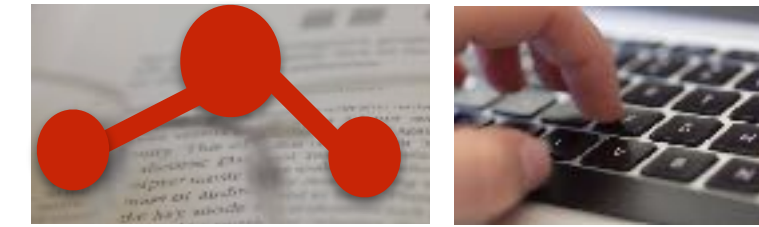
e.g., predict data properties (Plank et al., 2016 ACL),  
predict other data views like discourse tree views (Braud et al. 2016 CoNLL),  
predict other layers like syntax tree layers (Kondratuk & Straka, 2019 EMNLP)



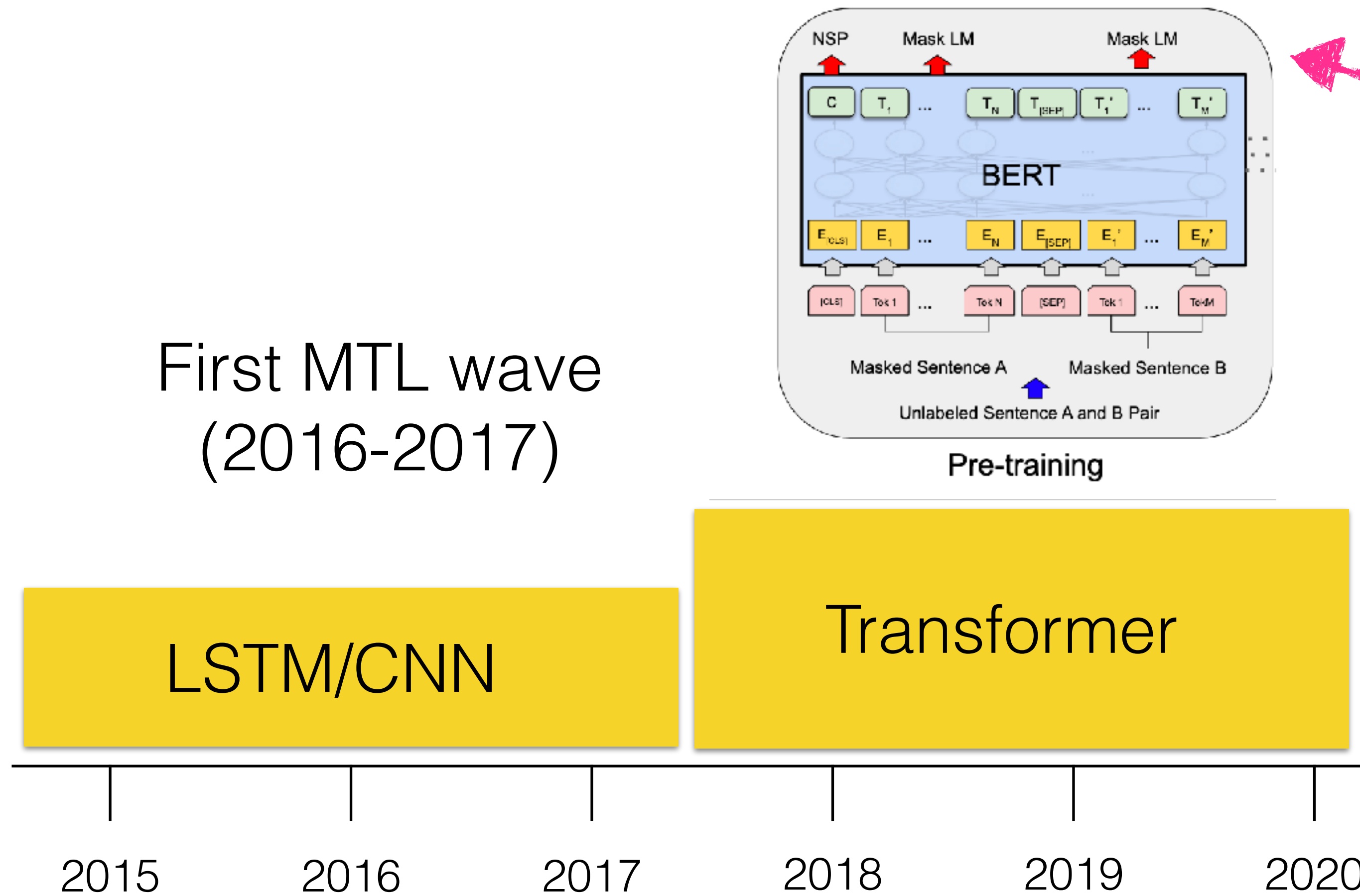
# MTL: learning from distinct sources

e.g., from other languages but also more remote sources like cognitive human data (gaze, keystrokes)

(Klerke et al. 2016 NAACL), (Plank 2016 COLING), (Barrett & Hollenstein, 2020)



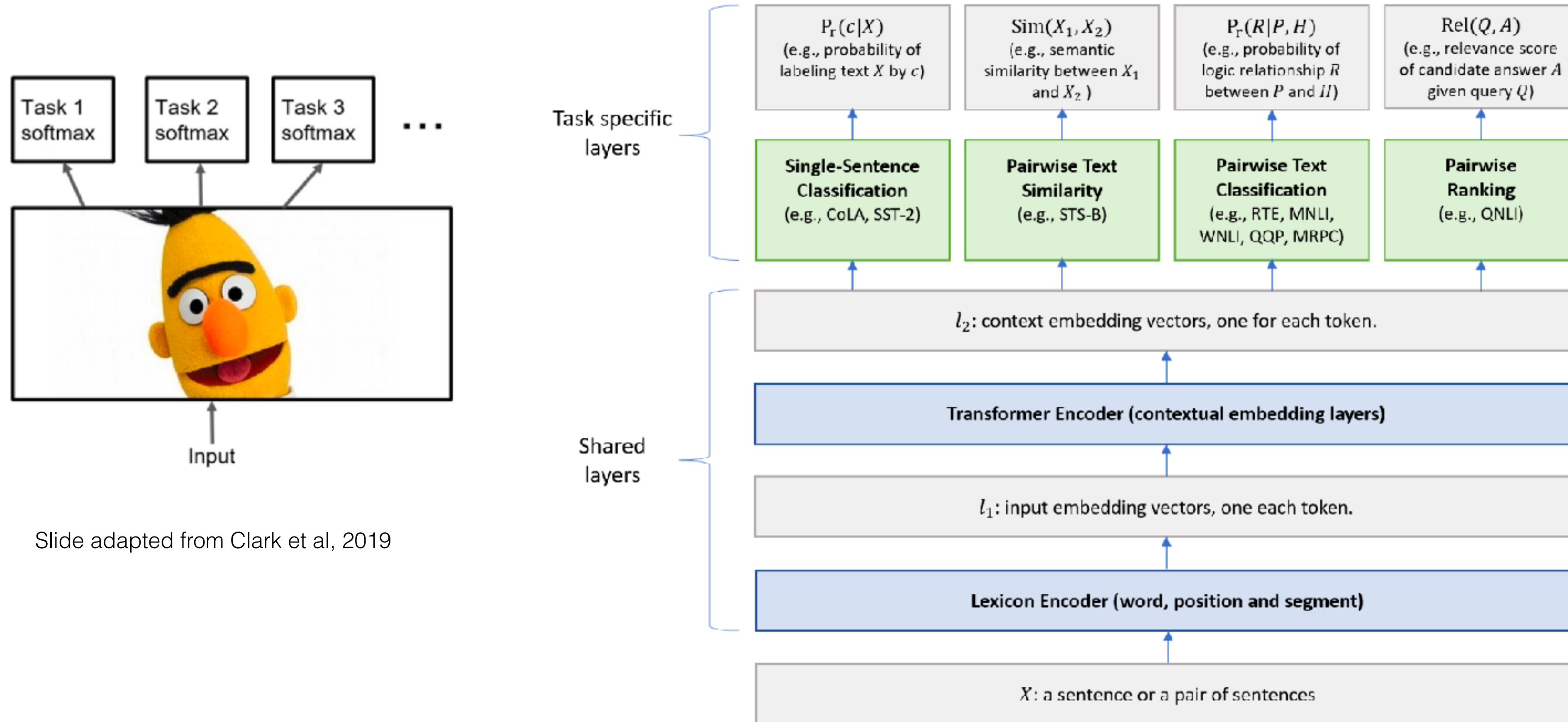
# Today: MTL everywhere!



Self-supervised  
MTL  
objectives:  
MLM + NSP

Vaswani et al., 2017; Peters et al., 2018

# ... and Multi-task Fine-Tuning using BERT & co



Slide adapted from Clark et al, 2019

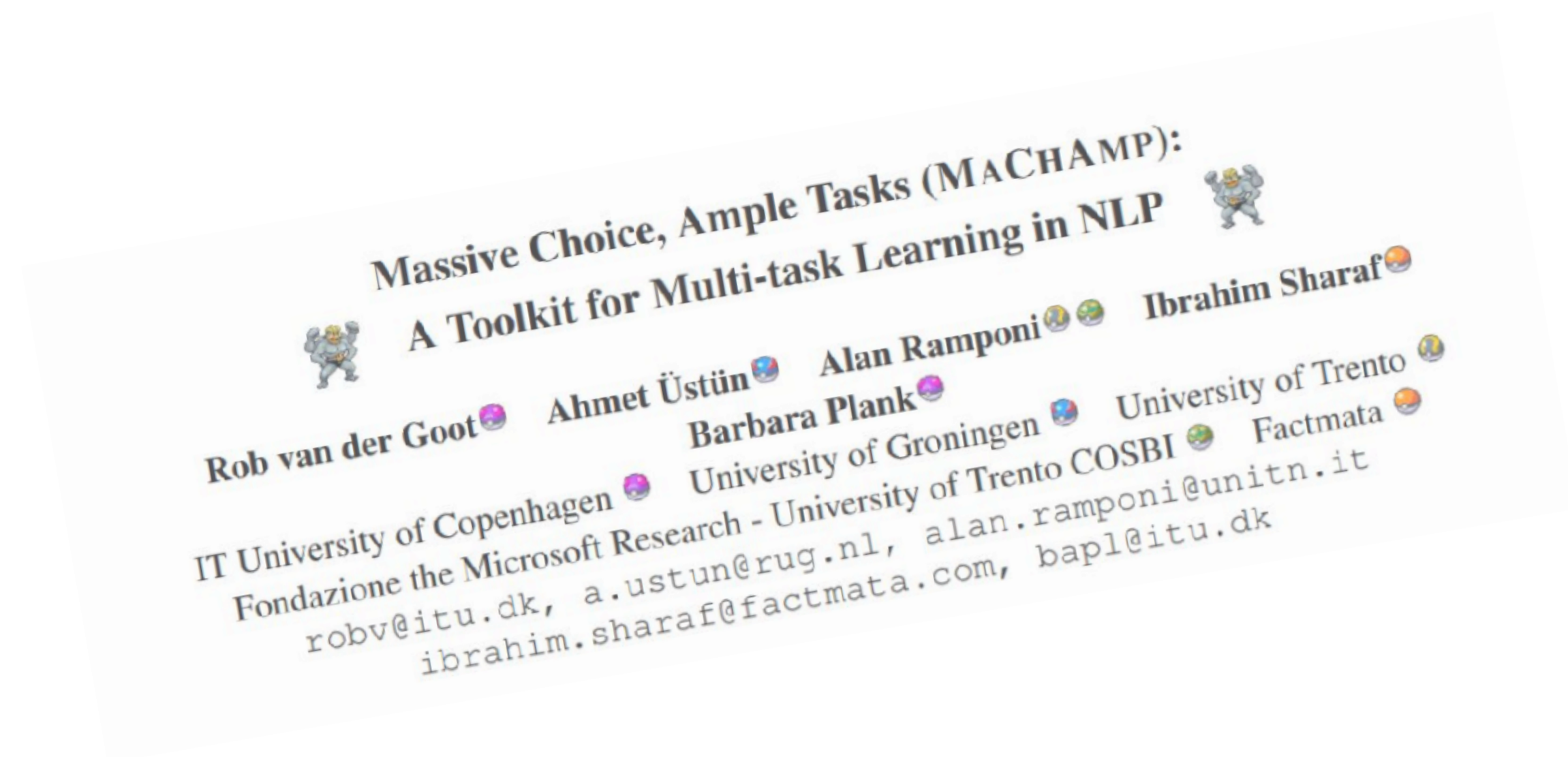
MT-DNN by Liu et al., ACL 2019

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# Massive Choice, Ample Tasks: MaChAmp

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An easy-to-use (MTL) toolkit



# MaChAmp

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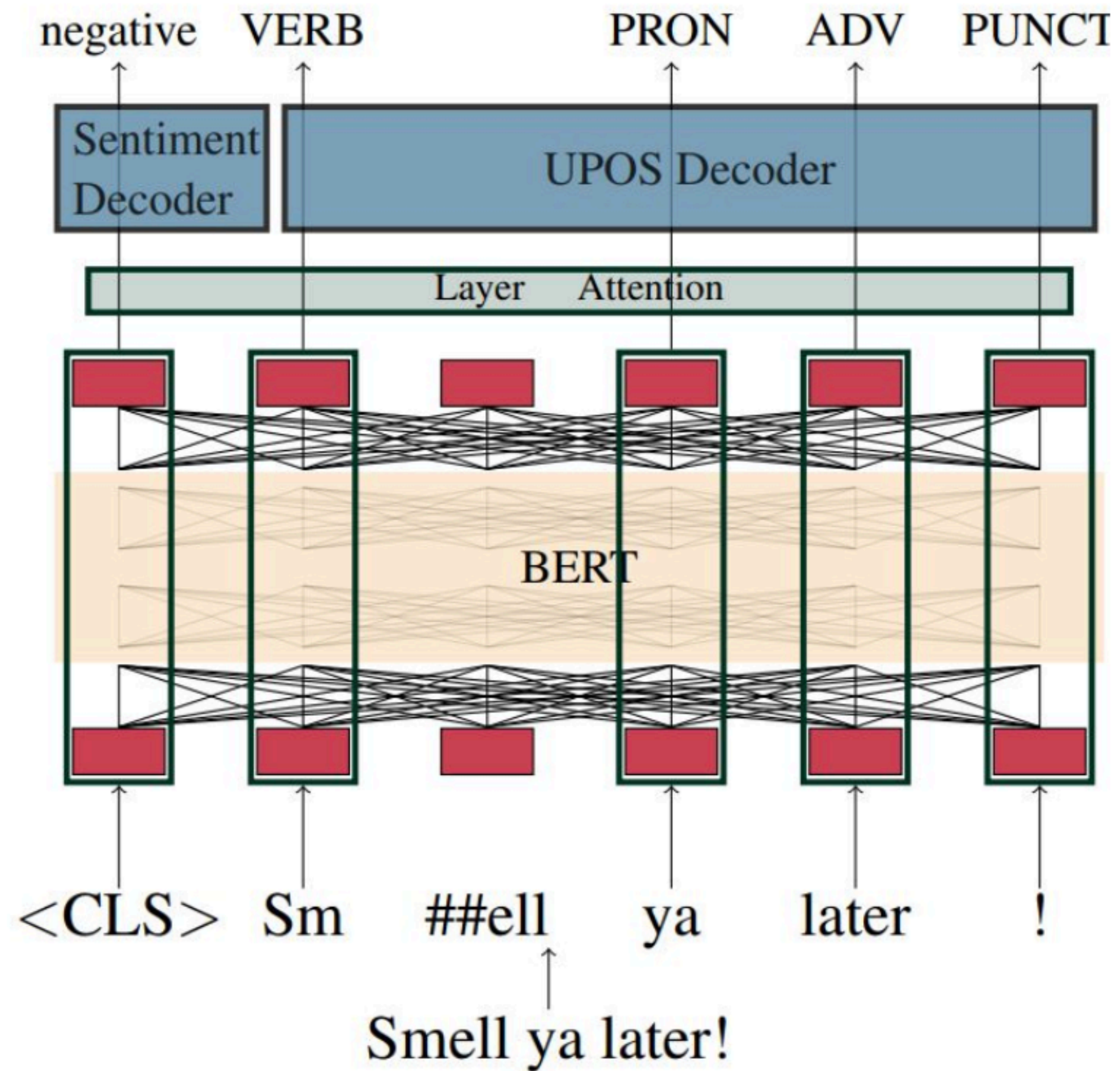
- Ease of use (all based on simple configuration files)
- Support many tasks (classification, sequence labelling, pairwise sentence classification, dependency parsing..)
- Ease of switching underlying LM encoder
- Multi-task learning via configuration files



*One arm alone can move mountains.*



# Architecture



# Configuration and Training of a single task

- Configuration file:

```
{
  "UD": {
    "train_data_path": "data/ewt.train",
    "validation_data_path": "data/ewt.dev",
    "word_idx": 1,
    "tasks": {
      "upos": {
        "task_type": "seq",
        "column_idx": 3
      }
    }
  }
}
```

- Training:

```
python3 train.py --dataset_config upos.json
```

```
# newdoc id = weblog-juancole.com_juancole_20051126063000_ENG_20051126_063000
# sent_id = weblog-juancole.com_juancole_20051126063000_ENG_20051126_063000-0001
# text = Al-Zaman : American forces killed Shaikh Abdullah al-Ani, the preacher at the mosque in the to
1      Al      Al      PROPN  NNP      Number=Sing  0      root      _      SpaceAfter=No
2      -      -      PUNCT  HYPH     _          1      punct     _      SpaceAfter=No
3      Zaman   Zaman   PROPN  NNP      Number=Sing  1      flat     _      _
4      :      :      PUNCT  :        _          1      punct     _      _
5      American american ADJ     JJ       Degree=Pos   6      amod     _      _
6      forces force   NOUN   NNS      Number=Plur  7      nsubj   _      _
7      killed kill    VERB   VBD      Mood=Ind|Tense=Past|VerbForm=Fin 1      parataxis
8      Shaikh Shaikh PROPN  NNP      Number=Sing  7      obj     _      _
9      Abdullah Abdullah PROPN  NNP      Number=Sing  8      flat     _      _
10     al      al      PROPN  NNP      Number=Sing  8      flat     _      SpaceAfter=No
11     -      -      PUNCT  HYPH     _          8      punct     _      SpaceAfter=No
12     Ani    Ani    PROPN  NNP      Number=Sing  8      flat     _      SpaceAfter=No
13     ,      ,      PUNCT  ,        _          8      punct     _      _
14     the    the    DET    DT       Definite=Def|PronType=Art 15     det     _      _
15     preacher preacher NOUN   NN       Number=Sing  8      appos   _      _
16     at     at     ADP    TN       _          18     case    _      _
```

# Configuration and Training of two tasks (e.g. coarse and fine POS)

- Configuration file:

```
{
  "UD": {
    "train_data_path": "data/ewt.train",
    "validation_data_path": "data/ewt.dev",
    "word_idx": 1,
    "tasks": {
      "upos": {
        "task_type": "seq",
        "column_idx": 3
      },
      "xpos": {
        "task_type": "seq",
        "column_idx": 4,
        "prev_task_embed_dim": 32,
        "order": 2
      }
    }
  }
}
```

## Task types:

- [seq](#): standard sequence labeling.
- [string2string](#): same as sequence labeling, but learns a conversion from the original word to the instance, and uses that as label (useful for lemmatization).
- [seq\\_bio](#): a masked CRF decoder enforcing complying with the BIO-scheme.
- [multisec](#): a multilabel version of seq: multilabel classification on the word level
- [multiclass](#): a multilabel version of classification: multilabel classification on the utterance level.
- [dependency](#): dependency parsing.
- [classification](#): sentence classification, predicts a label for N utterances of text.
- [mlm](#): masked language modeling.
- [regression](#): to predict (floating point) numbers



# Results to Udify

- More details in van der Goot et al., 2021 EACL

	EWT v2.3					PMB v3.0				
Task	dep	feats	lemma	upos	xpos	lemma	semtag	supertag	verbnet	wordnet
Task type	dep	seq	s2s	seq	seq	s2s	seq	seq	seq	s2s
Train size			205k					43k		
MACHAMP <sub>(ST)</sub>	<b>89.90</b>	<b>97.18</b>	<b>98.21</b>	<b>97.01</b>	96.64	<b>97.52</b>	<b>98.32</b>	94.87	94.37	89.15
MACHAMP <sub>(MT)</sub>	89.61	97.15	97.79	<b>97.01</b>	<b>96.79</b>	97.33	98.23	<b>94.91</b>	<b>94.54</b>	<b>89.32</b>
UDify	89.67	97.15	97.80	96.90	–	–	–	–	–	–

## More info on MaChAmp

---

- Website with code, documentation: <https://machamp-nlp.github.io/>
- MaChAmp Colab tutorial (short, check out the documentation above):  
<https://colab.research.google.com/drive/1zkowQPeiQMgKnEmKITjccTRvtfdpGfEH>
- Slack channel and GitHub issues, see website for more information

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# Applications to Multilinguality

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Selected Case Studies



# From Masked-Language Modeling to Translation: Non-English Auxiliary Tasks Improve Zero-Shot Spoken Language Understanding

Rob van der Goot, Ibrahim Sharaf, Aizhan Imankulova, Ahmet Üstün, Marija Stepanovic, Alan Ramponi, Siti Orzya Khairunnisa, Mamoru Komachi, Barbara Plank



et al., NAACL 2021



# Example: Languages in EU covered by voice assistants

\*as of March, 2020





# Task: Slot and Intent Detection

---

I'd like to see the showtimes for Silly Movie 2.0 at the movie house

Intent: SearchScreeningEvent

# Task: Slot and Intent Detection

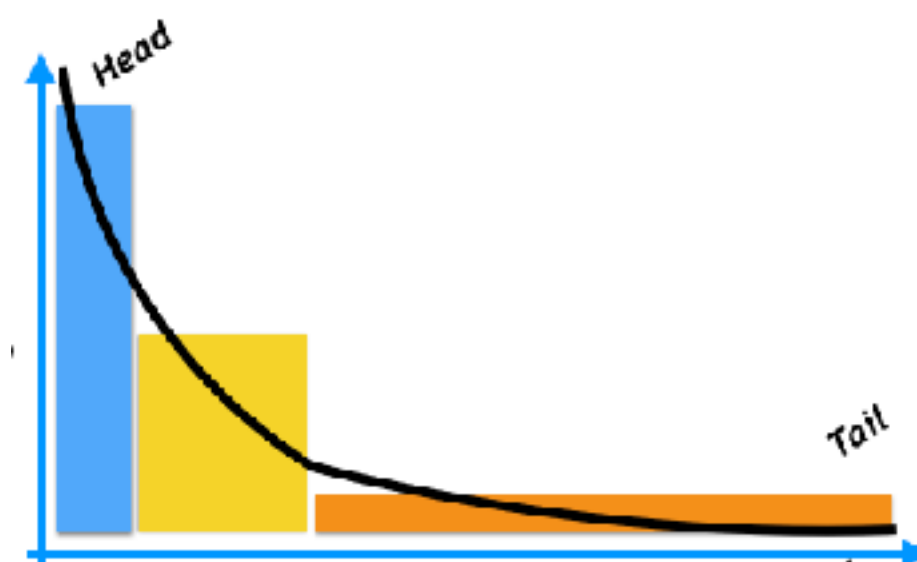
---

Slots:

I'd like to see the showtimes for **Silly Movie 2.0** at the **movie house**

Intent: SearchScreeningEvent

# How can we transfer knowledge to low-resource languages?



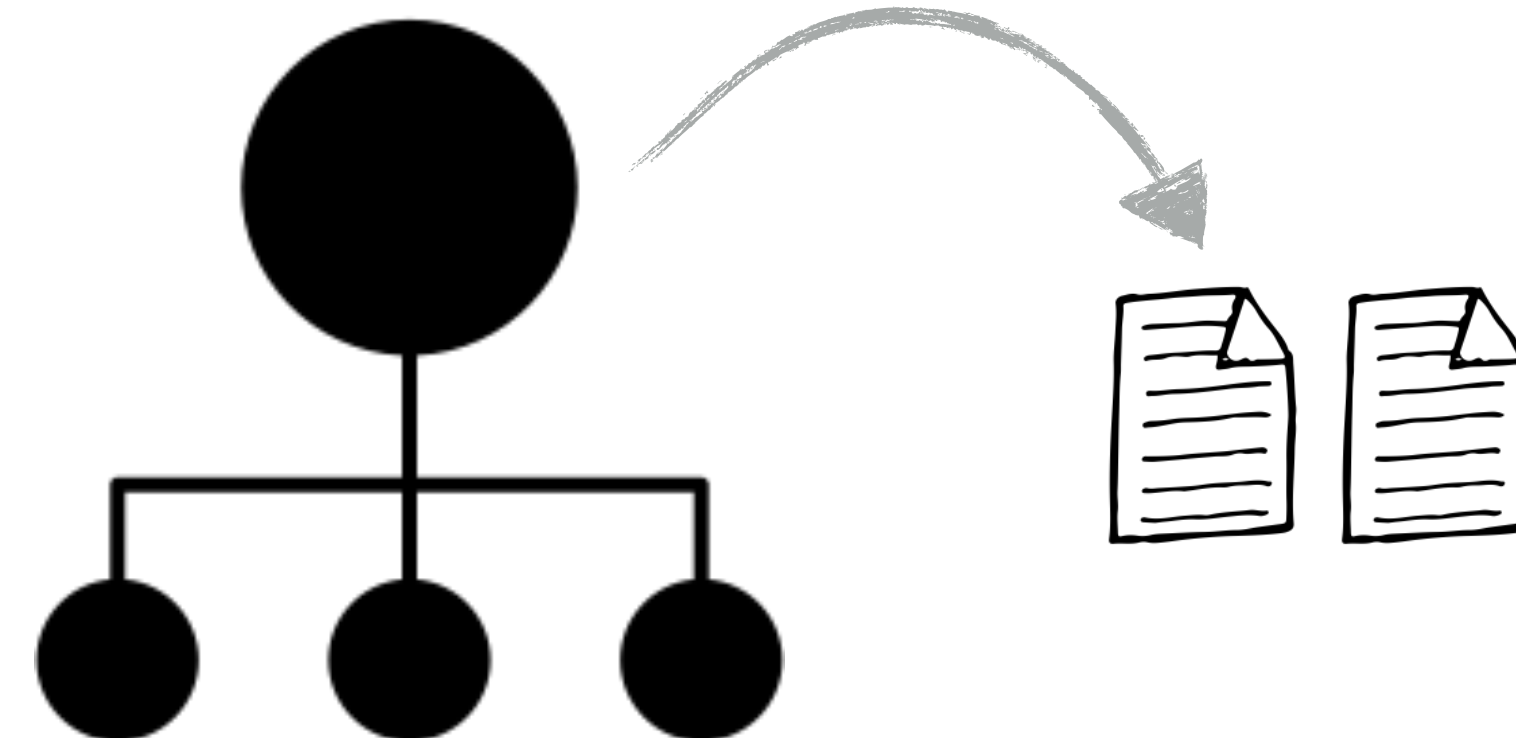


# Cross-lingual transfer: Two kinds of approaches

---



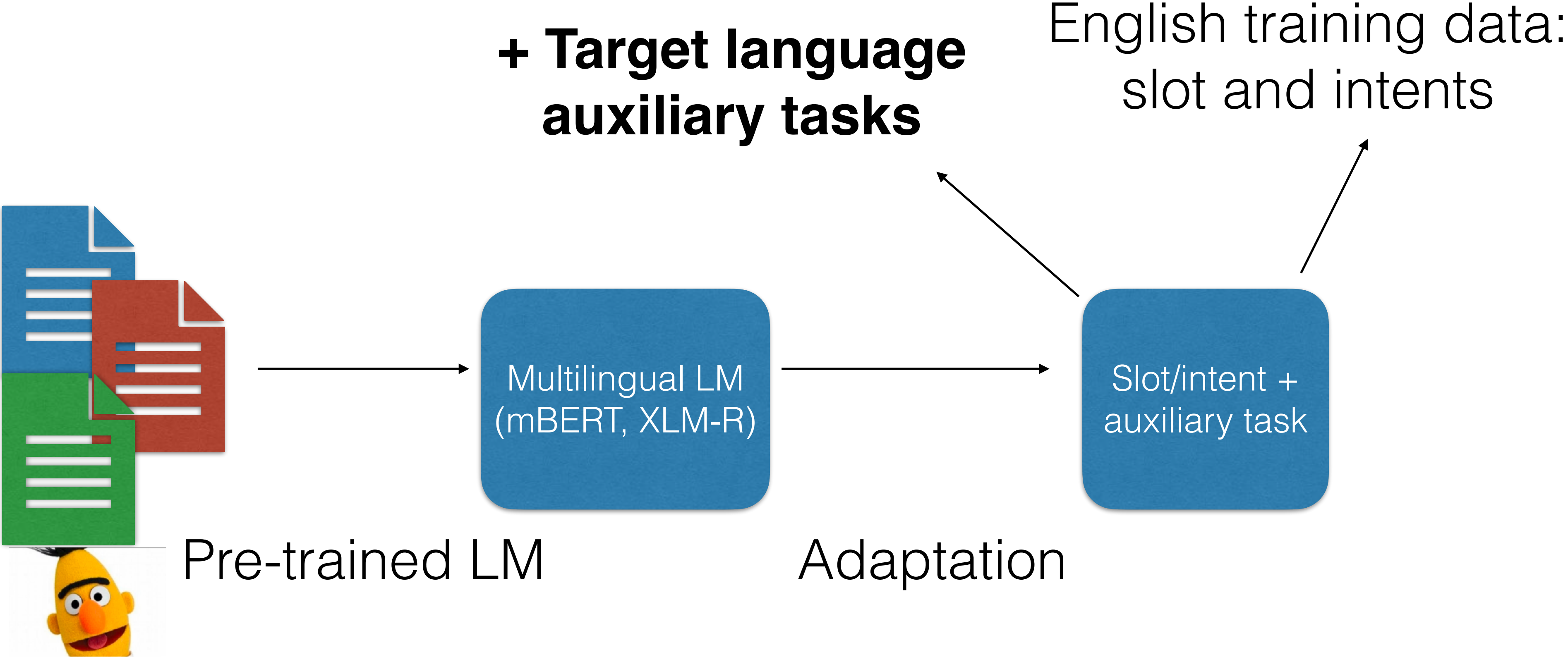
**annotation transfer**  
(e.g. annotation projection,  
translation)



**model transfer**  
(e.g. representation transfer  
like multilingual embeddings,  
delexicalization )

# Idea: Non-English Auxiliary Tasks

---



# Non-English Auxiliary Tasks

---

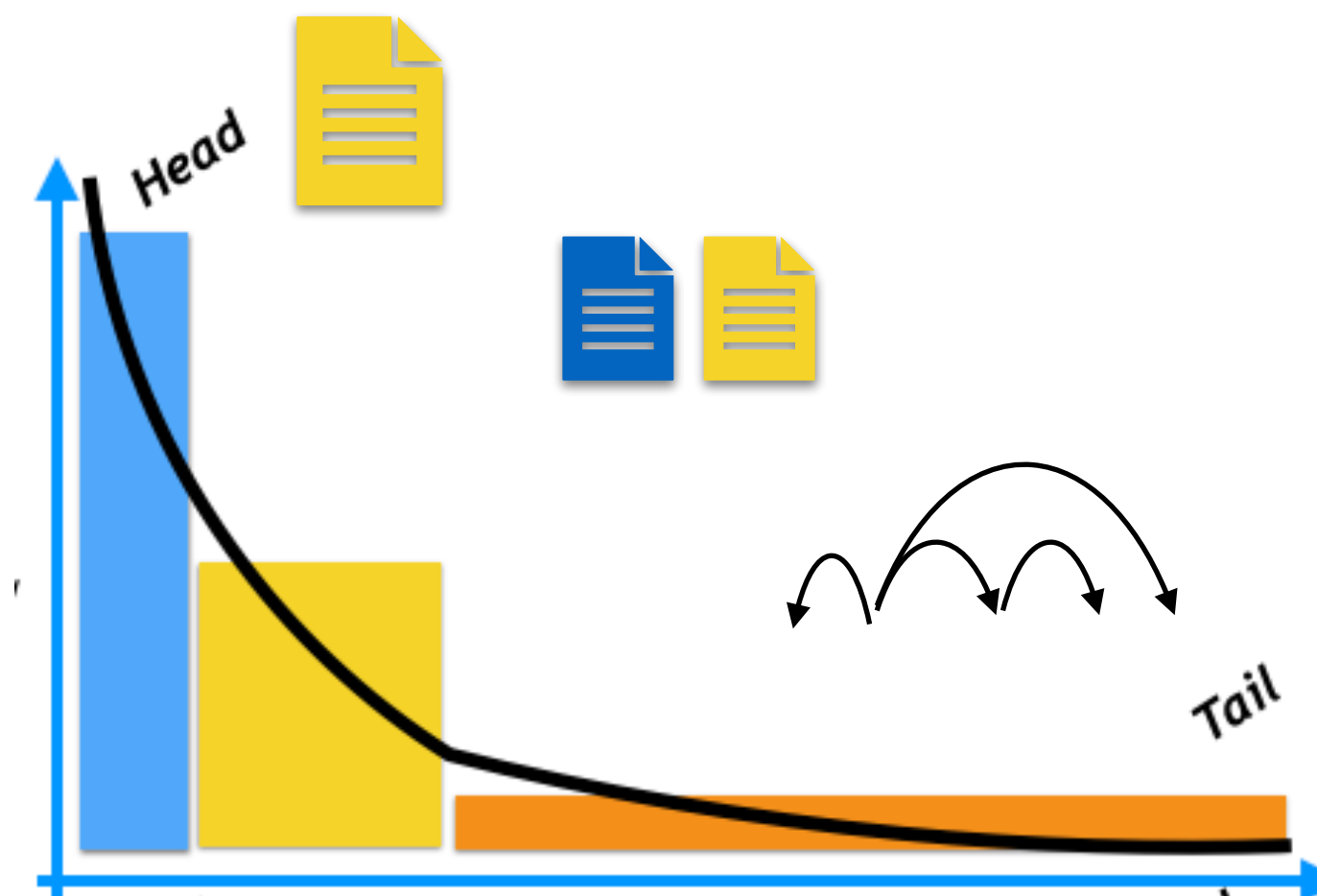
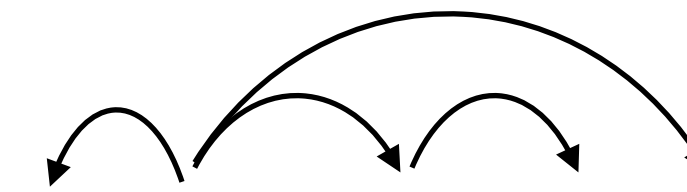
- **Raw data:** Masked language modelling (aux-mlm)



- **Parallel data:** Neural machine translation (aux-nmt)



- **Parsing data:** UD parsing (aux-ud)



# New dataset: xSID

---

ar أود أن أرى مواعيد عرض فيلم Silly Movie 2.0 في دار السينما

da Jeg vil gerne se spilletiderne for Silly Movie 2.0 i biografen

de Ich würde gerne den Vorstellungsbeginn für Silly Movie 2.0 im Kino sehen

de-st I mecht es Programm fir Silly Movie 2.0 in Film Haus sechn

en I'd like to see the showtimes for Silly Movie 2.0 at the movie house

id Saya ingin melihat jam tayang untuk Silly Movie 2.0 di gedung bioskop

it Mi piacerebbe vedere gli orari degli spettacoli per Silly Movie 2.0 al cinema

ja 映画館の Silly Movie 2.0 の上映時間を見せて。

kk Мен Silly Movie 2.0 бағдарламасының кинотеатрда көрсетілім уақытын көргім келеді

nl Ik wil graag de speeltijden van Silly Movie 2.0 in het filmhuis zien

sr Želela bih da vidim raspored prikazivanja za Silly Movie 2.0 u bioskopu

tr Silly Movie 2.0'ın sinema salonundaki seanslarını görmek istiyorum

zh 我想看 Silly Movie 2.0 在影院的放映

★ Data, code: <https://bitbucket.org/robvandergr/xsid>



# Experiments

---

- Baselines:
  - Baseline (mBERT): joint intent + slot prediction (MaChAmP, van der Goot et al., 2021)
  - Strong baseline (nmt-transfer): NTM (translate training data to target language) + annotation projection (map slots with attention)

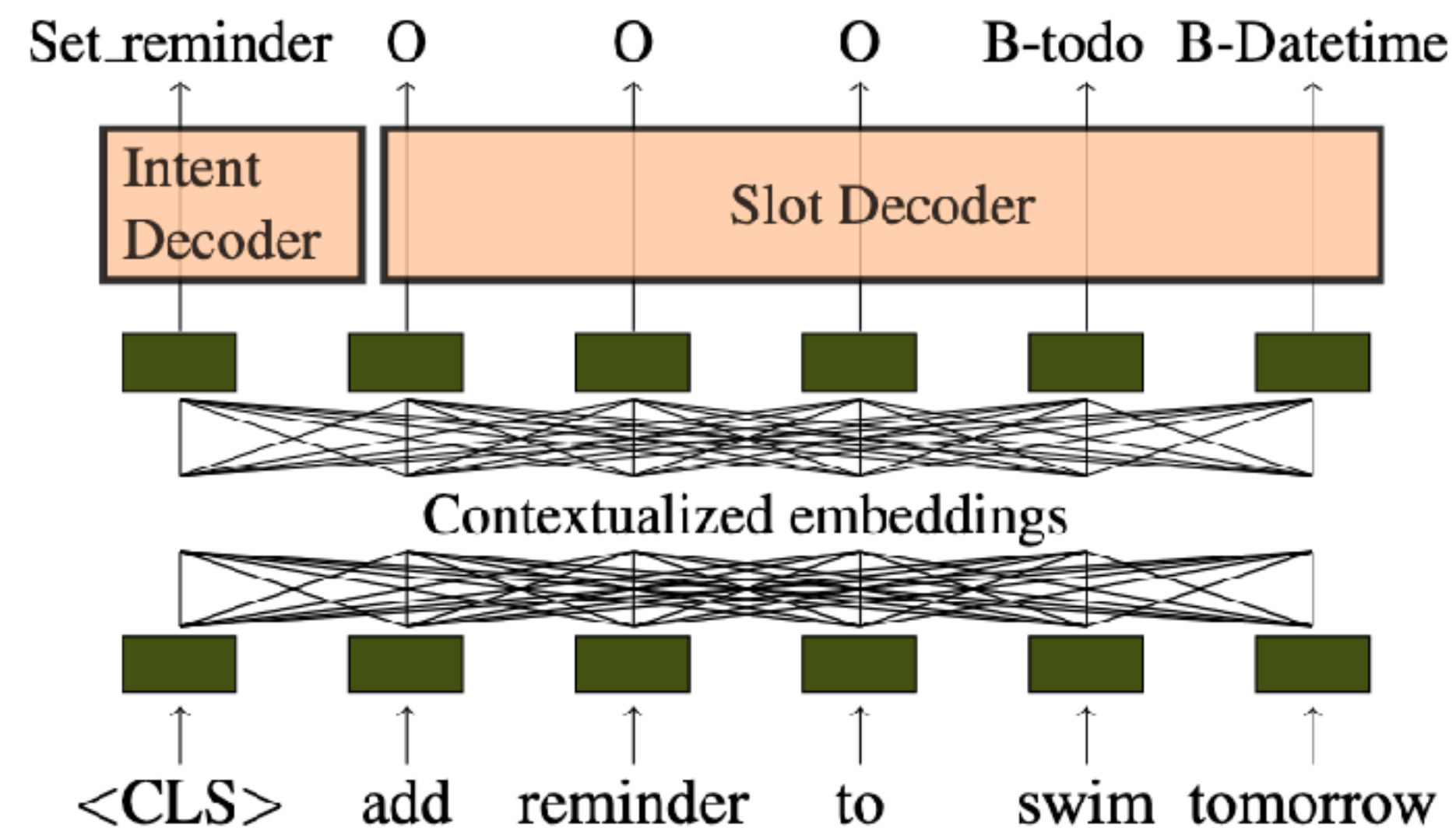


Figure 2: Overview of the baseline model.

# Results on Slots - Main take-away

---

mBERT lang2vec	en	de-st	de	da	nl	it	sr	id	ar	zh	kk	tr	ja*	Avg.
Slots														
base	<b>97.6</b>	48.5	33.0	73.9	80.4	75.0	<b>67.4</b>	<b>71.1</b>	45.8	<b>72.9</b>	48.5	55.7	59.9	61.0
nmt-transfer	0.0	50.9	34.5	60.8	63.7	51.0	41.3	54.2	<b>48.2</b>	27.9	0.2	52.0	45.0	44.1
aux-mlm	97.3	<b>53.0</b>	<b>34.6</b>	<b>75.9</b>	<b>82.2</b>	<b>78.0</b>	63.8	69.5	48.1	69.4	<b>51.3</b>	<b>58.4</b>	<b>63.5</b>	<b>62.3</b>
aux-nmt	0.0	44.5	33.3	71.4	76.9	71.9	58.5	62.9	38.7	70.3	38.2	50.2	58.7	56.3
aux-ud	97.5	47.6	29.1	73.7	73.3	61.8	56.8	61.1	42.6	64.9	45.2	53.8	47.6	54.8

(More results in the paper)

## How much training resources (time)?

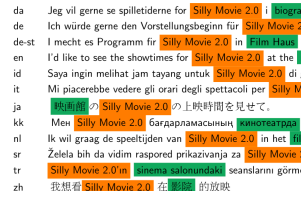
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Model	Time (minutes)
base	3
nmt-transfer	5,145
aux-mlm	220
aux-nmt	464
aux-ud	57

Table 5: Average minutes to train a model, averaged over all languages and both embeddings. For nmt-transfer we include the training of the NMT model.

# Take-aways

---

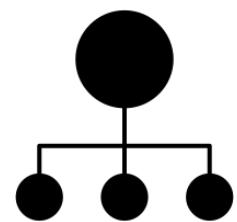


- Slot and Intent Detection dataset (xSID) and annotation guidelines released, xSID is growing: Bernese Swiss German and Neapolitan added in VarDial (Aepli et al. 2023)

★ Let us know if you would like to contribute a new language variant!



- MLM auxiliary task was most robust (similar to DAPT but across languages), and help particularly for a low-resource dialect (South Tyrolean)



- Limitation: sharing via MTL helped only in limiting degrees



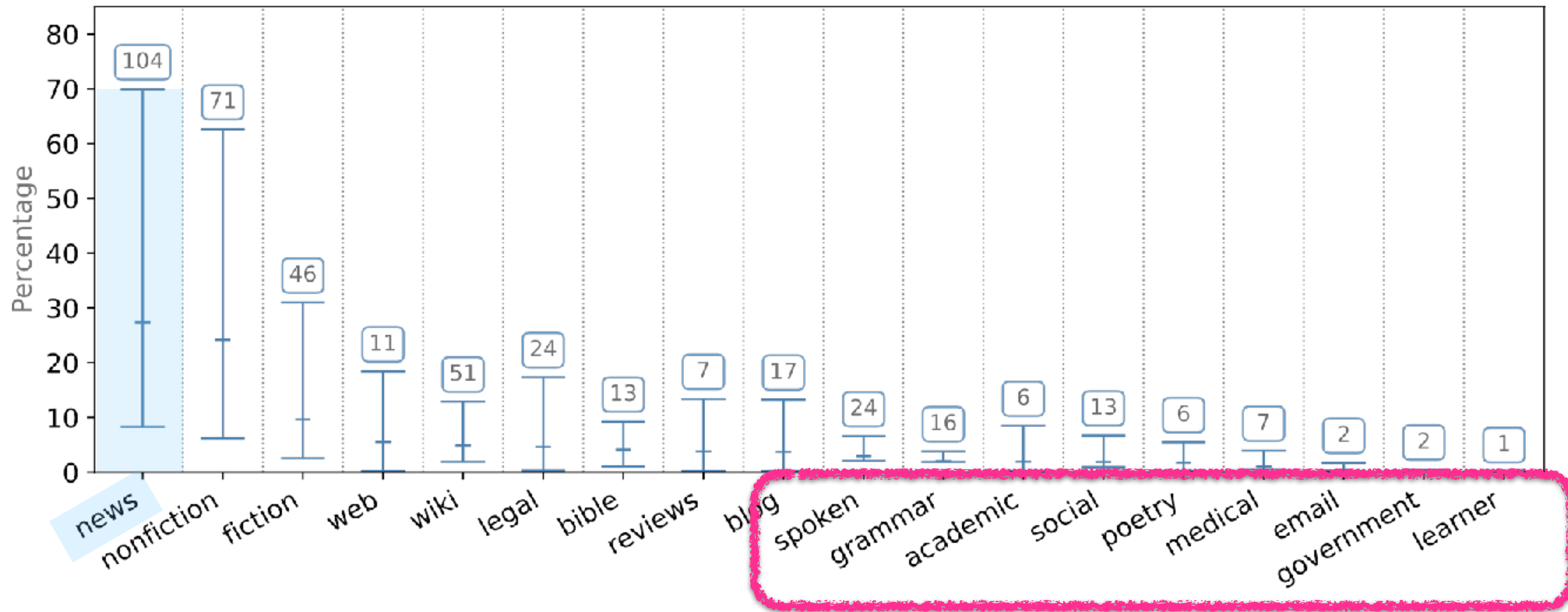
# **Genre as Weak Supervision for Cross-lingual Dependency Parsing**

**Max Müller-Eberstein** and **Rob van der Goot** and **Barbara Plank**

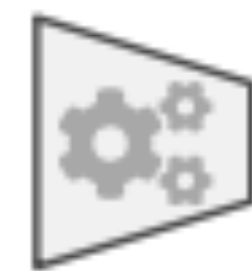
Department of Computer Science  
IT University of Copenhagen, Denmark  
mamy@itu.dk, robv@itu.dk, bapl@itu.dk



# Genre Distribution in Universal Dependencies (UD)



?  
Train data



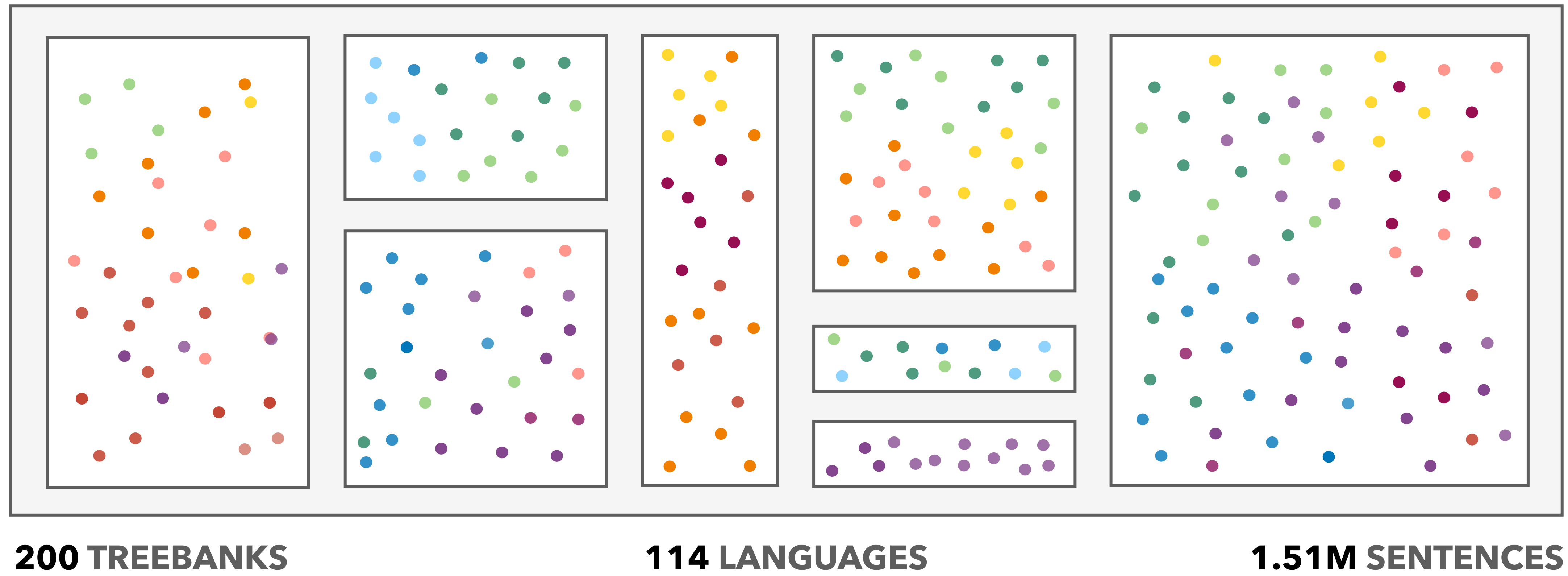
Parser



Target

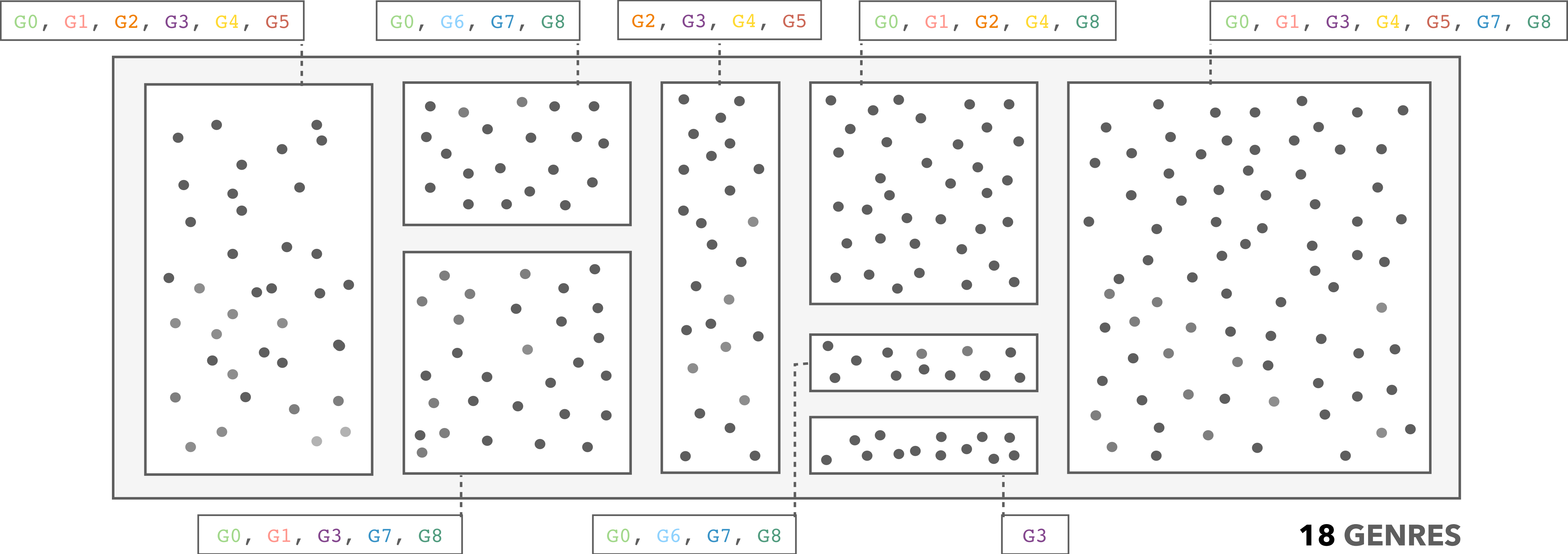
# Universal Dependencies

Müller-Eberstein, van der Goot, and Plank (2021b)

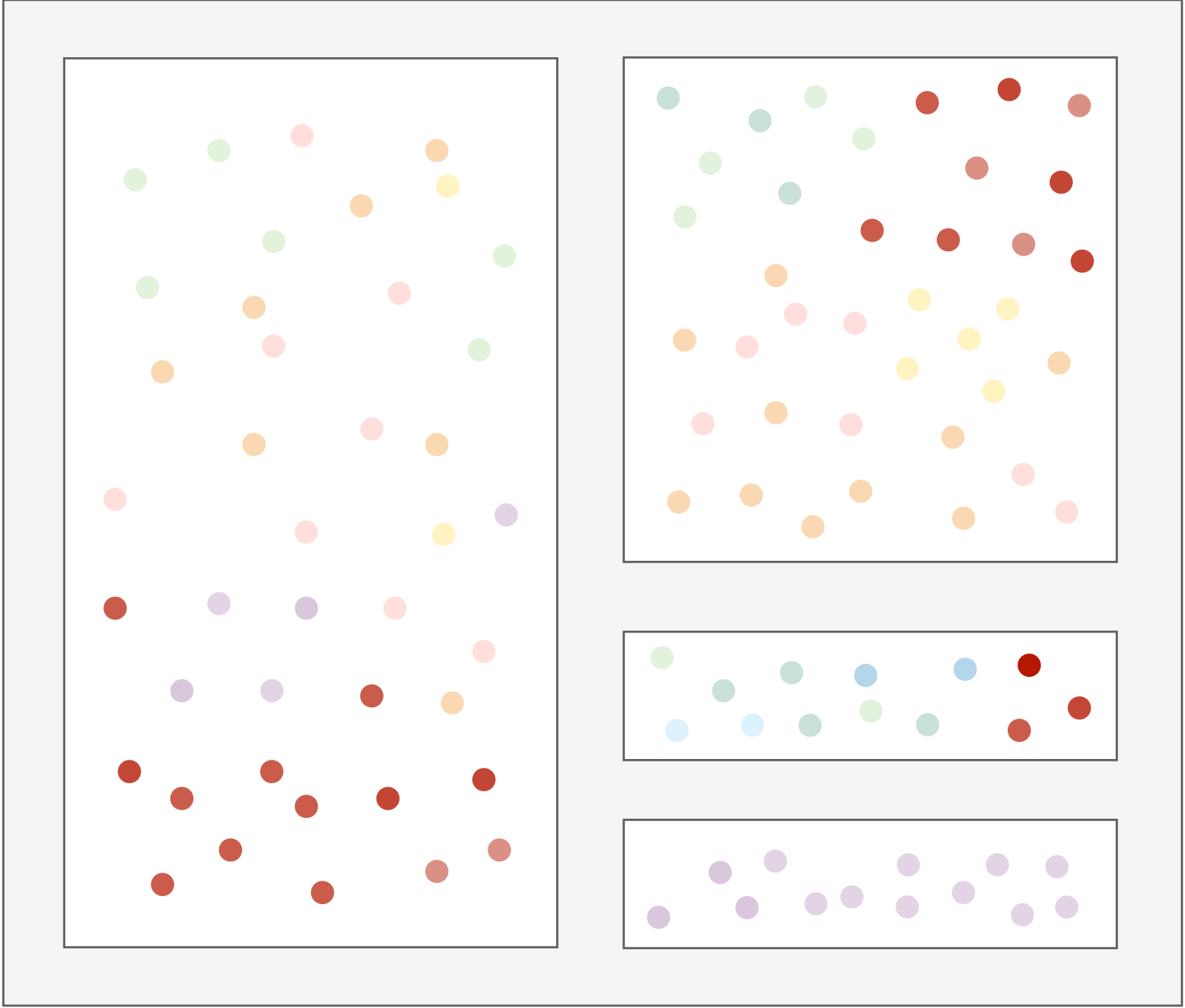


# Universal Dependencies Genre Meta-data

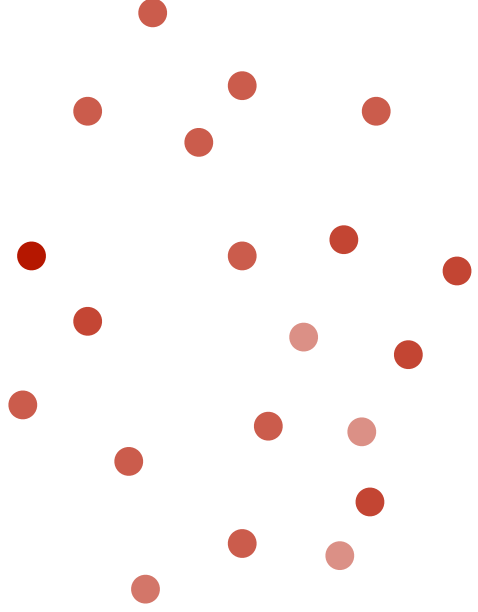
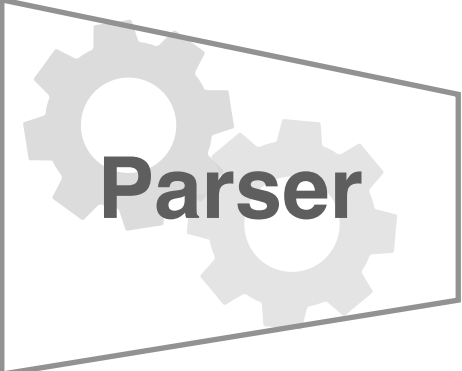
What's (not) in a corpus?







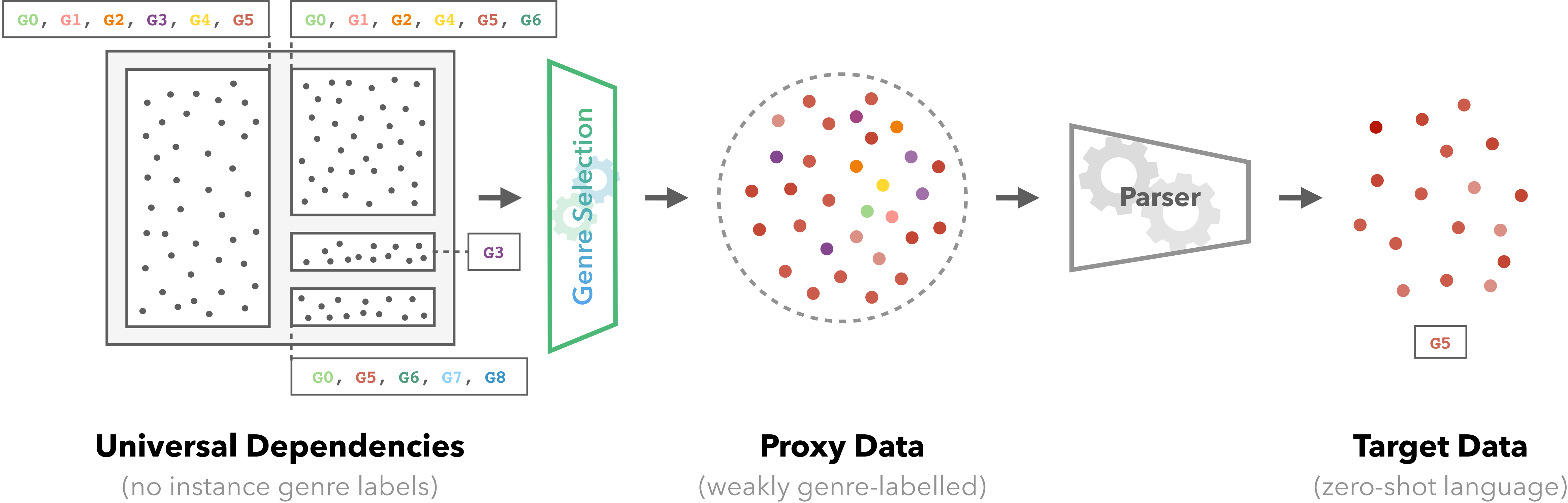
UD Treebanks



TARGET

# Genre as Weak Supervision for Cross-lingual Dependency Parsing

Müller-Eberstein, van der Goot, and Plank (2021a)



# Genre as Weak Supervision for Cross-lingual Dependency Parsing

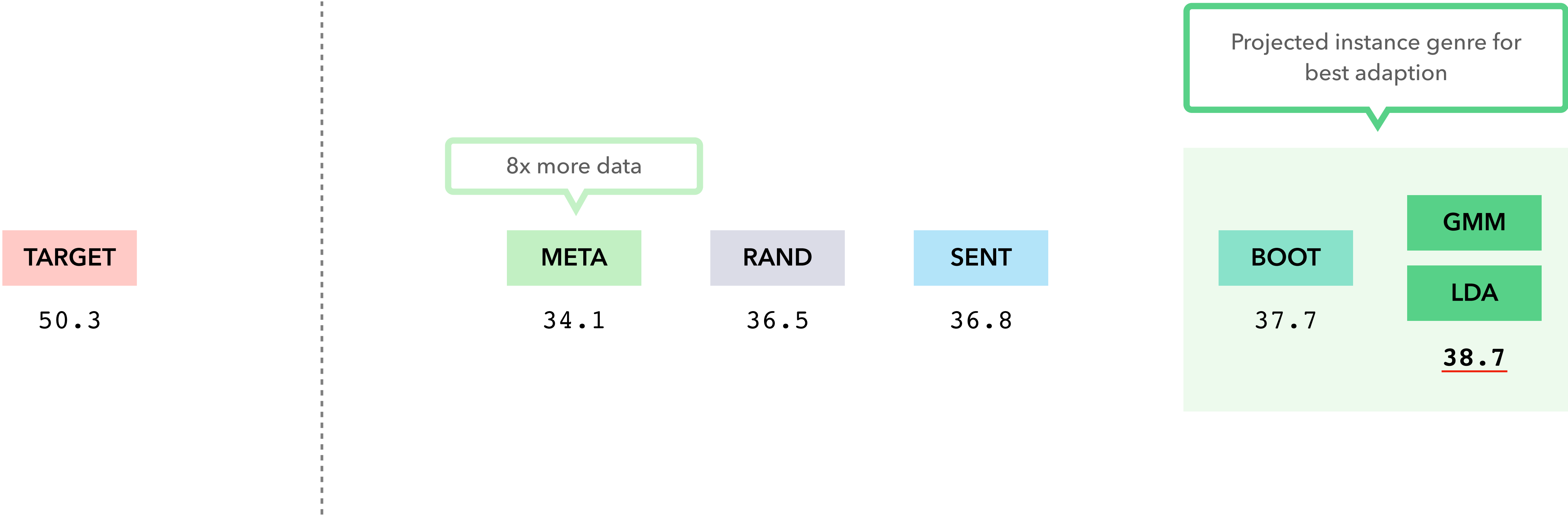
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Sort by size (lowest first).



# Data Selection Results

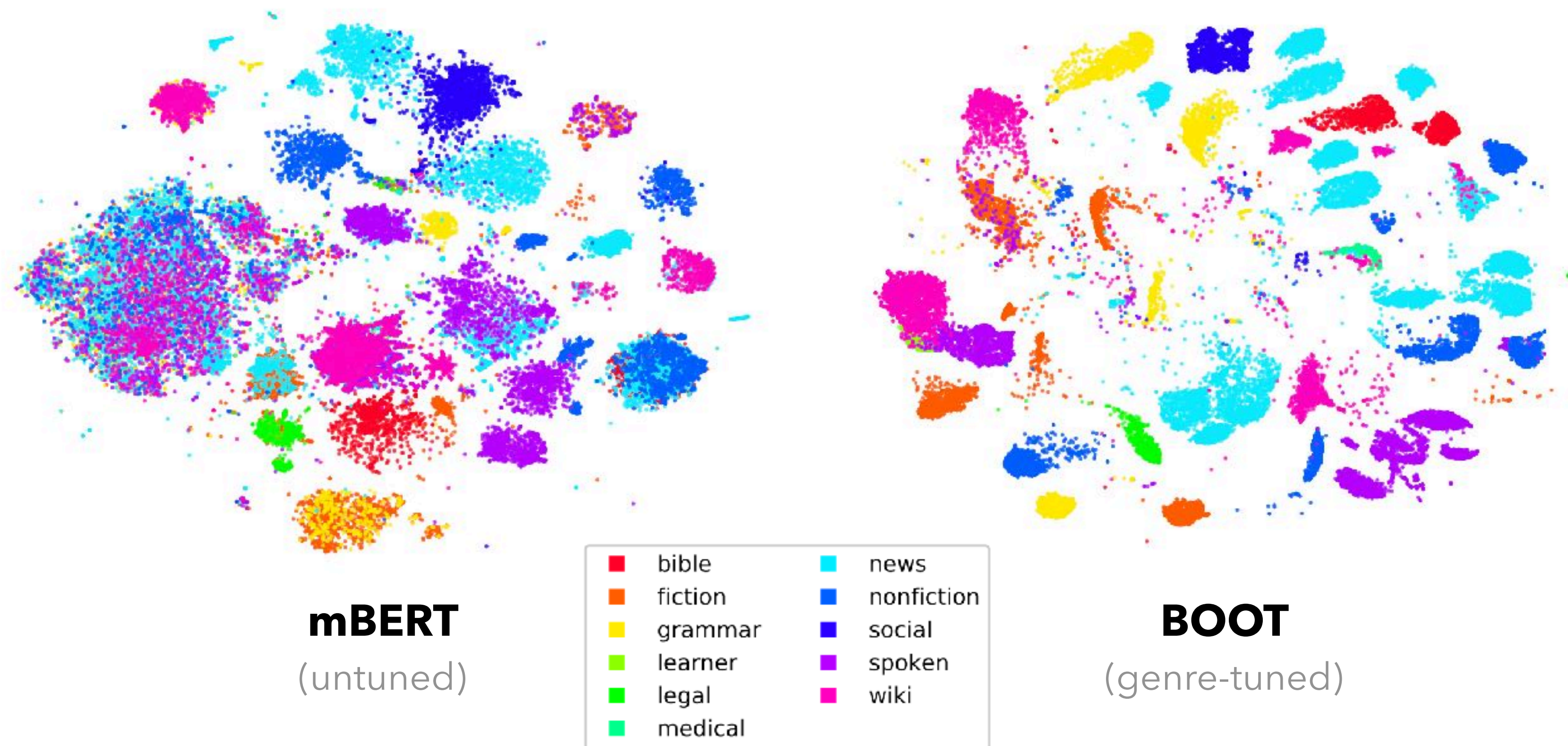
Less is more.





# Genre as Weak Supervision for Cross-lingual Dependency Parsing

Left: genre in mBERT. Right: genre-tuned mBERT via weak supervision.



# Applications to Transferability Estimation

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Subspaces for Performance Prediction



# Which Large Pre-Trained LM to pick?

The screenshot shows the Hugging Face website interface. At the top, the navigation bar includes the Hugging Face logo, a search bar, and links for Models, Datasets, Spaces, Docs, Solutions, and Pricing. Below the navigation bar, there are tabs for Tasks, Libraries, Datasets, Languages (selected with a '1' badge), and Licenses. A search bar for filtering languages by name and a 'Reset Languages' button are also present. The language filter is set to 'English', which is circled in red. Below the filter, a grid of language options is shown, including English, French, Spanish, Chinese, German, Japanese, Russian, Portuguese, Arabic, Italian, multilingual, Swedish, Hindi, Korean, Turkish, Vietnamese, Finnish, Dutch, Indonesian, Ukrainian, Romanian, Polish, Persian, Thai, Catalan, Bengali, Danish, Estonian, Greek, Tamil, Urdu, Marathi, Swahili, Bulgarian, Czech, Hungarian, and Telugu. On the right side, the 'Models' section is circled in red, showing a total of 13,825 models. Below this, a list of models is displayed, each with its name, update date, size, and number of likes. The models listed are bert-base-uncased, jonatasgrosman/wav2vec2-large-xlsr-53, gpt2, xlm-roberta-base, and emilyalsentzer/Bio\_ClinicalBERT.

Hugging Face

Models Datasets Spaces Docs Solutions Pricing

Tasks Libraries Datasets Languages 1 Licenses Other

Filter Languages by name Reset Languages

English x French Spanish Chinese German Japanese Russian Portuguese Arabic Italian multilingual Swedish Hindi Korean Turkish Vietnamese Finnish Dutch Indonesian Ukrainian Romanian Polish Persian Thai Catalan Bengali Danish Estonian Greek Tamil Urdu Marathi Swahili Bulgarian Czech Hungarian Telugu

Models 13,825 Filter by new Full-text search

bert-base-uncased  
Updated Nov 16, 2022 · ↓ 45M · ♥ 648

jonatasgrosman/wav2vec2-large-xlsr-53  
Updated 4 days ago · ↓ 26.6M · ♥ 42

gpt2  
Updated Dec 16, 2022 · ↓ 20.9M · ♥ 796

xlm-roberta-base  
Updated Nov 16, 2022 · ↓ 17.7M · ♥ 218

emilyalsentzer/Bio\_ClinicalBERT  
Updated Feb 27, 2022 · ↓ 10.5M · ♥ 112

## Evidence > Intuition: Transferability Estimation for Encoder Selection

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# Which Large Pre-Trained LM to pick?

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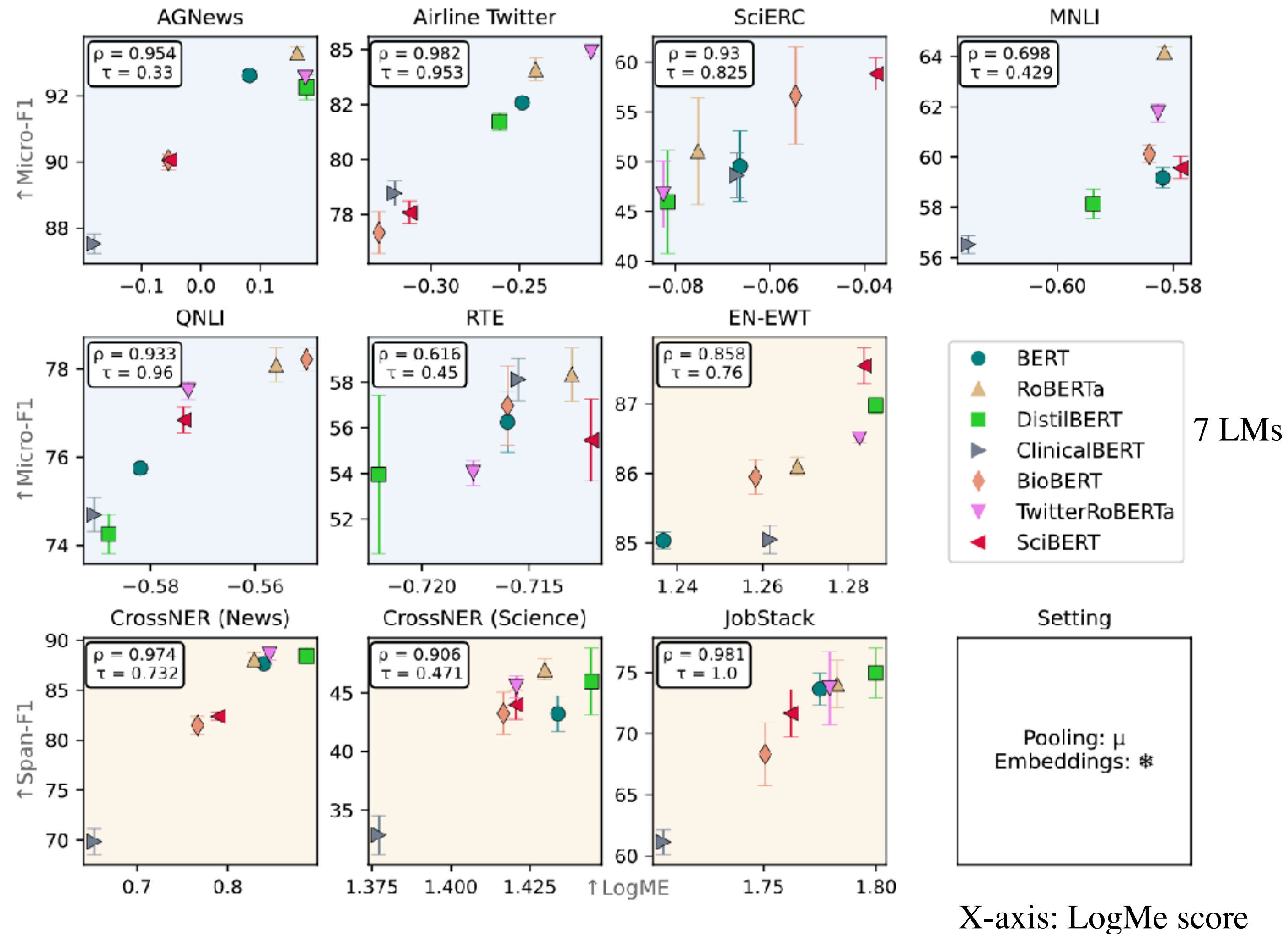
- Problem: LLMs are appearing at an incredible pace. It becomes increasingly difficult to pick a pre-trained LM
  - Fine-tuning with all is infeasible (and not sustainable)
  - Today's LM choice is largely based on heuristics
- **Question:** Given an NLP task, to what extent can we estimate the transferability of pre-trained LMs to specific NLP tasks, a-priori (without fine-tuning?)
- Prior work on this in NLP is limited; Some distantly related work on performance prediction not on LLM choice though (e.g. Xia et al., 2020; Ye et al., 2021)

# Transferability Estimation

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- Problem setup: Given  $L$  pre-trained language models and a dataset  $D$ , estimate a score for each language model without fine-tuning on  $D$
- Use the obtained rank to select the best LLM encoder
- As ranking function, we use the LogMe framework proposed in Computer Vision (You et al., 2021) - an iterative process that draws lightly parametrised Gaussian distributions to estimate the fit of the LM to the dataset  $D$
- We evaluate model ranking across 10 tasks of two kinds (classification, structured prediction) using 4 setups and 7 LLMs (general, domain-specific)
- We compare it to human experts (12 NLP researchers)

# Transferability Estimation: Results



Blue: classification tasks, Orange: sequence labelling tasks

Y-axis: Task performance

## Vs Human Performance

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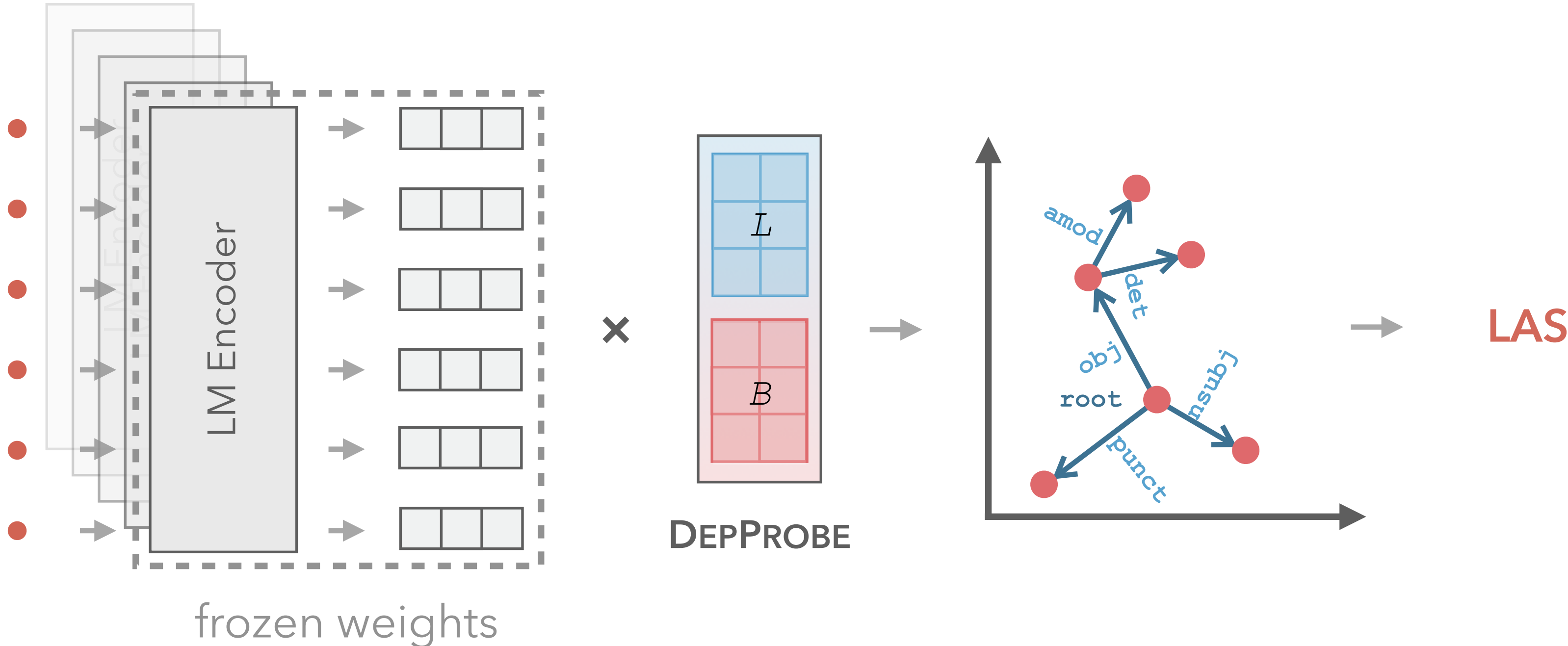
- Task turns out to be difficult for humans
- No single participant was the expert in all setups
- Wider range of correlation:
  - LogME range of  $\tau$  is in  $[-0.20; 1.00]$ ; Human rankings fall into a wider range of  $[-0.54; 1.00]$ , higher uncertainty.
- Benefit of LogMe: provides a continuous scale, humans ranks offer no indication of relative performance differences
- Take-Away: Evidence  $>$  human-intuition for a-priori LM ranking
- Limitation: limited (12) human rankings, generalisability beyond the task sample?



**What about dependency parsing?**

# Probing for labeled dependency trees

Müller-Eberstein, van der Goot, and Plank (2022b)



# Sort by Structure: Language Model Ranking as Dependency Probing

9 languages, 22 LMs, 46 setups.

Arabic	English	Finnish	Anc. Greek	Hebrew	Korean	Russian	Swedish	Chinese
mBERT	mBERT	mBERT	mBERT	mBERT	mBERT	mBERT	mBERT	mBERT
XLM-R	XLM-R	XLM-R	XLM-R	XLM-R	XLM-R	XLM-R	XLM-R	XLM-R
RemBERT	RemBERT	RemBERT	RemBERT	RemBERT	RemBERT	RemBERT	RemBERT	RemBERT
AraBERT	BERT	BERT-FI	BERT-GRC	ℵ-BERT	BERT-KO	RuBERT	BERT-SV	BERT-ZH
BERT-AR	RoBERTA	BERT-fi	BERT-EL		RoBERTA-KO	RuBERTa		BERT-ZH WWM
					BERT-KOR	RoBERTA-RU		RoBERTA-ZH WWM





## Spectral Probing

**Max Müller-Eberstein** and **Rob van der Goot** and **Barbara Plank**

- Department of Computer Science, IT University of Copenhagen, Denmark
- Center for Information and Language Processing (CIS), LMU Munich, Germany
- Munich Center for Machine Learning (MCML), Munich, Germany

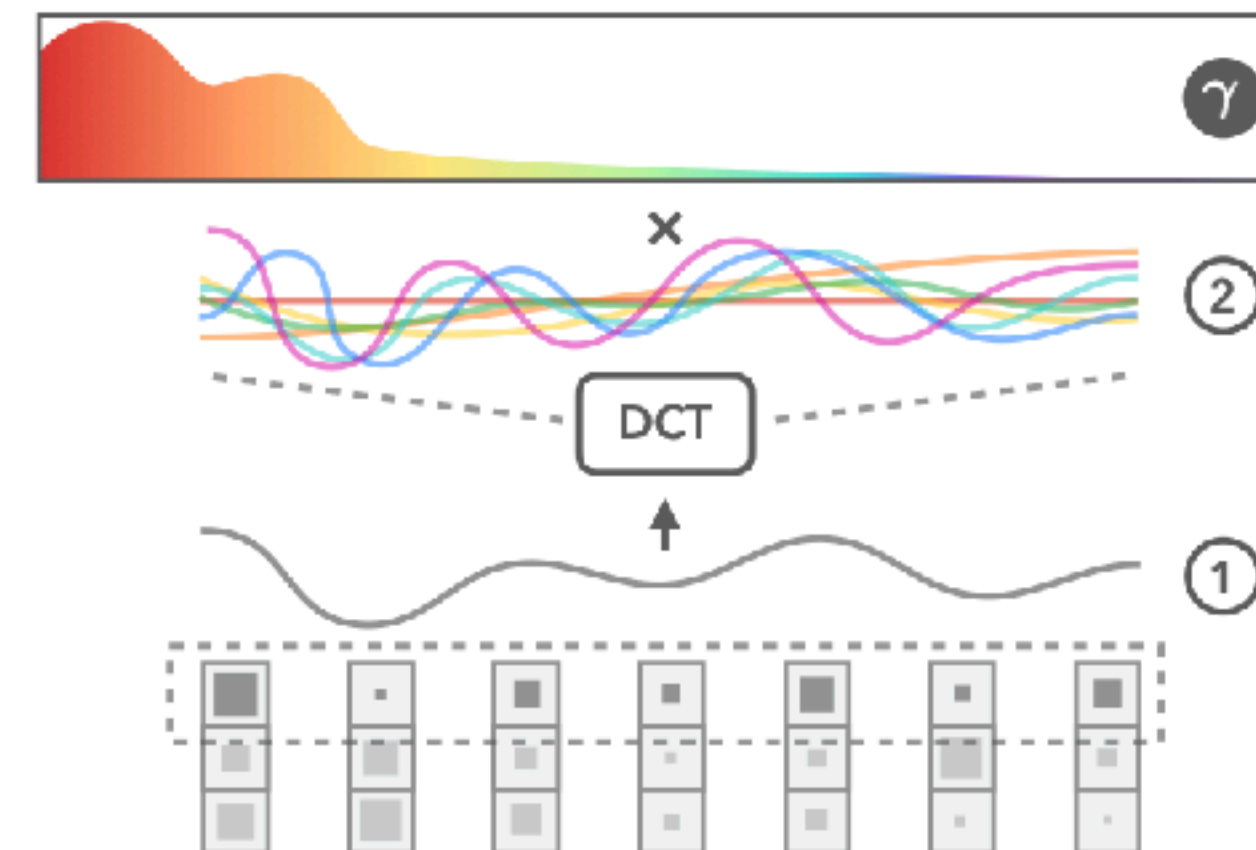
mamy@itu.dk, robv@itu.dk, b.plank@lmu.de



# Introspection: What is captured in contextualised embeddings?

- **Probing** has developed into a widely-used toolkit (e.g. Conneau et al., 2018; Hewitt & Manning, 2019; Tamkin et al., 2020)
- **Linguistic information** is encoded at **varying timescales** (subwords, phrases etc) and levels (syntax, semantics etc).
- **Question:** To what extent do multilingual representations capture linguistic properties at different time-scales?

—> Spectral Probing as a  
into large LLMs



# Take-Away: Spectral probes rediscover the linguistic hierarchy

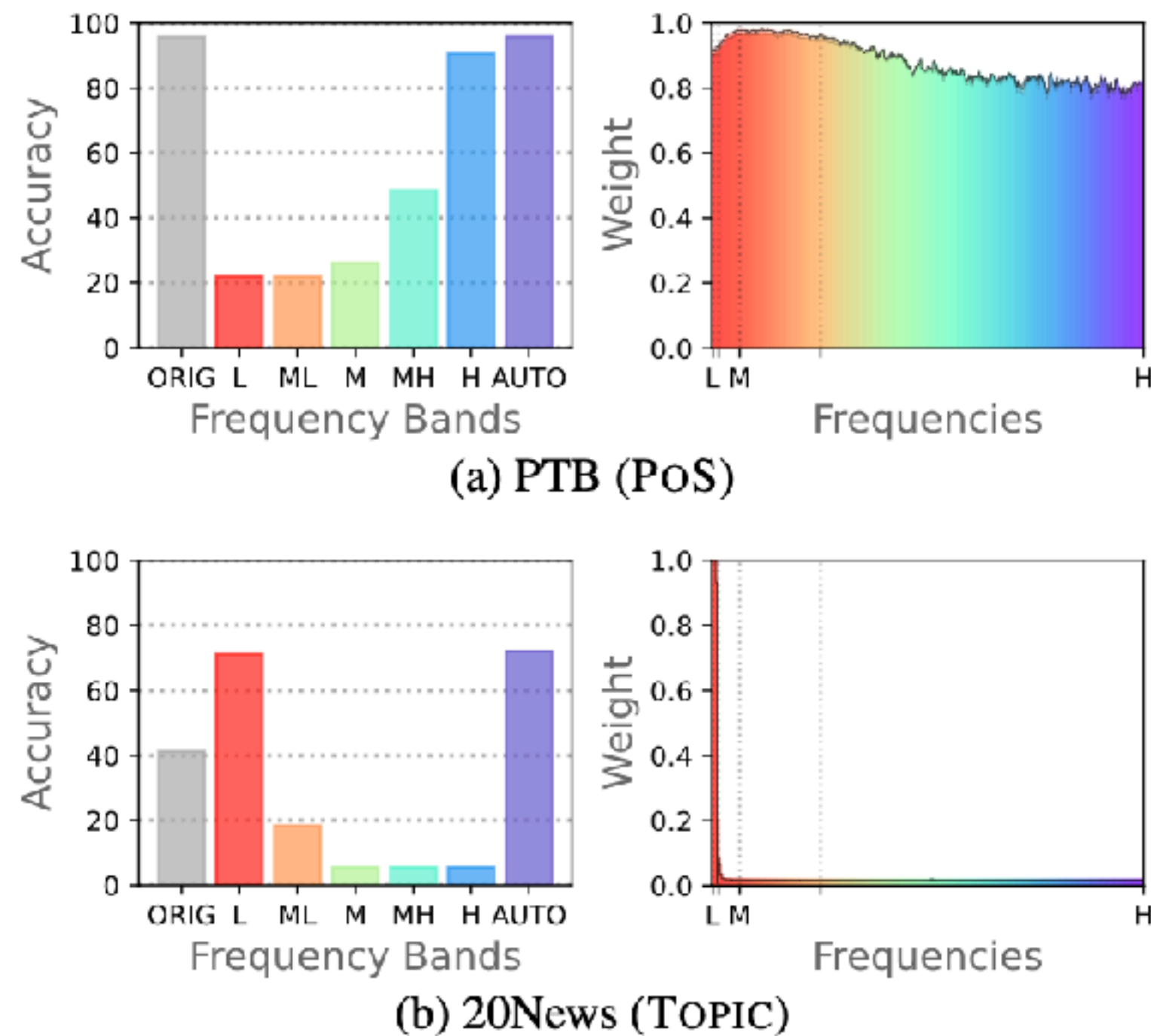


Figure 2: **Monolingual Results on PTB and 20News.** ACC of unfiltered (ORIG), low (L), mid-low (ML), mid (M), mid-high (MH), high (H), and the spectral probe's automatic filters (AUTO) with frequency weightings.

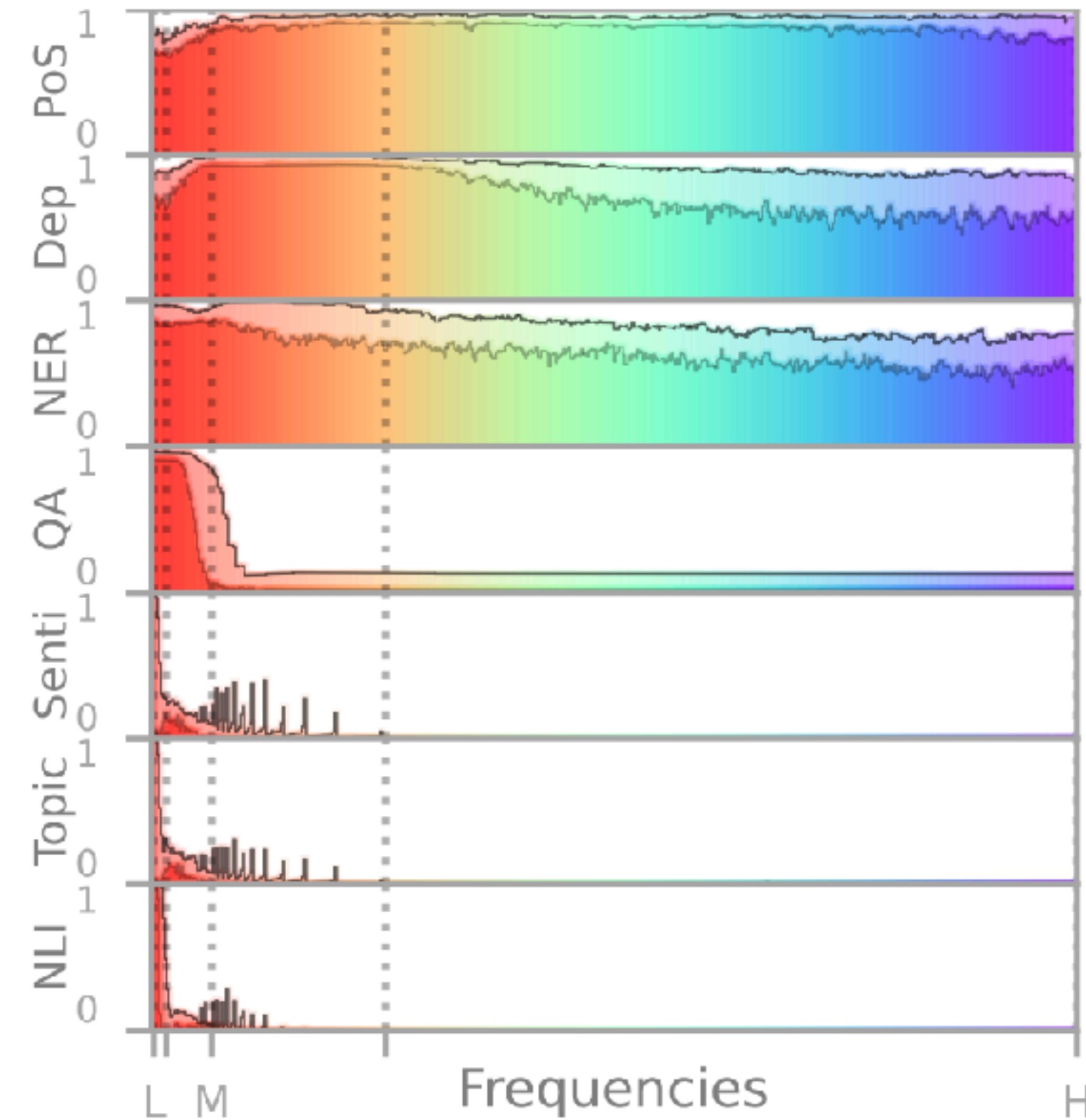


Figure 3: **Spectral Profiles** of all tasks (weight per frequency), with lower and upper bounds across languages.

# Applications to Human Label Variability

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Often there exists no ground truth



**The “Problem” of Human Label Variation:  
On Ground Truth in Data, Modeling and Evaluation**

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Center for Information and Language Processing (CIS), MaiNLP lab, LMU Munich, Germany  
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&

**Stop Measuring Calibration When Humans Disagree**

**Joris Baan<sup>1</sup>, Wilker Aziz<sup>1</sup>, Barbara Plank<sup>2,3,4</sup>, Raquel Fernández<sup>1</sup>**

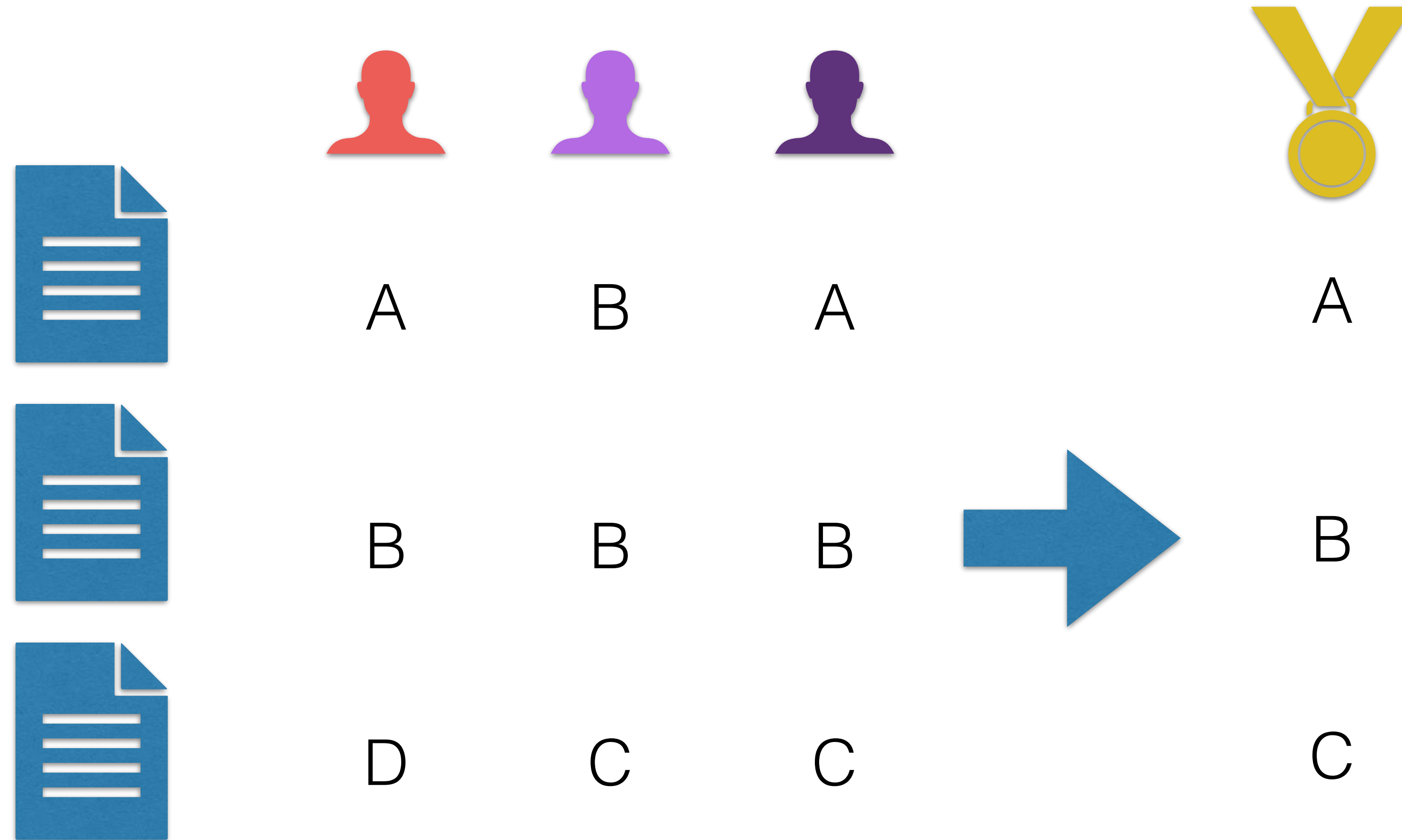
<sup>1</sup>University of Amsterdam, <sup>2</sup>IT University of Copenhagen, <sup>3</sup>MCML Munich, <sup>4</sup>LMU Munich  
{j.s.baan,w.aziz,raquel.fernandez}@uva.nl, b.plank@lmu.de



EMNLP, 2022

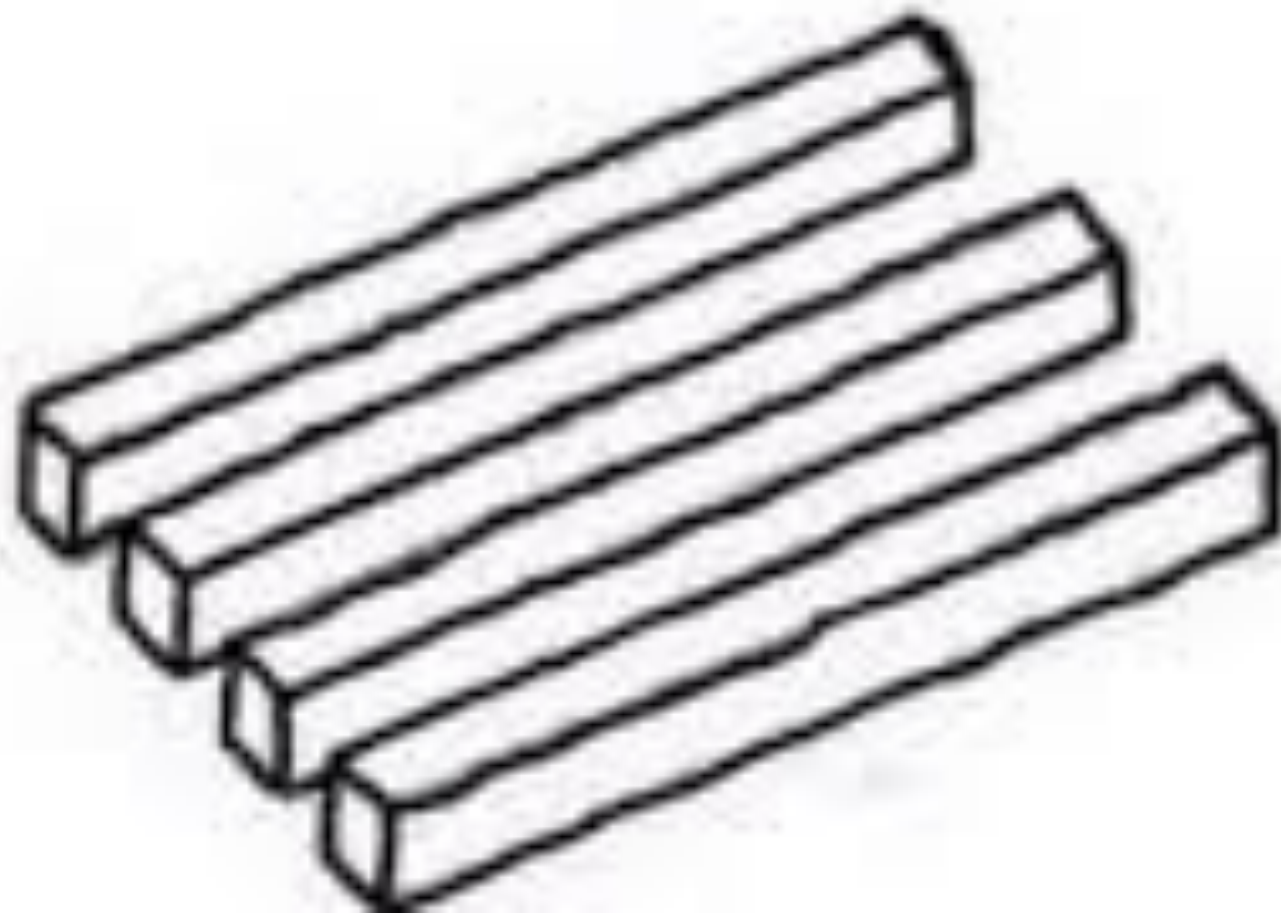
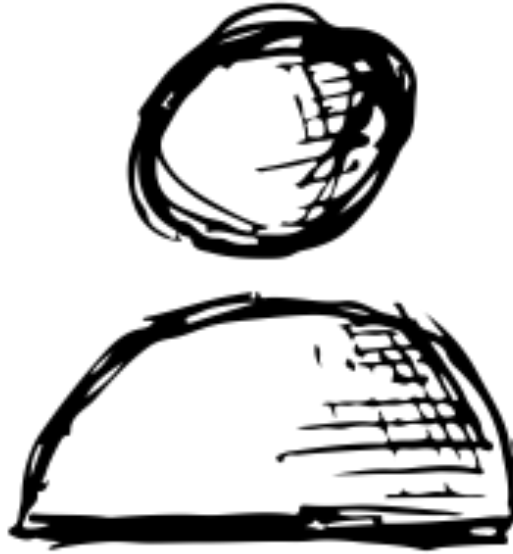
# Multiple human annotations

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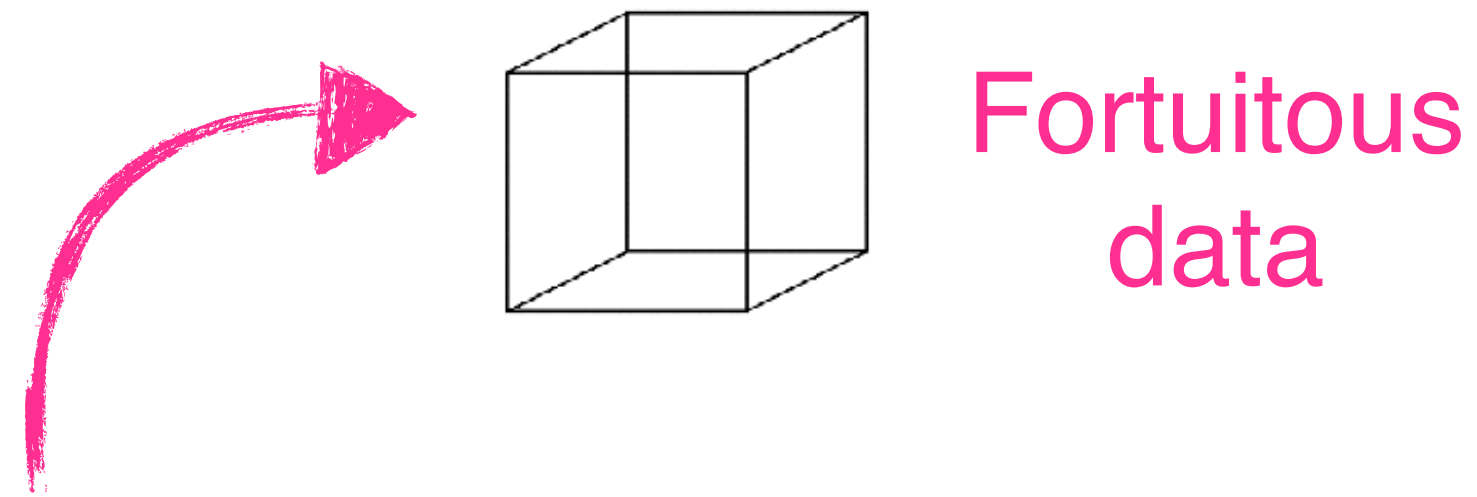


**Four**

**No.  
Three**



# Can we turn disagreement into *advantage*?

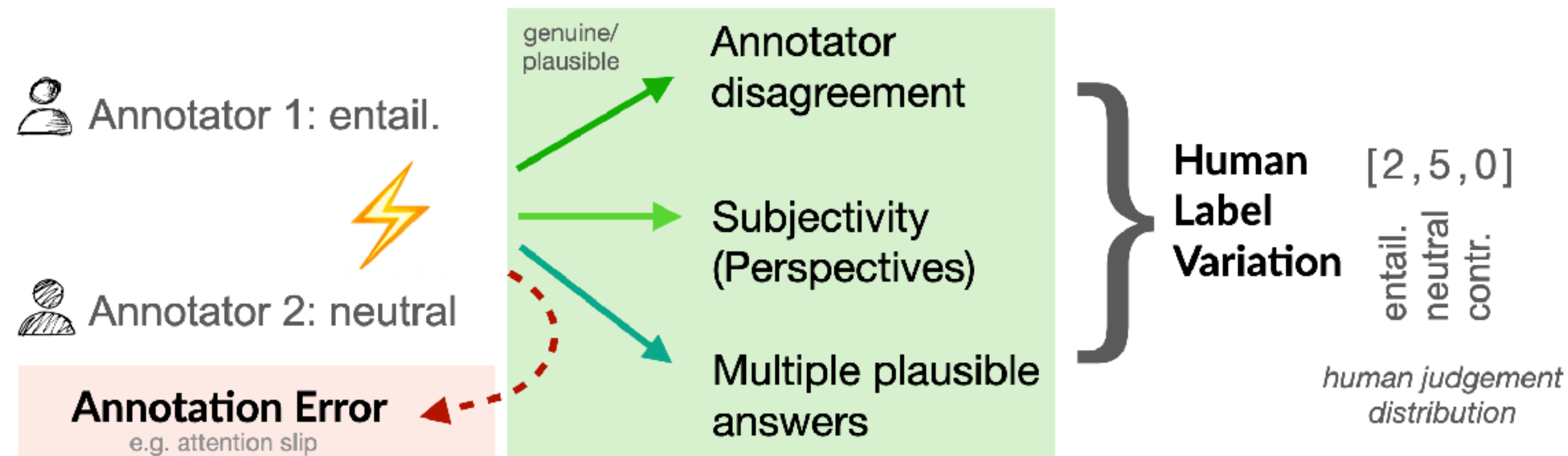


Disagreement in human annotation is *ubiquitous*

- This impacts all 3 stages of the NLP pipeline.
- Human disagreement is one important form of uncertainty.

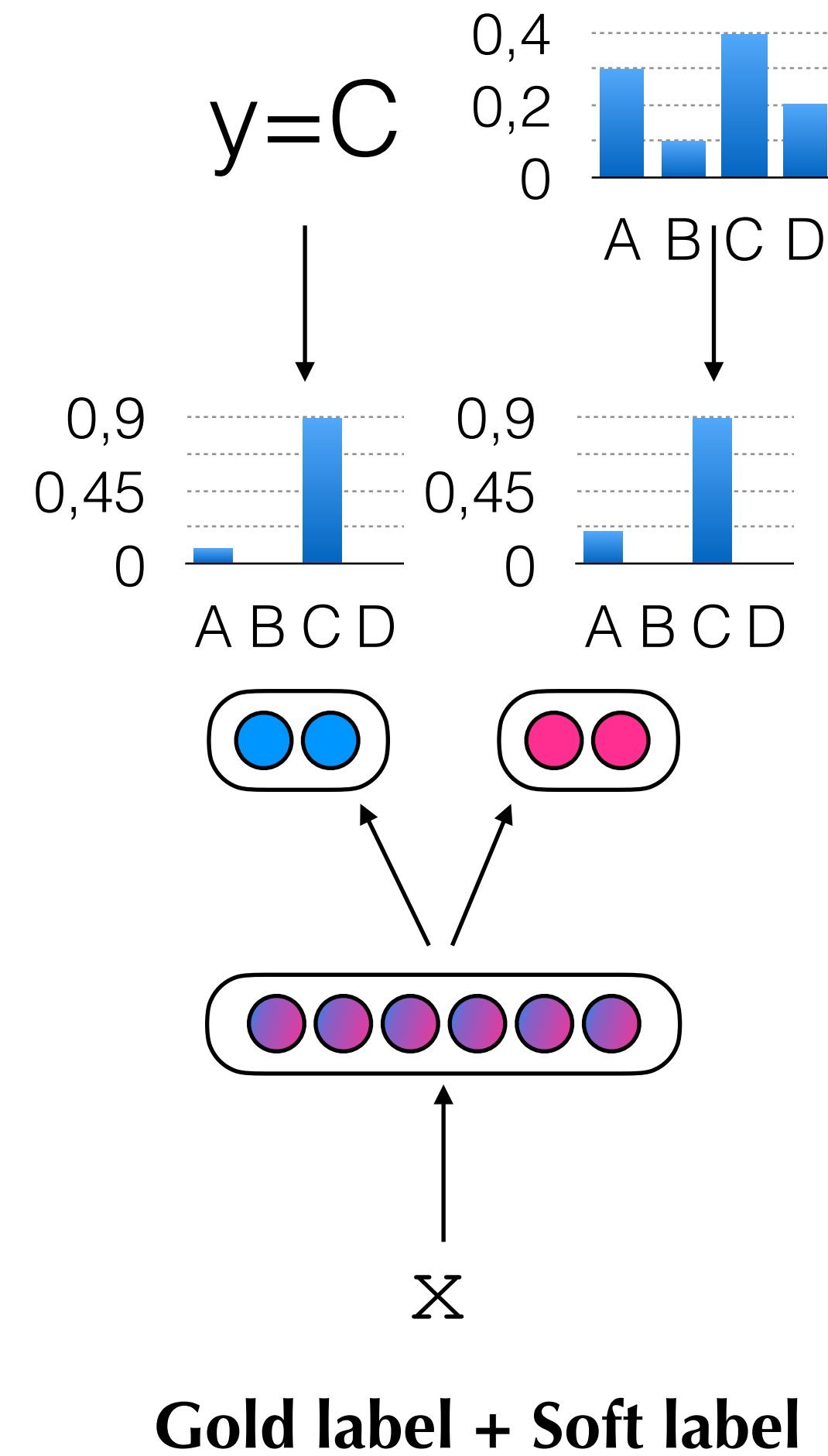
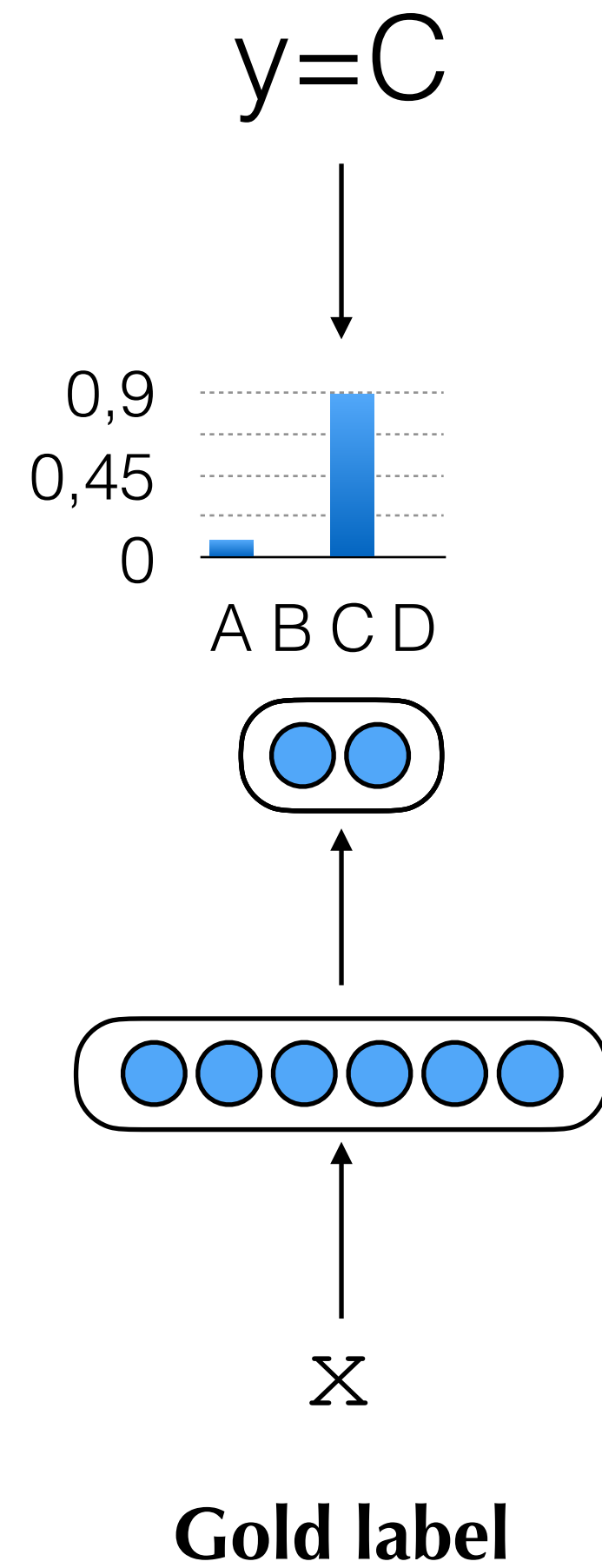


# Disagreement or variation?



- ▶ I propose to call it **Human label variation (HLV)** = plausible variation in annotation (Plank, 2022 EMNLP)
  - ▶ Preferred over ‘disagreement’ as that implies two or more views cannot all hold
  - ▶ To reconcile different notions in the literature (‘human uncertainty’, ‘perspectives’, ‘hard cases’, ‘disagreement’ etc)
- ▶ In contrast: annotation errors

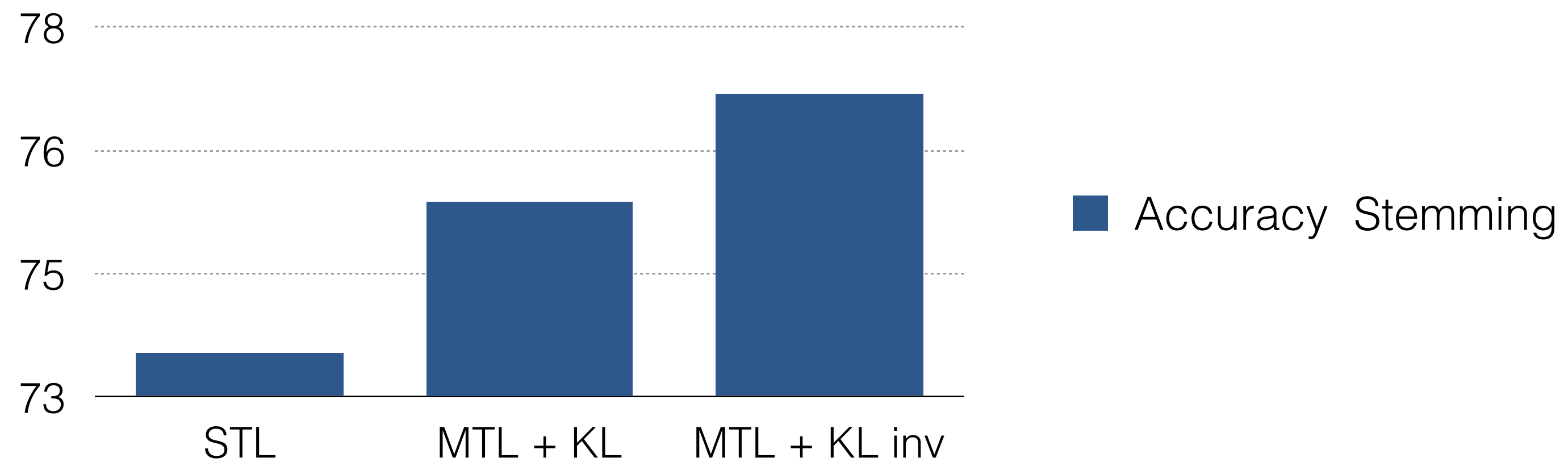
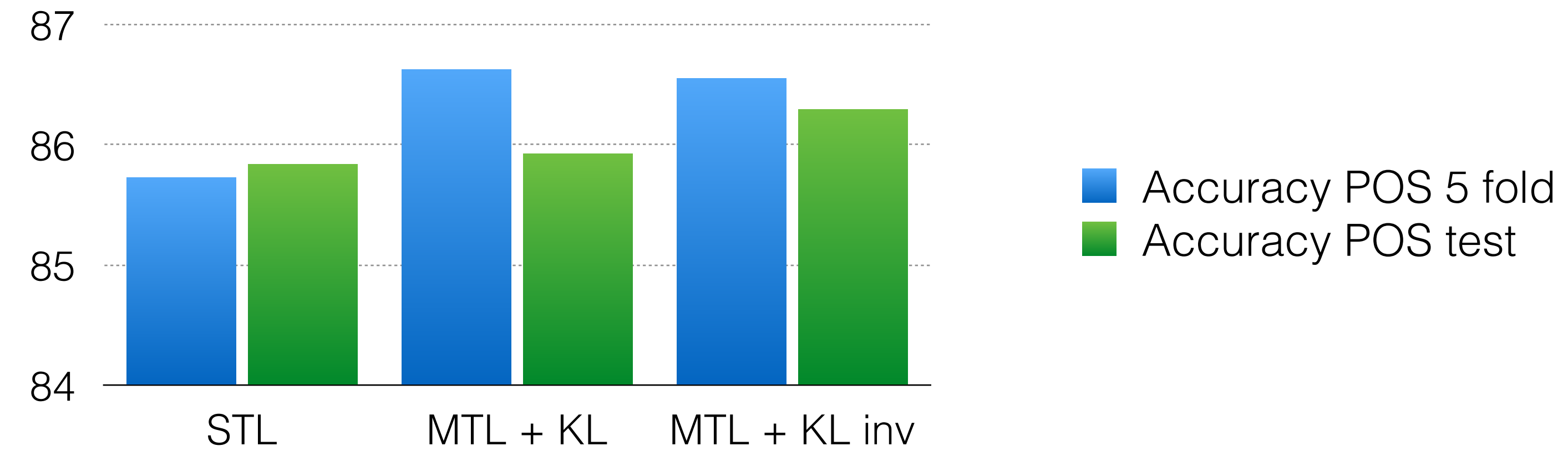
# Soft-labels via Multi-Task Learning: Auxiliary task for “human distribution”



(Fornaciari, Uma, Paul, Plank, Hovy, Poesio 2021 NAACL)

# Results

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$$D_{KL}(P||Q) \quad D_{KL}(Q||P)$$

# Learning with Human Label Variation

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- Soft-label MTL is only one way to use MTL
- Alternative: Davani et al. (2021) who model each annotator separately as output head in a MTL model (instead of one head with the “human distribution”)
- Many more approaches to learn with Human Label Variation (see survey in Uma et al., 2021 JAIR)



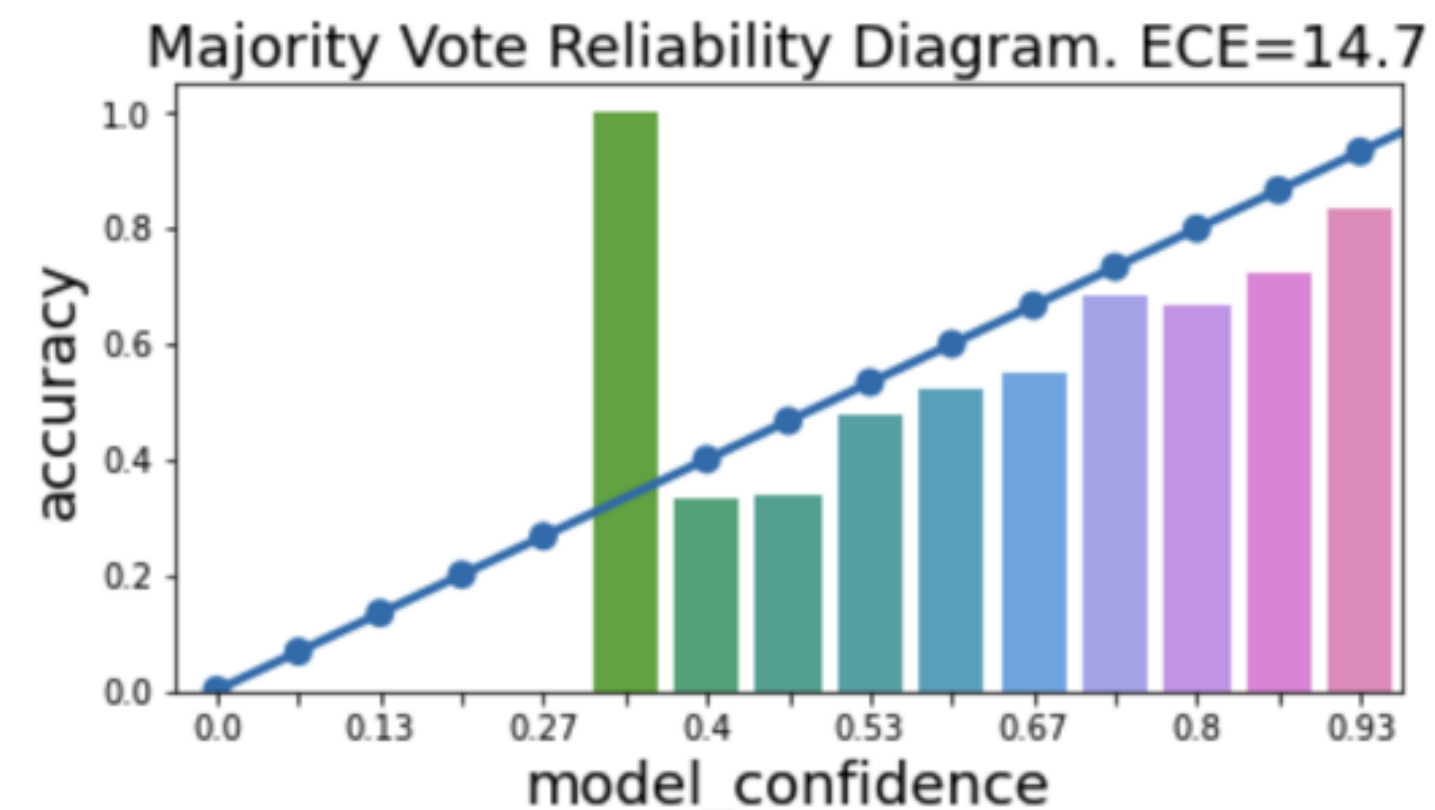
Is *Human Label Variation* So Bad? **No.**

It provides opportunities for more trustworthy, human-facing AI.

# More trustworthy models: Calibration & Model Uncertainty

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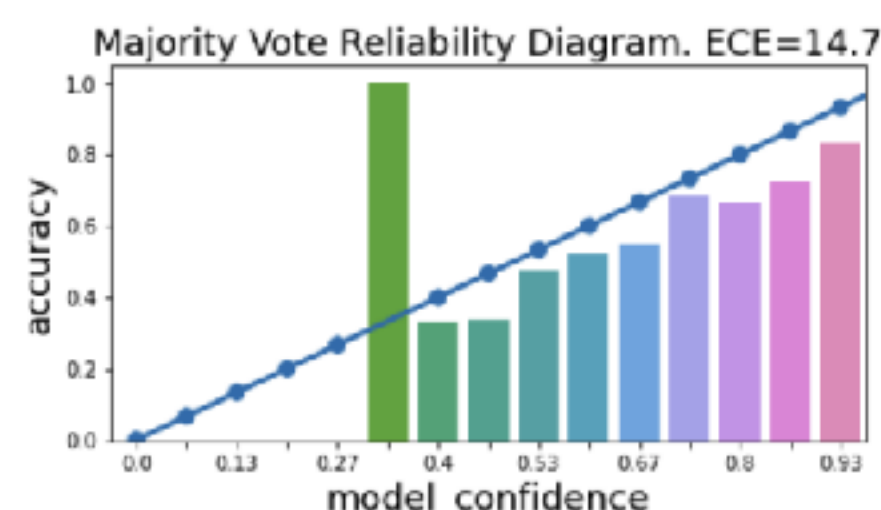
- Calibration is a popular framework to evaluate whether a classifier knows when it does not know



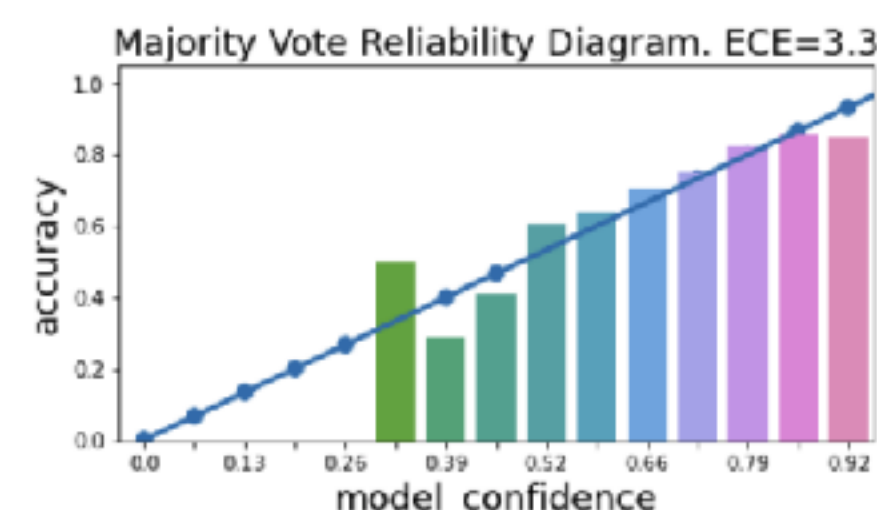
- However, calibration assumes there exists a ground truth
- We examine calibration under the lens of human label variation

# Calibration to majority is flawed

- ▶ Temperature Scaling improves typical calibration measures (ECE). But what does that mean?

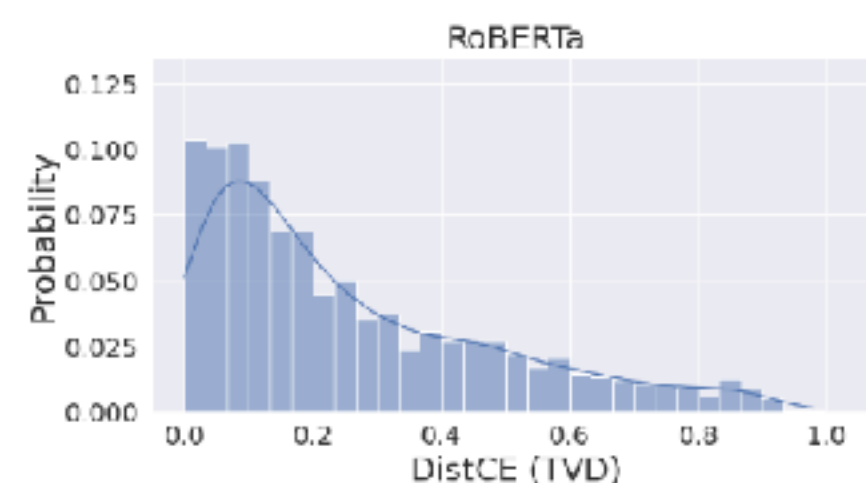


(c) ECE: Vanilla

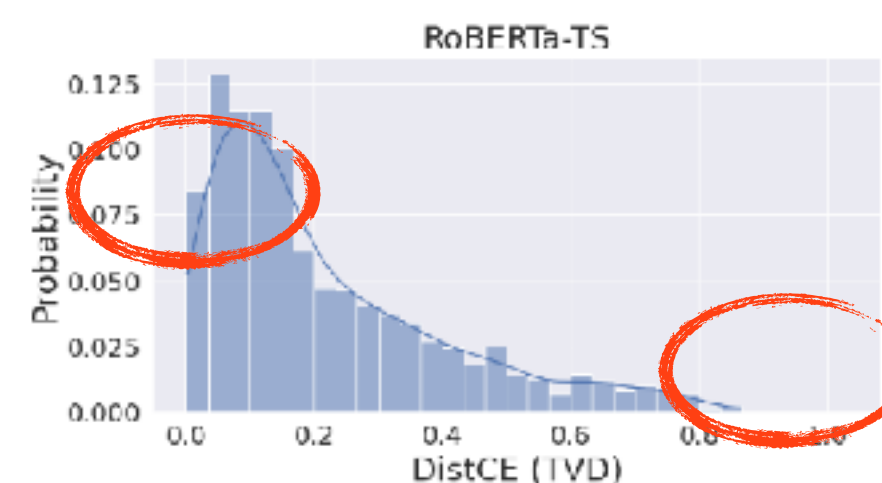


(d) ECE: Temp Scaling

- ▶ With instance-level distributions we get a more fine-grained view on model calibration (TVD distance; Baan et al., 2022)



(a) DistCE: Vanilla



(b) DistCE: Temp Scaling

Fewer extremely miscalibrated

BUT even fewer perfectly calibrated instances!

# Outline

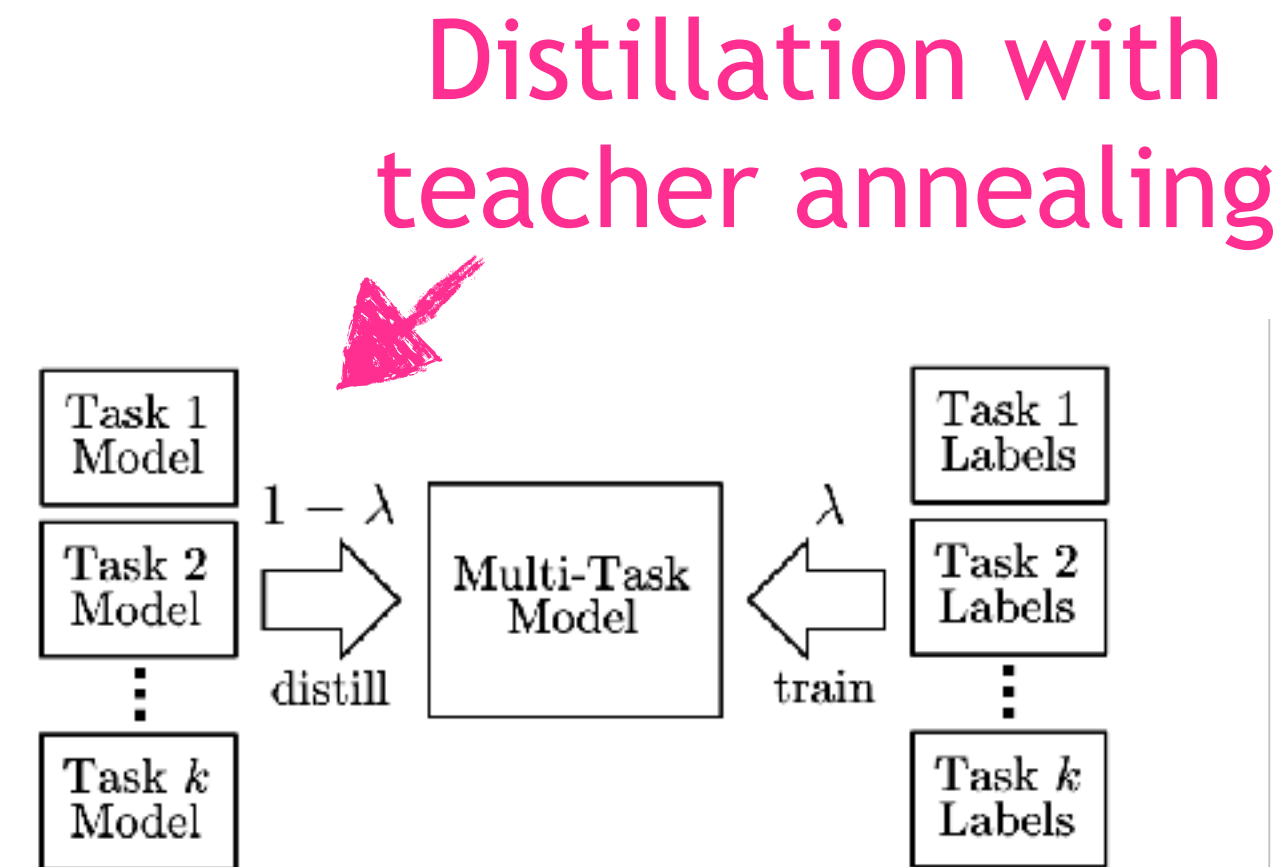
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- Introduction: Why Transfer? Dimensions of Language Variation
- Part 1: What is Transfer Learning?
  - Three views on Transfer Learning, Related Learning Strategies
- Part 2: A type of TL: What is Multi-Task Learning?
  - What and Why, Perspectives on MTL
  - Short hands-on tutorial with MaChAmp
- Part 3: Selected Case Studies
  - Applications to Multilinguality, Transferability Estimation, Human Label Variation
- Outro

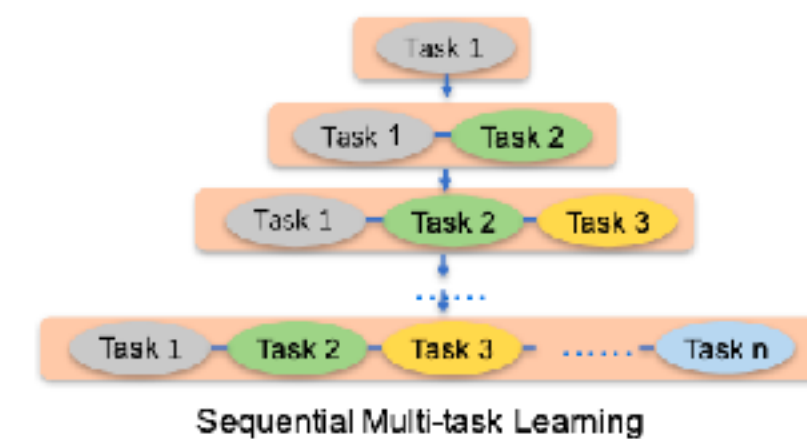


# Some selected advances in traditional MTL

- MTL & **knowledge distillation** (Clark et al., 2019)



- MTL & **continual learning** (Sanh et al. 2019; Sun et al., 2020)



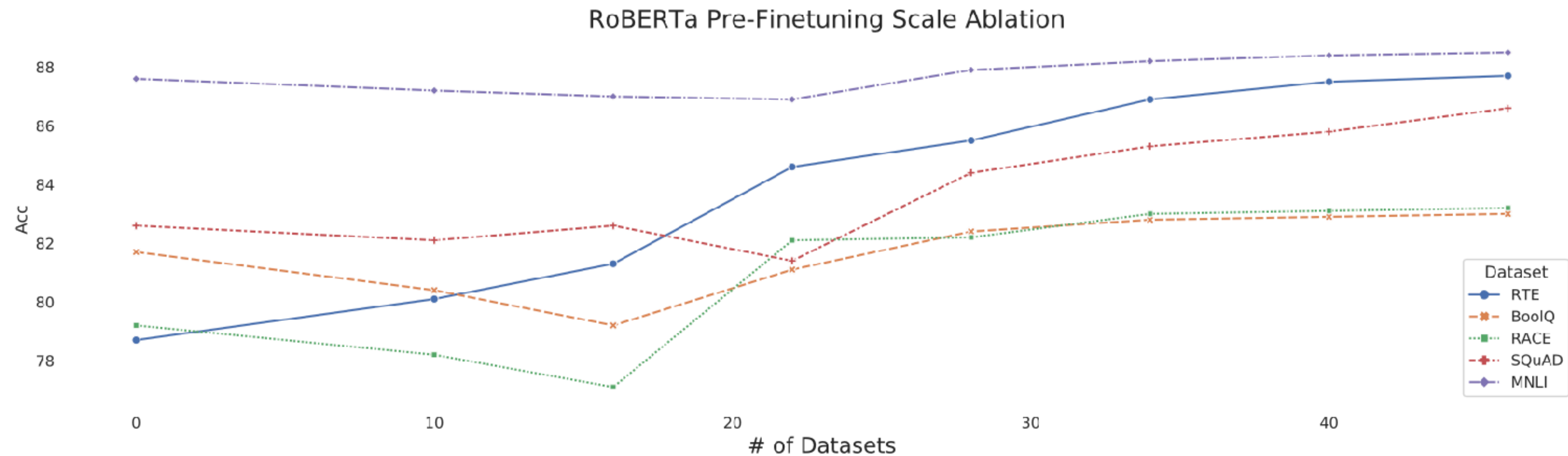
Progressively adding tasks

- MTL & **adapters** via shared **hypernetworks** (Mahabadi et al., 2021, Üstün et al., 2022)

Generates adapter parameters

# Scaling up seems one key finding for MTL

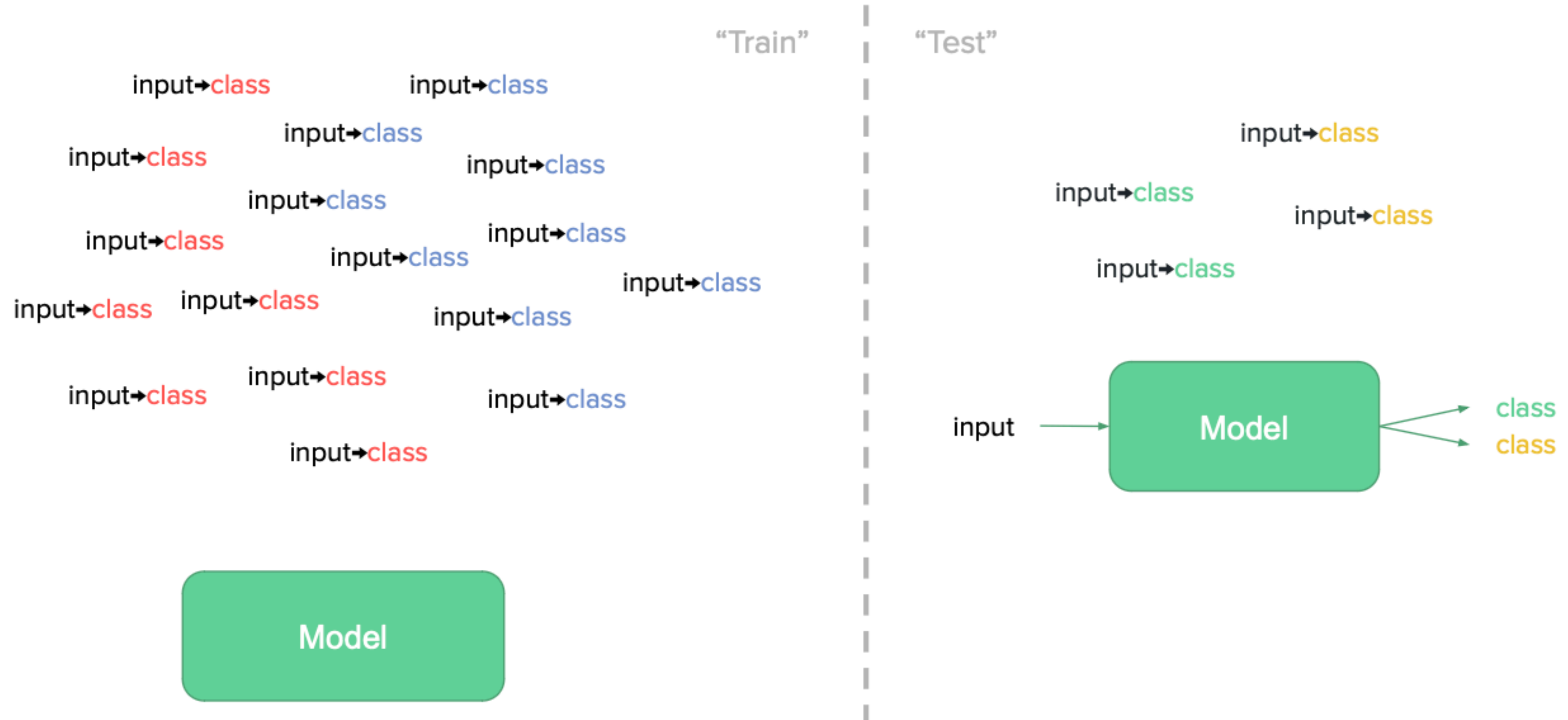
- Pre-Fine tuning (Aghajanyan et al., 2021): MTL between pre-training and fine-tuning
- Scaling up and using many in-between tasks was key



**Multi-task learning in light of T5, ChatGPT etc:  
Not just approaches and models change, also our  
terminology!**

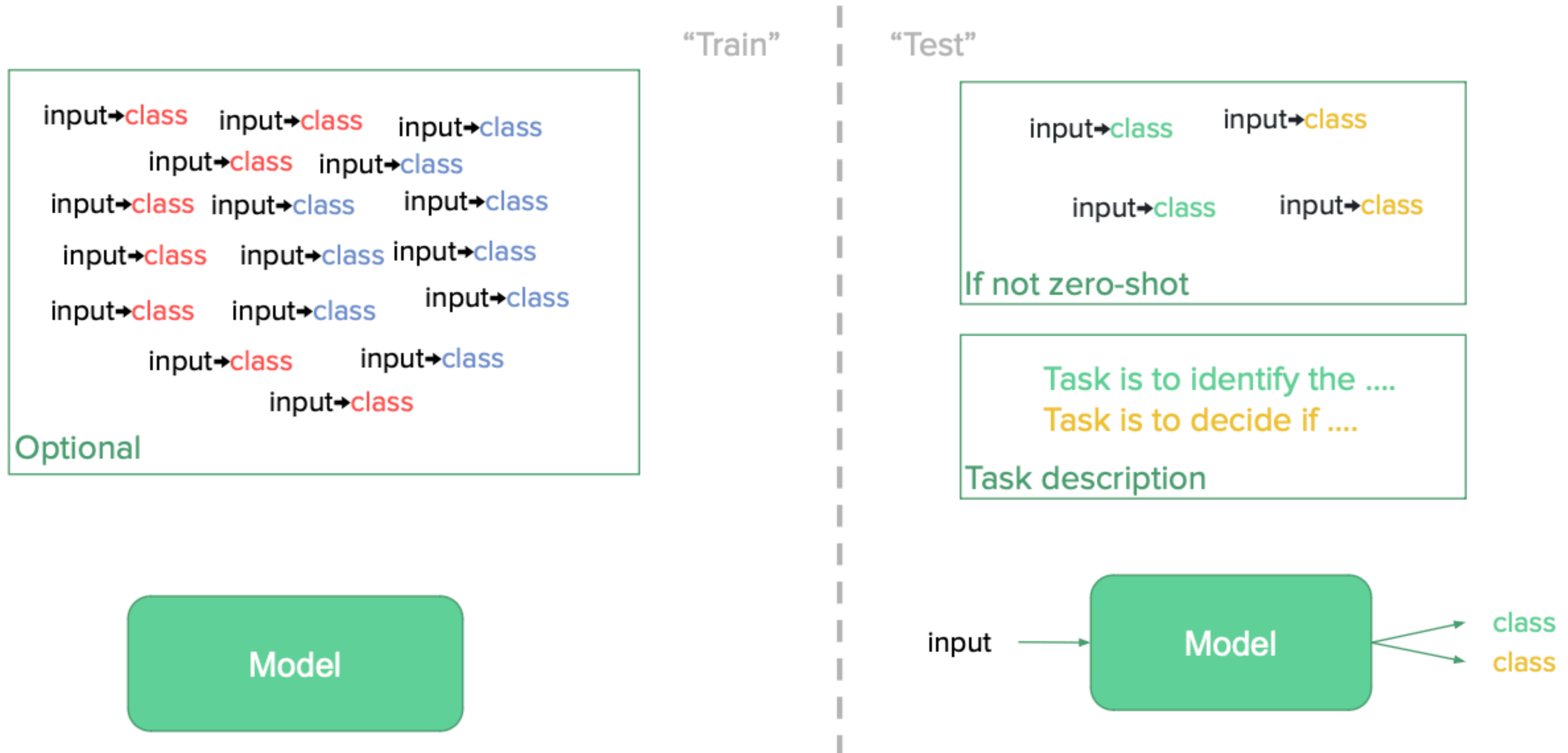
# Traditional notion of few-shot learning

Slide by Beltagy et al., ACL 2022 tutorial



# Recent notion of few-shot learning

Slide by Beltagy et al., ACL 2022 tutorial

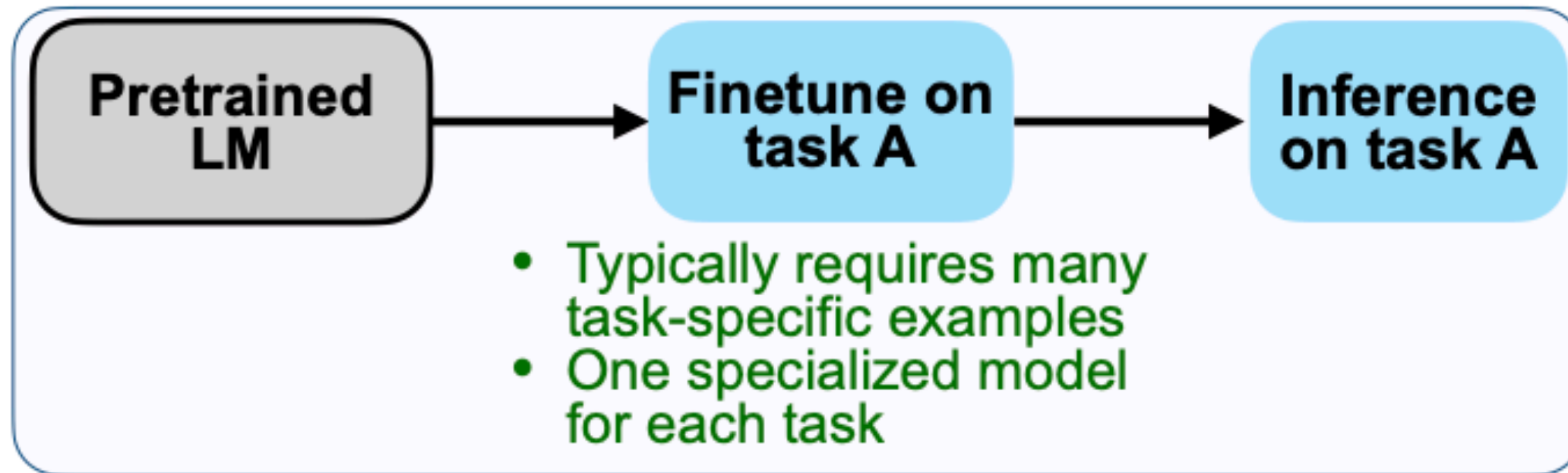




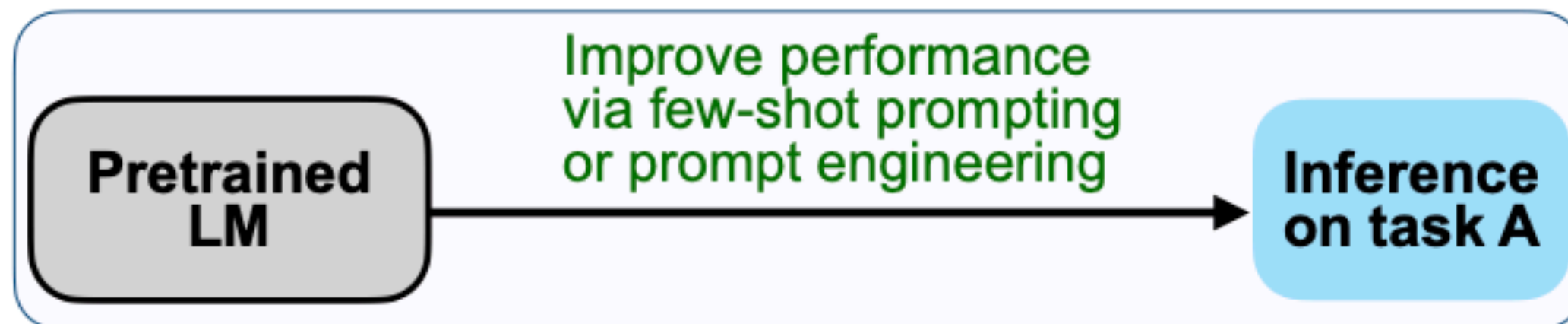
# “Learning many tasks” takes on new meanings, too (e.g. FLAN)

Wei et al., 2022

## (A) Pretrain–finetune (BERT, T5)



## (B) Prompting (GPT-3)



## (C) Instruction tuning (FLAN)

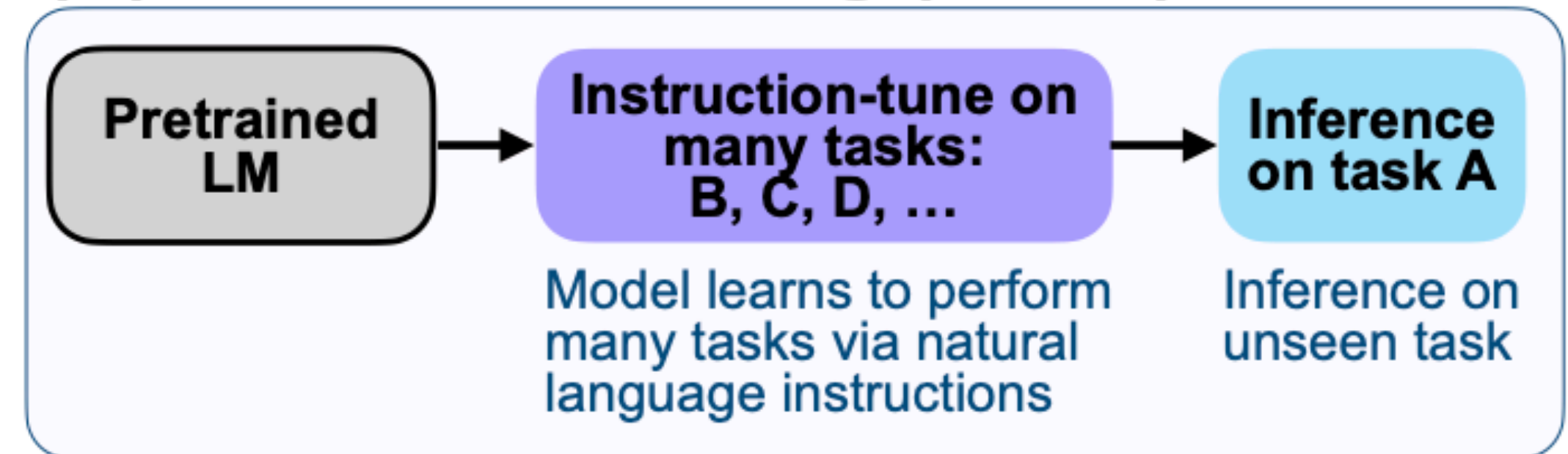
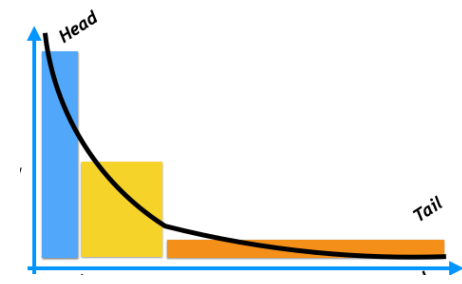


Figure 2: Comparing instruction tuning with pretrain–finetune and prompting.

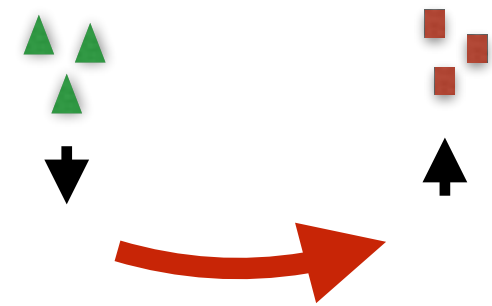
**What is a task?**

**To wrap up...**

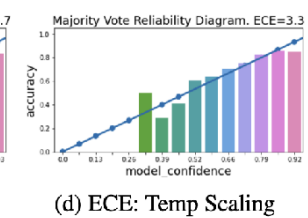
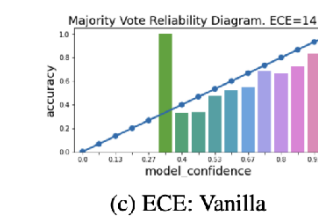
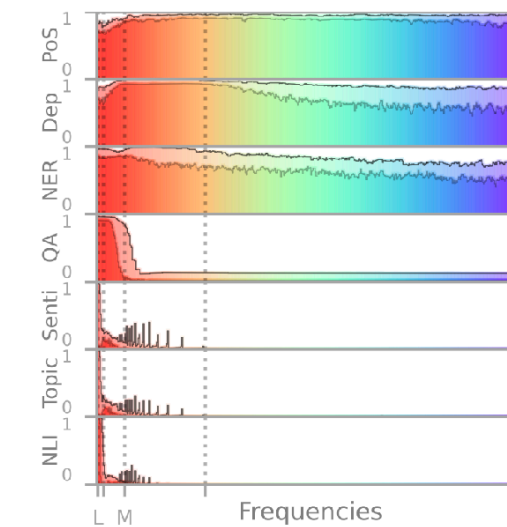
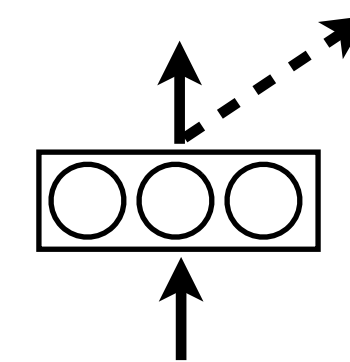
# To wrap up



- Scarce and biased data are ubiquitous
- Transfer Learning is broad! Sequential TL (pre-training) is just one kind



- We have seen applications of:
  - Data selection
  - Multi-Task Learning
  - Probing
  - Performance Prediction
  - Human Label Variation and Calibration







N 48° 9' 8.676"  
E 11° 34' 49.332"

Thanks to my team and collaborators



Questions? Thanks!

**@barbara\_plank**  
**b.plank@lmu.de**

Research support by:

